

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Michael R.	2. Court or Organization U.S. District Court	3. Date of Report 08/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Potter Stewart US Courthouse 100 East 5th Street, Room 239 Cincinnati, Ohio 45202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1
2.	Partner	Old Walnut Company
3.	Member	Grandin View LLC
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Scripps TV Station Group - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	US Bank	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Old Walnut Co. (Partnership)	A	Dividend	L	U					
2. Grandin View LLC (X)		None	J	U					
3. Procter & Gamble (common stock)	C	Dividend	L	T					
4. Cincinnati Bell (common stock)		None	K	T					
5. Convergys Corp. (common stock)		None	L	T					
6. Western Southern Life Ins. Co. (Y)									
7. J.M. Smucker (Common stock)	A	Dividend	J	T					
8. IRA#1 (H)									
9. First American Treasury (cash account) (FOCXX)	A	Interest	M	T					
10. Am. Century Sm. Cap (ACVIX)	A	Dividend	J	T					
11. Am. Century Equity Income (ACIIX)	B	Dividend	K	T					
12. Blackrock Basic Value Fund Inc. (MABAX)	B	Dividend	K	T					
13. Fidelity Investment Tr. Ad Intl Discovery (FIADX)	A	Dividend	K	T					
14. Ipath Dow Jones UBS (DJP)		None	J	T					
15. Nuveen Inflation Pro Sec (FYIPX)	A	Dividend	K	T					
16. Nuveen Core Bond Fd (FFIIX)	B	Dividend	K	T					
17. Nuveen Dividend Value Fd (FAQIX)	B	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Nuveen Mid Cap Growth (FISGX)	A	Dividend	J	T					
19. Nuveen Mid Cap Value (FSEIX)	A	Dividend	K	T					
20. Nuveen Real Estate Secs. Fund (FARCX)	C	Dividend	K	T	Buy (add'l)	11/14/16	J		
21. Nuveen Small Cap Select (ARSTX)	A	Dividend	J	T					
22. Nuveen Small Cap Value (FSCCX)	A	Dividend	K	T					
23. Laudus Intl Marketmasters (SWMIX)	A	Dividend	J	T					
24. T. Rowe Price Mid Cap Value (TRMCX)	B	Dividend	K	T					
25. T. Rowe Price Value Fund (TRVLX)	B	Dividend	L	T					
26. T. Rowe Price Equity (PRFDX)	B	Dividend	K	T					
27. Technology Select Sector SPDR (XLK)	A	Dividend	J	T	Buy	05/20/16	J		
28. Michael R. Barrett Foundation (H)									
29. First American Treasury (cash) (FOCXX)		None	J	T					
30. Procter & Gamble (PG)	B	Dividend	K	T					
31. Brokerage Account (H)									
32. First American Treasury (Cash) (FOCXX)	A	Int./Div.	P1	T					
33. Nuveen Core Plus Bond (FFIIX)	A	Dividend	J	T					
34. Nuveen Inflation Pro Sec (FYIPX)	A	Dividend	J	T					

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35. Abbott Labs (ABT)	B	Dividend	L	T					
36. Abbvie Inc. (ABBV)	C	Dividend	L	T					
37. Capital One Financial Corp (COE)	A	Dividend	K	T					
38. IShares Dow Jones Select (DVF)	A	Dividend	J	T					
39. IShares Russell 2000 Index (IWM)	A	Dividend	J	T					
40. IShares Russell Midcap Index (IWR)	A	Dividend	J	T					
41. Procter & Gamble (PG)	D	Dividend	N	T					
42. Fidelity Inv't Trad Intl Disci (FIADIX)	A	Dividend	J	T	Buy (add'l)	05/20/16	J		
43. Nuveen Mid Cap Value (FSEIX)	A	Dividend	J	T					
44. Nuveen Real Estate Sec. Fund (Y)									
45. Laudus Int'l Marketmasters Fd Select (SWMIX)	A	Dividend	J	T					
46. T. Rowe Price Value (TRVLX)	A	Dividend	K	T					
47. Vanguard Reit Etf (VNQ)	A	Dividend	K	T	Buy (add'l)	11/16/16	K		
48. Trust # 1 (H)									
49. First American Treasury (cash) (FOCXX)	A	Dividend	K	T					
50. Nuveen Strategic Income Fd (bond) (FCBYX)	A	Dividend	K	T					
51. Amgen, Inc. (AMGN)	A	Dividend	J	T					

