

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanan, Beth E.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Wisconsin	3. Date of Report 09/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 517 E. Wisconsin Ave. Room 162 Milwaukee WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Financial power of attorney	POA 1
2. Successor trustee	Michael John Greaney Trust, [REDACTED] Fund
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Patina Solutions Group Inc. salary
2. 2017	LegendarWhitetails salaryy
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. State Bar of Wisconsin	3/2/17-3/3/17	Kohler, WI	Bankruptcy education conference (speaker)	lodging and meals
2. Aspen Institute & American Bar Fdn.	7/21-23/17	Evanston, IL	Law and Society legal education seminar	lodging and meals
3. State Bar of Wisconsin	11/5/17	Milwaukee, WI	Bankruptcy seminary (speaker)	registration and meal
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	credit card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Liquid Asset Fund	A	Interest		T			J		
2. Franklin Mutual Global Discovery	A	Dividend		T	Sold (part)	03/10/17	K	A	
3. MFS Global Alternative Strategy aka MFS Diverse Target Return	A	Dividend		T	Sold (part)	03/10/17	K	A	
4. Oppenheimer Developing Markets	A	Dividend		T	Sold (part)	03/10/17	K	A	
5.					Sold	03/13/17	K	A	
6. Oppenheimer Equity Income	A	Dividend		T	Sold (part)	03/10/17	L	A	
7.					Sold	03/13/17	K	A	
8.	A	Dividend			Sold (part)	03/11/17	K	A	
9. Touchstone Sands Capital Select Growth	A	Dividend		T	Sold (part)	03/10/17	L	A	
10.					Sold	03/13/17	K	A	
11. Voya Global Real Estate aka ING Global Real Estate	A	Dividend		T	Sold (part)	03/13/17	K	A	
12.				T	Sold	03/16/17	J	A	
13. Voya MidCap Opportunities aka ING MidCap Opportunities	A	Dividend		T	Sold (part)	03/10/17	K	A	
14. Jackson Variable Annuity- Jackson National S&P Managed Aggr. Growth	D	Int./Div.		T	Sold	03/14/17	M	D	
15. Patina Solutions Inc. common stock		None	L	T					
16. Patina Solutions Inc. preferred stock	B	Dividend	J	T					
17. Patina Solutions Inc. stock options		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 N = \$250,001 - \$500,000	L = \$50,001 - \$100,000 O = \$500,001 - \$1,000,000	M = \$100,001 - \$250,000 P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. Howick Associates 1/3 interest in PFG common stock		None			Sold	05/09/16	J		
19. Franklin Mutual Global Discovery	A	Dividend			Sold	03/13/17	K	A	
20. MFS Global Alternative Strategy aka MFS Diverse Target Return	A	Dividend			Sold	03/16/17	J	A	
21. Voya MidCap Opportunities aka ING MidCap Opportunities	A	Dividend			Sold	03/13/17	K	A	
22. Wells Fargo Absolute Return	A	Dividend		T	Sold	03/10/17	K	A	
23. FI Enhanced Global High Yield UBS	D	Dividend	K		Buy	03/10/17	K		
24. FI Enhanced Lrg Cap Growth CS	E	Dividend	L	T	Buy	03/10/17	L		
25. Rydex ETFF Tr Guggenheim	D	Dividend	L	T	Buy	03/10/17	L		
26.					Sold (part)	06/05/17	K	A	
27.					Sold (part)	10/13/17	K	A	
28. Select Sector SPDR TR FNL	A	Dividend	J	T	Buy	03/10/17	M		
29.					Sold (part)	04/25/17	L	A	
30.					Sold (part)	06/05/17	K	A	
31.					Sold (part)	10/13/17	J	A	
32. FI Enhanced Euro 50 ETN	D	Dividend	K	T	Buy	03/13/17	K		
33. Ishares TR Global CNSM STP ETF	A	Dividend		T	Buy	03/13/17	J		
34.				T	Sold	06/05/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Select Sector SPRD Tr Healthcare	B	Dividend	L	T	Buy	03/13/17	L		
36. Vanguard FTSE Europe ETF	D	Dividend	L	T	Buy	03/13/17	L		
37.					Buy (add'l)	06/27/17	J		
38. Vanguard Industrials ETF	A	Dividend	K	T	Buy	03/14/17	J		
39.					Buy (add'l)	06/27/17	J		
40. Ihares INC MSCI Eurzone ETF	B	Dividend	K	T	Buy	03/16/17	K		
41.					Buy (add'l)	06/05/17	K		
42. Powershares QQQ Trust Unit Ser	A	Dividend	L	T	Buy	03/16/17	L		
43. Select Sector SPDR Tr CONS Dis	A	Dividend	J	T	Buy	03/17/17	K		
44.					Sold (part)	04/25/17	K	A	
45.					Sold (part)	06/05/17	K	A	
46. Ishares INC MSCI JPN ETF NEW	A	Dividend	K	T	Buy	04/25/17	J		
47.					Buy (add'l)	06/05/17	K		
48. Ishares MSCI Europe FN ETF	B	Dividend	L	T	Buy	04/25/17	J		
49.					Buy (add'l)	06/05/17	L		
50. Vanguard FTSE Emerging MKT	A	Dividend	J	T	Buy	04/25/17	J		
51. Ishares Tr Global Telecom ETF	A	Dividend	J	T	Buy	06/05/17	J		

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52. Select Sector SPDR Tr Consumer	A	Dividend	K	T	Buy	10/13/17	K		
53. Ishate TR Global Tech ETF	A	Dividend	K	T	Buy	03/16/17	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Trust/Fund mentioned in Section I was created on an emergency basis a week before Michael Greaney died. I was replaced by a different successor trustee as of December 1, 2017. During those few months I undertook no duties nor received compensation. I also was listed as trust protector from May 30-June 8, 2017. I never had a beneficial interest in the trust and therefore there are no reportable assets.

Regarding Section VII, in March 2017 we transferred our mutual funds/401k investments held at Morgan Stanley to Fisher Investments, whereupon those holdings were sold and proceeds reinvested by FI in ETFs. As part of that switch, the Jackson annuity was sold and proceeds used by Fisher to purchase ETF units.

For other items in Sec. VII:

Line 2 - no gross value shown in column C because remainder of this asset was sold later. See Line 19.

Line 3 - no gross value shown in column C because remainder of this asset was sold later. See Line 20.

Line 4 - no gross value shown in column C because remainder of this asset was sold later. See line 5.

Line 6 & 8 - no gross value shown in column C because remainder of this asset was sold later. See Line 7.

Line 13 - no gross value shown in column C because remainder of this asset was sold later. See Line 21.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beth E. Hanan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544