

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Adelman, Lynn S.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 11/19/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 517 East Wisconsin Avenue, Room 364 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	State of Wisconsin - Pension / Retirement	\$17,704.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Albuquerque Bernali 013493EU1		None			Sold	06/06/18	K		
2. Aldine IDS 014393NP3		None			Sold	02/15/18	K		
3. Citizens Bank of Munkowago	A	Interest	M	T					
4. County of Cook 213248AM9		None			Sold	06/07/18	K		
5. Ctrl Puget Sound 155048AY7		None			Sold	02/01/18	K		
6. DFA Emerging Markets Core Equity DFCEX					Sold (part)	01/29/18	K		
7.					Sold (part)	06/06/18	J		
8.	B	Int./Div.	M	T					
9.					Buy	12/06/18	K		
10. DFA International Core DFIEX					Sold	01/29/18	L		
11.	D	Int./Div.	N	T					
12.					Buy (add'l)	12/06/18	M		
13.					Buy (add'l)	12/20/18	K		
14. DFA Muni Real Return DMREX					Buy	01/29/18	L		
15.	C	Int./Div.	M	T					
16.					Sold (part)	02/26/18	K		
17.					Sold (part)	05/29/18	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	06/13/18	K		
19.					Sold (part)	12/06/18	M		
20. DFA Real Estates Securities DFREX	C	Int./Div.	L	T					
21. DFA Short-Duration Real Return Intitutional DFAIX					Sold (part)	05/25/18	J		
22.		None			Sold	06/06/18	K		
23. DFA US Core Equity 1 DFEQX					Sold (part)	01/29/18	L		
24.					Sold (part)	02/26/18	J		
25.	E	Int./Div.	P1	T					
26.					Buy (add'l)	12/06/18	M		
27. Edgewell Personal Care EPC		None	J	T					
28. Energizer Holding Inc ENR	A	Int./Div.	J	T					
29. Exxon Mobil Corporation XOM	A	Int./Div.	K	T					
30. FI St B/E Cap Outly 341426Q31		None			Sold	06/06/18	K		
31. Frisco Ids 35880CEK6		None			Sold	06/06/18	K		
32. Gilead Sciences Inc. CILD	A	Dividend	K	T					
33. Grant Co Pud No 387883TD3		None			Sold	06/06/18	L		
34. Henderson European Focus HFEAX	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. In Fin Auth Hwy REV		None			Sold	06/06/18	K		
36. Money Market Pool (Schwab Charitable Fund) SCGM1					Sold (part)	01/09/18	L		
37.		None			Sold (part)	12/17/18	J		
38.		None	K	T					
39. Nc Mun Pwr Cat Elec 658203T32		None			Sold	06/06/18	L		
40. Ncarolina St Univ 658289E87		None			Sold	06/06/18	K		
41. Nike Stock NKE	A	Int./Div.	L	T					
42. Oh St Tpk Comm		None			Sold	06/06/18	L		
43. Pfizer PFE	B	Int./Div.	L	T					
44. Rental Property - Milwaukee, WI (\$473,500)	E	Rent	N	S					
45. Round Rock Ids 779240GW4		None			Sold	06/06/18	K		
46. Royal Dutch Shell A Adrf RDSA	A	Int./Div.	J	T					
47. Schwab Government Fund SWGXX	A	Int./Div.	K	T	Sold (part)	02/27/18	K		
48.					Sold (part)	05/31/18	K		
49.					Sold (part)	06/01/18	J		
50.					Sold (part)	06/07/18	L		
51.					Buy (add'l)	06/12/18	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.					Buy (add'l)	06/13/18	J		
53.					Sold (part)	11/29/18	J		
54. Spdr S&P Mdcap 400 ETF MDY	A	Dividend	M	T					
55. State of Florida 341150ZY9		None			Sold	06/06/18	K		
56. State of Minnesota 604129PM2		None			Sold	04/03/18	K		
57. State of Ohio 677521QQ2		None			Sold	06/06/18	K		
58. State of Washington 93974CRN2		None			Sold	06/06/18	K		
59. Tucson Az Wtr 898797EF8		None			Sold	06/06/18	L		
60. Vanguard Tax-Exempt Money Market VMSXX	A	Dividend	K	T					
61. Vanguard Total Intl Bond Index - Admiral VTABX	C	Dividend	M	T					
62.					Buy (add'l)	01/30/18	L		
63.					Sold (part)	06/06/18	K		
64.					Sold (part)	11/21/18	J		
65. Vanuand Intermediate-Term Tax Exempt - Adminar VWIUX	D	Dividend	M	T					
66.					Buy	06/13/18	N		
67.					Sold (part)	12/06/18	M		
68. Vanguard Limited-Term Tax Exempt - Admiral VMLUX	C	Dividend	M	T					

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69.					Buy	06/13/18	N		
70.					Sold (part)	12/06/18	M		
71. WP Carey Inc WPS	B	Dividend	K	T					
72. Wisconsin ST 97705LRK5		None			Sold	05/01/18	K		
73. Wisconsin State Aaa 97705MHF5		None			Sold	06/06/18	K		
74.									
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86.									
87.									
88.									
89.									
90.									
91.									
92.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lynn S. Adelman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544