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52. Apache Corp.	A	Dividend			Sold	09/13/16	J		
53. Apple, Inc. (AAPL)	A	Dividend	K	T					
54. Boeing Co.	A	Dividend			Sold	09/13/16	J	C	
55. Cintas Corp. (CTAS)	A	Dividend	J	T	Sold (part)	11/10/16	J	C	
56. Cisco Systems	A	Dividend			Sold	09/13/16	J	B	
57. DuPont El De Nemours	A	Dividend			Sold	09/13/16	J	C	
58. Exxon Mobil Corp.	A	Dividend			Sold	09/13/16	J	B	
59. Financial Select Sector Spdr Fd (XLF)	A	Dividend	J	T	Sold (part)	11/10/16	J	B	
60. Intel Corp.	A	Dividend			Sold	09/13/16	J	C	
61. iShares Russell Midcap (IWR_)	A	Dividend	J	T					
62. Omnicom Group	A	Dividend			Sold	09/13/16	J	C	
63. Pepsico, Inc. (PEP)	A	Dividend	J	T					
64. Procter & Gamble	A	Dividend			Sold	09/13/16	J	B	
65. Starbucks (SBUX)	A	Dividend	K	T					
66. Texas Instrument	A	Dividend			Sold	11/03/16	J	D	
67. US Bancorp (USB)	A	Dividend	K	T					
68. United Health Group	A	Dividend			Sold	11/03/16	J	C	

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69. 3M Co. (MMM)	A	Dividend	K	T					
70. Novartis AG ADR (NVS)	A	Dividend	J	T					
71. Schlumberger Ltd. (SLB)	A	Dividend	J	T	Sold (part)	11/10/16	J		
72. American Century Sm. Cap	A	Dividend			Sold	09/13/16	J		
73. Ipath GSCI Total Return (GSP)		None	J	T					
74. Blackrock Capital Apprec. (BFG BX)	A	Dividend	K	T					
75. Nuveen Mid Cap Growth (Y)									
76. Nuveen Mid Cap Value	A	Dividend			Sold	09/13/16	J	C	
77. Nuveen Sm. Cap Select (Y)									
78. Laudus Int'l Marketmasters (SWMIX)	A	Dividend	K	T	Sold (part)	11/10/16	J		
79. T. Rowe Price Int'l Growth (TRIGX)	A	Dividend	J	T					
80. Vanguard Reit-Etf (VNG)	A	Dividend	J	T					
81. Bank of America (Y)									
82. Western Union (Y)									
83. The Real Estate Select Sector ETF		None			Spinoff (from line 59)	09/22/16	J		
84.					Sold	11/10/16	J		
85. Trust # 2 (H)									

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86. Wilmington Trust Bank Deposit (cash)	A	Interest	K	T					
87. Diamond Hill Large Cap (DHLRX)	B	Dividend	K	T	Buy (add'l)	02/25/16	K		
88.					Buy (add'l)	03/24/16	J		
89.					Sold (part)	06/28/16	J		
90.					Sold (part)	08/12/16	J		
91.					Sold (part)	09/27/16	J		
92. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T	Sold (part)	02/29/16	J		
93.					Sold (part)	06/30/16	J		
94.					Buy (add'l)	08/16/16	J		
95.					Buy (add'l)	11/18/16	J		
96. iShares Currency Hedged MSCI	A	Dividend			Sold	05/12/16	J		
97. iShares MSCI EAFE Small Cap		None			Sold	02/29/16	J		
98. JP Morgan Chase & Co. US Small Co Fund (JUSSX)	A	Dividend	L	T	Buy (add'l)	02/25/16	J		
99.					Buy (add'l)	06/28/16	J		
100.					Buy (add'l)	09/27/16	K		
101. Morgan Stanley Instl Fund Inc Growth (MGRPX)	C	Dividend	K	T	Buy (add'l)	02/25/16	K		
102.					Buy (add'l)	05/10/16	J		

