

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CLEMENT, EDITH B.	2. Court or Organization Appeals Court	3. Date of Report 07/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 600 Camp Street, Room 200 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. J.P. Morgan Chase (n/c fm Bank One 7/05)		None	J	T					
2. Rental Property/Residential FMV, New Orleans, LA	E	Rent	O	W					
3. Genworth Life & Annuity Ins Co, Flexible Premium Adjust Life Whole Li	C	Interest	L	T					see Section VIII
4. Colonial Bank									see Section VIII
5. Devon Energy Inc., 8.25% due 7/1/18 (bond)	D	Interest	L	T					see Section VIII
6. Vanguard ST Fixed Income Investment Grade (VFSUX)	E	Dividend	P1	T	Sold (part)	03/24/16	M		
7.					Sold (part)	04/26/16	J		
8.					Sold (part)	06/21/16	K		
9.					Buy (add'l)	10/14/16	N		
10. Vanguard Index Large Cap ETF (VV)	B	Dividend	L	T	Sold (part)	03/24/16	L		
11. Vanguard Index Mid Cap ETF (VO)	B	Dividend	M	T	Buy (add'l)	03/24/16	J		
12.					Sold (part)	11/04/16	K		
13. Vanguard Index REIT ETF (VNQ)	C	Dividend	L	T	Buy (add'l)	03/24/16	J		
14. Vanguard Index Small Cap ETF (VB)	C	Dividend	M	T	Buy (add'l)	03/24/16	J		
15. Vanguard Index Emerging Market ETF (VWO)	B	Dividend	L	T	Buy (add'l)	03/24/16	J		
16. Vanguard Index Developed International ETF (VEA)	A	Dividend	J	T	Buy	03/24/16	J		
17. Vanguard Consumer Discretionary ETF (VCR)	C	Dividend	M	T	Buy (add'l)	03/24/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18.					Buy (add'l)	04/26/16	L		
19. Vanguard Consumer Staples ETF (VDC)	C	Dividend	M	T	Buy (add'l)	03/24/16	K		
20. Vanguard Energy ETF (VDE)	C	Dividend	M	T	Buy (add'l)	03/24/16	K		
21.					Buy (add'l)	09/27/16	K		
22. Vanguard Financials ETF (VFH)	D	Dividend	N	T	Buy	03/24/16	M		
23.					Buy (add'l)	04/26/16	L		
24.					Buy (add'l)	06/21/16	L		
25. Vanguard Health Care ETF (VHT)	C	Dividend	M	T	Buy	03/24/16	M		
26.					Buy (add'l)	04/26/16	L		
27. Vanguard Industrial ETF (VIS)	C	Dividend	M	T	Buy	03/24/16	M		
28.					Buy (add'l)	09/27/16	K		
29. Vanguard Info. Technology ETF (VGT)	C	Dividend	M	T	Buy (add'l)	03/24/16	K		
30.					Buy (add'l)	04/26/16	L		
31.					Buy (add'l)	06/21/16	K		
32. Vanguard Materials ETF (VAW)	A	Dividend	K	T	Buy	03/24/16	K		
33. Vanguard Telecomm. ETF (VOX)	C	Dividend	M	T	Buy (add'l)	03/24/16	J		
34. Charles Schwab Bank Deposit	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Proshares Short S&P 500 ETF (SH)		None			Sold	03/02/16	L		
36. Market Vectors Natural Resources ETF (HAP)		None			Sold	03/24/16	K		
37. SPDR S&P Aerospace and Defense ETF (XAR)	A	Dividend			Sold	03/24/16	L		
38. Vanguard Star Fund (VGSTX)	C	Dividend			Sold (part)	04/26/16	L		
39.					Sold (part)	06/21/16	K		
40.					Sold (part)	09/27/16	J		
41.					Sold	10/14/16	N		
42. US I-Savings Bonds	B	Interest	K	T					
43. Vanguard Variable Annuity -Vanguard Capital Growth Fund		None			Sold	02/12/16	K		
44. Vanguard Variable Annuity - Vanguard Equity Index		None			Sold	02/12/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, #3. With regard to your letter of June 3, 2016, Genworth, the insurer, does not offer the policy holder the option to choose specific investments. Genworth is unable to provide a breakdown of fund(s) as policies are not invested on an individual basis within Genworth's investment portfolio.

Section VII, #4. This holding has been listed in error as Colonial Bank ceased existence in 2009 and the bond defaulted.

Section VII, #5. Name changed to Devon Energy previously listed as Ocean Energy. 04/25/2003

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ EDITH B. CLEMENT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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