

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leonard, J. Rich	2. Court or Organization U.S. Bankruptcy Court - EDNC	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 300 Fayetteville Street P. O. Box 1441 Raleigh, North Carolina 27602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Editor in Chief	American Bankruptcy Law Journal, National Conference of Bankruptcy Judges
2. Member, Board of Visitors	Campbell University School of Law
3. Trustee	Episcopal Diocese of North Carolina
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Leonard, J. Rich

Date of Report

05/14/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Editor-in-Chief, American Bankruptcy Law Journal	\$22,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Salary - Peace College (teaching)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Association of American Law Schools	January 6 - 7, 2012	Washington, DC	Assoc. of American Law Schools Conference	travel, meals, lodging
2. Federal Judicial Center	January 31 - February 2, 2012	Jacksonville, FL	National Strategic Planning Workshop for U.S. Bankruptcy Courts	travel, meals, lodging
3. Rhode Island Federal Bar Association	February 29 - March 1, 2012	Providence, Rhode Island	Rhode Island Federal Bar Association Luncheon	travel, meals, lodging
4. American College of Bankruptcy Fellows	March 16, 2012	Washington, DC	American College of Bankruptcy Fellows	travel, meals, lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Leonard, J. Rich

Date of Report

05/14/2013

5.	National Conference of Bankruptcy Judges	March 19 - 20, 2012	Miami Beach, FL	National Conf. of Bankruptcy Judges Mid-Year Meeting & ABLJ Meeting	travel, meals, lodging
6.	Federal Judicial Center	March 27 - 29, 2012	Charleston, SC	Bankruptcy Judges' Workshop	travel, meals, lodging
7.	Administration Office of the US Courts	April 25 - 27, 2012	Washington, DC	Next Generation Project Steering Group Meeting & CACM Subcommittee Mtg	travel, meals, lodging
8.	Eastern Bankruptcy Institute	May 30 - June 2, 2012	N. Myrtle Beach, SC	Eastern Bankruptcy Institute Annual Meeting	lodging
9.	Administrative Office of the US Courts	July 15 - 17, 2012	Washington, DC	1st Judicial Executive Leadership Conference	travel, meals, lodging
10.	Administrative Office of the US Courts	August 12 - 13, 2012	Washington, DC	CACM Subcommittee Meeting	travel, meals, lodging
11.	National Conference of Bankruptcy Judges	October 23 - 27, 2012	San Diego, CA	National Conf. of Bankruptcy Judges Annual Meeting & ABLJ Meeting	travel, meals, lodging
12.	North Carolina Bar Association	November 9 - 10, 2012	Greensboro, NC	North Carolina Bar Association Annual Meeting	meals, lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Leonard, J. Rich

Date of Report

05/14/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Great Lakes Loan Corp.	Student Loan	L
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Leonard, J. Rich

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BB&T - Bank Account	A	Interest	J	T					
2. TIAA CREF Retirement	A	Interest	L	T					
3. Fidelity Advisor 529 Portfolio 2022 (no control)	A	Interest	J	T					
4. Suntrust - Bank Account	A	Interest	J	T					
5. Suntrust - Bank Account	A	Interest	J	T					
6. Suntrust - Bank Account	A	Interest	J	T					
7. Suntrust - Bank Account	A	Interest	J	T					
8. Franklin Real Return Fund Class C - Mutual Fund	A	Dividend	J	T					
9. Franklin North Carolina Tax Free Class C - Mutual Fund	A	Interest	J	T					
10. Russell Short Duration Bond Fund Class C - Mutual Fund	A	Dividend	J	T					
11. Russell Equity Growth Strategy Fund Class C - Mutual Fund	A	Dividend	K	T					
12. Russell Strategic Bond Fund Class C - Mutual Fund	A	Dividend	J	T					
13. Templeton Global Bond Fund Class C - Mutual Fund	A	Dividend	J	T					
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Leonard, J. Rich

Date of Report

05/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Leonard, J. Rich

Date of Report

05/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Rich Leonard**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544