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103.					Sold (part)	06/28/16	J		
104.					Sold (part)	09/27/16	J		
105.					Sold (part)	11/16/16	J		
106. Vanguard FTSE DEV. Market (VEA)	C	Dividend	L	T	Sold (part)	02/29/16	K		
107.					Buy (add'l)	05/12/16	J		
108.					Sold (part)	06/30/16	J		
109.					Sold (part)	08/16/16	J		
110.					Buy (add'l)	11/18/16	J		
111. Wilmington Large Cap Strategy Fund (WMLIX)	B	Dividend	M	T	Sold (part)	02/25/16	L		
112.					Sold (part)	06/28/16	J		
113. Wisdomtree Japan Hedged Fund		None			Buy (add'l)	02/29/16	J		
114.					Sold	03/29/16	J		
115. Nuveen Short Duration (NVHIX)	A	Dividend			Sold	11/17/16	J		
116. Ridgworth Seix Floating Rate High Income Fund	A	Dividend			Sold	02/23/16	K		
117. T Rowe Price Tax Free	A	Dividend			Sold	05/20/16	K		
118. Wilmington Municipal Bond Fund (WTAIX)	D	Dividend	N	T	Buy (add'l)	05/20/16	K		
119.					Buy (add'l)	08/22/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Barrett, Michael R.

