AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
INITIAL FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Kuo, Peggy	District Court - Eastern District of New York	01/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Magistrate Judge - Full-time	☐ Nomination Date ☑ Initial ☐ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2014 **to** 12/31/2015
7. Chambers or Office Address		
225 Cadman Plaza East Brooklyn, NY 11201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	General Counsel and Deputy Commissioner	New York City Office of Administrative Trials and Hearings
2.	Assistant Treasurer	Federal Bar Council
3.	President-Elect	Federal Bar Council Inn of Court
4.	Vice-Chair	Manhattan Legal Services
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2015	New York City Pension Plan - payments upon retirement, no control
2.	2010	New York Stock Exchange Pension Plan - payments upon retirement, no control
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2013	New York City Office of Administrative Trials and Hearings, gross salary	$144,088.00
2.	2014	New York City Office of Administrative Trials and Hearings, gross salary	$153,137.00
3.	2015	New York City Office of Administrative Trials and Hearings, gross salary	$144,752.00
4.	2015	Defense Institute for International Legal Studies, teaching fee	$575.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013-2015	self-employed musician
2.	2013-2015	music royalties
3.	2013-2015	Fodera instruments - endorsement fee
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	EXEMPT				
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Kuo, Peggy	01/25/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Justice Federal Credit Union - bank account	A	Interest	K	T	Exempt				
2. New York City Deferred Compensation Plan - common trust fund			M	T	Exempt				
3. American Funds The Growth Fund of America Class F-2	A	Dividend			Exempt				
4. Calamos Convertible Fund Class A	A	Dividend			Exempt				
5. Calvert Short Duration Income Fund Class A	A	Dividend			Exempt				
6. DWS Small Cap Value Fund Class A	A	Dividend			Exempt				
7. Eaton Vance Floating-Rate Advantage Fund Class I	A	Dividend			Exempt				
8. Eaton Vance Large Cap Value Fund Class A	A	Dividend			Exempt				
9. Eaton Vance Large Cap Value Fund Class I	A	Dividend			Exempt				
10. Eaton Vance Richard Bernstein Equity Strategy Fund Class I	A	Dividend			Exempt				
11. Franklin-Templeton Global Bond Fund Class A	A	Dividend			Exempt				
12. Goldman Sachs MidCap Value Inst'l	A	Dividend			Exempt				
13. Invesco MidCap Core-Equity Fund Class Y	A	Dividend			Exempt				
14. Ivy High Income Fund Class A	A	Dividend			Exempt				
15. Ivy High Income Fund Class I	A	Dividend			Exempt				
16. JP Morgan Short Duration Bond Fund Class A	A	Dividend			Exempt				
17. JP Morgan Strategic Income Opportunities Fund Select Class Shares	A	Dividend			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kuo, Peggy	01/25/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Loomis Sayles Ltd Term Govt & Agency Fund	A	Dividend			Exempt				
19. Loomis Sayles Ltd Term Govt & Agency Fund Class Y	A	Dividend			Exempt				
20. Lord Abbett Short Duration Income Fund	A	Dividend			Exempt				
21. Managers Funds Short Duration Govt	A	Dividend			Exempt				
22. Oakmark Intl Fund Class I	A	Dividend			Exempt				
23. Oppenheimer Developing Mkts Fund Class Y	A	Dividend			Exempt				
24. Oppenheimer Equity Income Fund Class A	A	Dividend			Exempt				
25. Oppenheimer Equity Income Fund Class Y	A	Dividend			Exempt				
26. Oppenheimer Rochester Ltd Term NY	A	Dividend			Exempt				
27. Oppenheimer Steelpath MLP Alpha Fund A	A	Dividend			Exempt				
28. Oppenheimer Steelpath MLP Alpha Fund Y	A	Dividend			Exempt				
29. PIMCO CommoditiesPlus Fund Class A	A	Dividend			Exempt				
30. PIMCO CommoditiesPlus Strategy Fund Class P	A	Dividend			Exempt				
31. PIMCO Real Return Fund Class A	A	Dividend			Exempt				
32. PIMCO Total Return Fund Class A	A	Dividend			Exempt				
33. Scout MidCap Fund	A	Dividend			Exempt				
34. TCW Emerging Markets Income Fund Class I	A	Dividend			Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. TCW Emerging Markets Income Fund Class N	A	Dividend			Exempt				
36. Templeton Global Bond Advisor Class	A	Dividend			Exempt				
37. Tweedy, Browne Global Value Fund	A	Dividend			Exempt				
38. Victory Fund for Income Class I	A	Dividend			Exempt				
39. Victory Fund for Income Class A	A	Dividend			Exempt				
40. Vanguard 500 Index Fund Admiral Shares	D	Dividend	M	T	Exempt				
41. Vanguard Value Index Fund Admiral Shares	A	Dividend			Exempt				
42. Vanguard Extended Mkt Index Fund Admiral Shares	A	Dividend	L	T	Exempt				
43. Vanguard Mid-Cap Index Fund Investor Shares	A	Dividend			Exempt				
44. Vanguard Mid-Cap Index Fund Admiral Shares	A	Dividend			Exempt				
45. Vanguard Total Bond Mkt Index Fund Admiral Shares	B	Dividend	K	T	Exempt				
46. Vanguard Total Bond Mkt Index Fund Investor Shares	A	Dividend			Exempt				
47. Vanguard Total Bond Mkt Index Fund Admiral Shares	A	Dividend	K	T	Exempt				
48. Vanguard Intermediate Term Investment Grade Fund Investor Shares	A	Dividend	K	T	Exempt				
49. Vanguard Total Int'l Stock Index Fund Admiral Shares	C	Dividend	N	T	Exempt				
50. Vanguard Total Stock Mkt Index Fund Admiral Shares	D	Dividend	N	T	Exempt				
51. Dodge & Cox Stock	A	Int./Div.	K	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kuo, Peggy	01/25/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Vanguard Total Bond Mkt Institutional	A	Int./Div.	J	T	Exempt				
53. Goldman Sachs Strategic Inc IC	A	Int./Div.	J	T	Exempt				
54. DFA US Small Cap Value	A	Int./Div.	J	T	Exempt				
55. Artisan Intl Institutional	A	Int./Div.	K	T	Exempt				
56. Fidelity Contrafund K	A	Int./Div.	K	T	Exempt				
57. Fidelity Low Priced Stock K	A	Int./Div.	J	T	Exempt				
58. Bank of America bank account	A	Interest	J	T	Exempt				
59. Illinois State Universities Retirement System common trust fund			K	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Kuo, Peggy	01/25/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, items 2 and 59 are common trust funds which do not disclose the underlying holdings or the income derived from them. The only information I have shows the values of the account on a quarterly or annual basis, which may include reinvested dividends and interest, along with market fluctuations in the value of the assets. Accordingly, I have left columns B(1) and B(2) blank.

Name of Person Reporting	Date of Report
Kuo, Peggy	01/25/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Peggy Kuo

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544