

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craven, Caroline M.	2. Court or Organization USDC/EDTX	3. Date of Report 11/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 500 N. State Line Ave., Room 401 P. O. Box 2090 Texarkana, TX 75504		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Uncompensated corporate director	Red River Lumber Company, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Total Petroleum

2. 2012

Red River Lumber Company & Design Center

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Sonja Hubbard	Transportation, food and lodging to Newport, Rhode Island	\$1,700.00
2.		NOTE: This gift was received from a friend who is neither a practicing attorney nor	
3.		an individual who would have any occasion to appear before the respondent.	
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Texana Bank	Land and buildings, Red River Lumber Co. Inc.	P1
2.	Royce James	Stock purchase, Red River Lumber Co., Inc.	L
3.	Ty Patterson	Stock purchase, Red River Lumber Co., Inc.	K
4.	Chad Patterson	Stock purchase, Red River Lumber Co., Inc.	K
5.	Gunstock, Inc.	Land and buildings	M

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo checking account #1	A	Interest	J	T					
2.									
3. Ameriprise (IRA) #2:	A	Dividend	N	T					
4. -Ameriprise Insured Money Market					Closed	03/30/12	J		Cash account closed
5. -Pimco Funds Pac Inv MGM Commdty					Sold	03/30/12	J		Sold at a loss
6. -A T & T					Sold	03/30/12	J	A	
7. -Chevron Corp					Sold	03/30/12	J	A	
8. -Cummins Inc					Sold	03/30/12	J	A	
9. -Deere & Company					Sold	03/30/12	J		Sold at a loss
10. -Duff & Phelps Global Util & Inc Fund					Sold	03/30/12	J		Sold at a loss
11. -Entergy Corp New					Sold	03/30/12	J		Sold at a loss
12. -Exxon Mobil Corp					Sold	03/30/12	J	C	
13. -Goodrich Corp					Sold	03/30/12	J	B	
14. -Google Inc Cl A					Sold	03/30/12	J	A	
15. --Guggenheim Defined Unit 804 Large Cap					Sold	03/30/12	K	C	
16. -Illinois Tool Works					Sold	03/30/12	J	A	
17. -Intel Corp					Sold	03/30/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P ~\$1,000,001 - \$5,000,000 Q ~\$5,000,001 - \$25,000,000	R ~\$25,000,001 - \$50,000,000 S ~\$50,000,001 - \$100,000,000	T ~\$100,000,001 - \$250,000,000 U ~\$250,000,001 - \$500,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -IBM					Sold	03/30/12	J	C	
19. -Ishares Trust Russell 2000 Index Fd					Sold	03/30/12	J	A	
20. -JP Morgan Chase					Sold	03/30/12	J	A	
21. -LMI Aerospace Inc					Sold	03/30/12	J	A	
22. -Pepsico Inc					Sold	03/30/12	J	B	
23. -Qualcomm Inc					Sold	03/30/12	L	A	
24. --SPDR S & P ETF Trust Unit Ser I					Sold	03/30/12	J	B	
25. -Stone Harbor Emrg Mkts Income Fd					Sold	03/30/12	K	B	
26. -Stryker Corp					Sold	03/30/12	J	A	
27. -Union Bank NA S&P 500 Index					Sold	03/30/12	K		Sold at a loss
28. -Wells Fargo Bank NA Bskt Comm Act					Closed	03/30/12	K		Cash account closed
29. -Riversource Annuity					Buy	04/24/12	N		Proceeds of sale of all
30.									securities to purchase
31.									fixed income annuity
32.									
33.									
34. Linder Capital Advisors #3:					Closed	04/25/12			Account closed 4/25/12

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. -FDIC Insured Deposit Account	A	Interest			Sold	04/25/12	J		Cash account closed
36. -DFA Global Equity PTF	A	Dividend			Sold	4/25/12	L	B	
37.									
38. American Funds (SIMPLE/IRA) #4:	A	Dividend	L	T					
39. -Growth Fund of America-A									
40. -Investment Co. of America Class A, American Funds									
41. -Capital World Growth & Inc Fund A Class A, American Funds									
42. -Washington Mutual Investors Fund A									
43. -American Balanced Fund A					Open	01/01/12	K		Omitted fr prior yr report
44.									
45. Linder Capital Advisors (Roth IRA) #5:	A	Dividend	K	T					
46. -FDIC Insured Deposit Account									
47. -DFA Global Equity PTF									
48.									
49. Amerprise Financial #6:					Closed	04/01/12			Account closed 4/1/12
50. -Cash		None			Closed	04/01/12	J		Cash account closed
51. -Duff & Phelps Global Util & Inc Fund	A	Dividend			Sold	03/30/12	J		Sold for loss

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.									
53. Red River Lumber Co., stock	A	Dividend	O	U					
54.									
55. MCCM, LLC	G	Rent	N	U					
56.									
57.									
58.									
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Caroline M. Craven**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544