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08/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Credit Suisse Commodity Return		None			Sold	02/25/16	J		
121. iShares Cohen & Steers (ICF)	A	Dividend	J	T	Buy (add'l)	02/29/16	J		
122.					Sold (part)	06/30/16	J		
123.					Sold (part)	11/18/16	J		
124. iShares Tips Bond ETF (TIP)	A	Dividend	K	T	Sold (part)	02/29/16	J		
125.					Buy (add'l)	03/29/16	J		
126.					Sold (part)	06/30/16	J		
127. Spdr Dow Jones Int'l (RWX)	B	Dividend	K	T	Buy (add'l)	02/29/16	J		
128. Blackstone Alternative Multi- Strategy Fund		None			Sold	06/10/16	L		
129. Fidelity Focised High Income (FHIFX)	A	Dividend	K	T	Buy	02/23/16	K		
130.					Buy (add'l)	11/17/16	K		
131. LSV Value Equity FD STRAT (LSVEX)	B	Dividend	K	T	Buy	02/25/16	K		
132.					Buy (add'l)	03/24/16	J		
133.					Sold (part)	06/28/16	J		
134.					Sold (part)	08/12/16	J		
135.					Sold (part)	09/27/16	J		
136. T. Rowe Price Large Cap Growth (TRLGX)	A	Dividend	K	T	Buy	02/25/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/10/16	J		
138.					Sold (part)	09/27/16	J		
139. Vanguard Intermediate-Term Tax Exempt FD (VWIUX)	A	Dividend	J	T	Buy	11/18/16	J		
140. Trust #3 (H)									
141. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
142. Am Century Diversified Bond Inv (ADFIX)	A	Dividend	J	T	Buy (add'l)	01/19/16	J		
143. American Cent Short Dur INF (APOIX)	A	Dividend	J	T	Buy	10/14/16	J		
144. Blackrock Multi-Asset Inc (BIICX)	A	Dividend	J	T	Buy (add'l)	01/22/16	J		
145. Clearbridge Large Cap Growth (SBLYX)	A	Dividend	J	T	Sold (part)	04/04/16	J	A	
146. Dreyfus Global EQU INC (DQEIX)	A	Dividend	J	T	Buy	04/26/16	J		
147. Federated Int'l Leaders (FGFIX)	A	Dividend	J	T	Buy (add'l)	01/27/16	J		
148. Federated Total Return Bond (X)		None			Sold	01/19/16	J		
149. First Trust Moringstar Div. (FDL)	A	Dividend	J	T					
150. First Trust Dorsey Wright FO (FV)	A	Dividend	J	T					
151. First Trust Small Cap ETF (FYX)	A	Dividend	J	T					
152. Goldman Sachs Small Mid-Cap (GSMYX)	A	Dividend	J	T					
153. Invesco Diversified Div (LCEYX)	A	Dividend	J	T	Buy (add'l)	01/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. iShares S&P Midcap 400 Index (IJH)	A	Dividend	J	T					
155. iShares S&P Small Cap 600 Index (IJR)	A	Dividend	J	T					
156. JP Morgan Equity Inc (X)		None			Sold	01/27/16	J		
157. JP Morgan Income Builder (X)		None			Sold	01/27/16	J		
158. Mainstay Epoch Global Equity Yld		None			Sold	04/26/16	J		
159. MFS Global Equity (MWEIX)	A	Dividend	J	T	Buy	03/22/16	J		
160.					Sold	04/04/16	J	A	
161.					Buy	08/10/16	J		
162. MFS Gov. Securities	A	Dividend			Sold (part)	06/30/16	J		
163.					Sold	10/14/16	J		
164. Neuberger Abs Ret Multi Mgr		None			Sold	03/17/16	J		
165. Nuveen Real Asset Income (NRIIX)	A	Dividend	J	T					
166. Oakmark Global Select Fund		None			Buy (add'l)	01/27/16	J		
167.					Sold	03/22/16	J		
168.					Buy	04/04/16	J		
169.					Sold	08/10/16	J	A	
170. Oppenheimer Fundamental ALT Y (OOPYX)	A	Dividend	J	T	Buy	03/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Oppenheimer INT DIV Y (X)		None			Sold	01/27/16	J		
172. SPDR S&P Banking ETF		None			Sold	04/04/16	J		
173. Trust #4 (H)									
174. Morgan Stanley Bank NA (cash)	A	Interest	L	T					
175. Am. Century Eqi Inc. Int. (ACIIX)	D	Dividend	L	T					
176. Am New Perspective F2 (ANWFX)	B	Dividend	L	T					
177. Exxon Mobile Corp (XOM)	A	Dividend	J	T					
178. Fidelity Adv Int'l Discovery	A	Dividend			Sold	12/19/16	K		
179. Ill Tool Works Inc (ITW)	A	Dividend	K	T					
180. Invesco High Yield Muni Y	D	Dividend			Sold	12/19/16	M		
181. iShares IBonds Sep 2016 Muni (IBME)	A	Dividend			Redeemed	09/08/16	K		
182. iShares IBonds Sep 2017 Muni (IBMF)	A	Dividend	K	T					
183. iShares IBonds Sep 2018 Mini (IBMG)	A	Dividend	K	T					
184. iShares IBonds Sep 2019 Muni (IBMH)	A	Dividend	K	T					
185. iShares IBonds Sep 2020 Muni (IBMI)	A	Dividend	K	T					
186. iShares MSCI EAFE ETF (EFA)	A	Dividend	K	T	Buy	12/19/16	K		
187. Invesco LTD Term Muni Inc Y (ATFYX)	A	Dividend	M	T	Buy	12/19/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Barrett, Michael R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Johnson & Johnson (JNJ)	A	Dividend	J	T					
189. McDonalds Corp (MCD)	A	Dividend	J	T					
190. MLP Select 40 Y (MLPTX)	A	Dividend	J	T					
191. Neuberger Abs Ret Multi Mgr		None			Sold	12/19/16	K	A	
192. Neuberger Berman Lg SH Inst (NLSIX)		None	K	T					
193. Nuveen Global Infrastructure (FGIYX)	B	Dividend	K	T					
194. Nuveen HI YLD Muni BD I (NHMRX)	A	Dividend	M	T	Buy	12/19/16	M		
195. Nuveen Int Dir Muni Bond	C	Dividend			Sold	12/19/16	M		
196. Nuveen Real Estate Sec FD (X)	C	Dividend	K	T					
197. Nuveen Sh Dir High Yield Muni Bond (NVHIX)	C	Dividend	M	T					
198. Oppenheimer Cap Income Y (OCIIYX)		None	K	T	Buy	12/19/16	K		
199. Procter & Gamble (PG)	A	Dividend	K	T					
200. T Rowe Price Growth Stock Adv (PRGFX)	B	Dividend	L	T					
201. T Rowe Price MidCap Gr Adv (RPMGX)	B	Dividend	L	T					
202. T Rowe Price Mid Cap (TRMCX)	C	Dividend	L	T					
203. The Technology Sel Sec Spdr Fd (XLK)	A	Dividend	K	T					
204. United Technologies Corp (UTX) (X)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Barrett, Michael R.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Vanguard 500 Indx Admiral (VFIAX)	B	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Barrett, Michael R.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 1 and 2: Filer's interest is 1/6.

Part VII, line 42: Corporate name change; see 2015, line 42.

Part VII, line 9: See 2015, lines 19 and 20.

Part VII, line 106: Name change; see 2015, line 160

Part VII, line 151: Name change; see 2015, line 177

Part VII, line 43 of the 2015 report should have reflected a partial sale.

Part VII, lines 27, 92-98, 100-104, 106-108, 110-114, 119, 120, 122-127, 129-134, 136, 137, 139-148, 150-152, 154, 155, 157-159, and 161 of the 2015 report are not reportable in 2016. (Y)

Trust assets were merged or reallocated during 2016. In some instances, this may result in more than one corresponding line item per asset.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael R. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544