

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Polster, Dan A.	2. Court or Organization U.S. Dist. Ct., ND Ohio	3. Date of Report 07/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge--Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Judge 18B Carl B. Stokes U.S. Court Cleveland, Ohio 44113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Lifetime Trustee	Agnon School
2.	Lifetime Trustee	Siegal College of Jewish Studies
3.	Trustee	Jewish Education Center of Cleveland
4.	Trustee	Jewish Federation of Cleveland
5.	Trustee	Bellefaire Jewish Children's Bureau
6.	Trustee	trust
7.	Board of Directors	Federal Bar Assn (Cleveland chapter)
8.	Board of Directors	Mt. Sinai Health Care Foundation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	U.S. Civil Service Retirement System (CSRS) vested pension for Dept. of Justice service (1976-1998); to be paid upon retirement from judicial service
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Park Synagogue (Stipend for teaching 10th grade Confirmation class)	\$3,500.00
2. 2012	Cleveland-Marshall College of Law (taught class on mediation)	\$2,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Hahn, Loeser & Parks (law firm)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Conference Institute	October 27-29	New York, N.Y.	Participate in CLE	Airfare, busfare: conference cancelled due to Hurricane Sandy
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Money Market Funds (MLynch)	A	Interest	J	T					
2. BB&T Corp	A	Dividend	J	T	Buy	12/13/12	J		
3. Oakmark Intl Fund	A	Dividend	J	T					
4. Baron Growth Fd	A	Dividend	J	T					
5. GNM bonds	B	Dividend	J	T					
6. Templeton Global Bd Fd	A	Dividend	K	T					
7. Lord Abbett Value Fd	A	Dividend	J	T	Sold	10/11/12	J	A	
8. Mainstay Large Cap Fd	A	Dividend	K	T					
9. NFJ Div Int Prem Strat Fd	A	Dividend	J	T					
10. Amer.Funds Wash Fd	A	Dividend	J	T					
11. Delaware Corp Bd Fd	A	Dividend	K	T	Buy (add'l)	10/11/12	K		
12. Eaton Vance Tax-Ad Gl Div Fd	A	Dividend	K	T					
13. BNY Capital V Pref.	A	Dividend	J	T	Sold	11/26/12	J	A	
14. USB Capital VIII Trust Pfd	A	Dividend	J	T	Sold	11/26/12	J	A	
15. Cohen & Steers Pref	A	Dividend	J	T	Buy	10/11/12	J		
16.									
17. Dreyfus Prem. Mun. Bd Fund	C	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Liberty Acorn Tr Fd	A	Dividend	K	T					
19. Chevron/Texaco	A	Dividend	K	T					
20. Hamilton County Sewer Bonds	A	Dividend	J	T					
21. DWS High Inc. Trust (formerly Kemper High Inc. Trust)	A	Dividend	J	T					
22. Intel	A	Dividend	J	T					
23. Goldman Sachs Money Mkt	A	Dividend	K	T					
24. Costco Wholesale Corp	A	Dividend	J	T					
25. Vanguard Mid-Cap Fd	A	Dividend	J	T					
26. Microsoft Corp.	A	Dividend	K	T					
27. Mylan, Inc.	A	Dividend	J	T	Buy	11/15/12	J		
28. Deere & Co.	A	Dividend	K	T					
29. FedEx Corp.	A	Dividend	K	T					
30. Barrick Gold Corp	A	Dividend	J	T	Sold	11/15/12	J	A	
31. Kinder Morgan Energy Partners	A	Dividend	K	T					
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.									
36. Tenneco common	A	Dividend	J	T					
37. Embark (spinoff)	A	Dividend	J	T					
38. Windstream (spinoff)	A	Dividend	J	T					
39. Transistor Devices common	A	Dividend	L	W					
40. Transistor Devices common	A	Dividend	L	W					
41. Nomura Partners Japan Fund Class S	A	Dividend	J	T					
42. Unicom (formerly Comm. Ed. Preferred)	A	Dividend	J	T					
43. Roulston Growth Fd	A	Dividend	J	T					
44. PNC Bank (formerly National City)--check	A	Interest	J	T					
45. PNC Bank-check	A	Interest	J	T					
46. PNC Bank--CD	A	Interest	J	T					
47. PNC Bank--CD	A	Interest	J	T					
48. Ohio Savings - savings	A	Interest	J	T					
49. IRA-Ohio Savings Bank (Amcap Class A Fd)	B	Dividend	K	T					
50. IRA-Ohio Savings Bank (CD)	B	Interest	K	T					
51. IRA-Prudential Equity Fund	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. IRA-Prudential Equity Fd.	C	Dividend	K	T					
53. 401(K)- law firm (ABA Ret. Fd)	A	Dividend	N	T					
54. HR 10- slawfirm (ABA Ret. Fd) managed	A	Dividend	N	T					
55. Mentor Property,LLC	A	Distribution	K	R	Buy	12/20/12	K		
56.									
57. Money Market (MLynch)	A	Interest	J	T					
58. Transistor Devices common	A	Dividend	L	W					
59. American Funds Wash.	A	Dividend	J	T					
60. American Gr. Fd of America	A	Dividend	J	T					
61. Cohen & Steers REIT	A	Dividend	J	T					
62. Cohen & Steers Pref	A	Dividend	J	T	Buy	10/11/12	J		
63. Eaton Vance Inc. Fd	A	Dividend	J	T	Buy (add'l)	10/11/12	J		
64. Templeton Global Bd Fd	A	Dividend	J	T	Buy (add'l)	10/11/12	J		
65. Lord Abbett Value Fd	A	Dividend	K	T	Sold	10/11/12	J	A	
66. Oakmark Intl Fd	A	Dividend	J	T					
67. Ford common	A	Dividend	K	T					
68. Vistcon (Ford spinoff)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Citigroup (acq. Ford spinoff)	A	Dividend	J	T					
70. Unicom (form. Comm. Ed)	A	Dividend	J	T					
71. Warburg Pincus Growth	A	Dividend	J	T					
72. PNC Bank (savings)	A	Interest	J	T					
73.									
74. Trust** (lines 74-132)	E	Int./Div.	P1	T					
75. --State of Israel Bond									
76. --Tenneco common									
77. --JPMorgan Chase									
78. --Sprint Corp									
79. --M.Lynch Money Market									
80. -- Israel Preferred									
81. --, Dev. Bank of Israel Pref.									
82. -- Amer.Fds New Persp Fd									
83. --American Washington Mut Fd					Sold (part)	09/24/12	J	A	
84. -- Oakmark Intl Fund					Sold (part)	09/24/12	J	A	
85. --Baron Growth Fd					Sold (part)	09/24/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. --US GNM Bonds									
87. --Templeton Global Bond Fd									
88. --Pimco Total Ret Fd									
89. --Eaton Vance Tax-Ad Fd									
90. --Lord Abbett Value Fd					Sold	09/24/12	J	A	
91. Delaware Corp. Bd Fd									
92. Mainstay Large Cap Gr Fd					Sold (part)	05/29/12	K	B	
93. Cohen & Steers Pref					Buy	05/29/12	K		
94. BB & T Corp					Buy	09/24/12	K		
95.									
96. --Money Market (Janney Adv)									
97. --Enbridge Energy Mgt. LLC					Sold (part)	05/16/12	K	D	
98. --Trailer Bridge Inc.									
99. --Cogdell Spencer Inc.					Sold	04/03/12	J	A	
100. Nicholas Financial Inc.					Sold	02/01/11	K	D	
101. --Bolt Technologies					Sold (part)	08/29/12	K	D	
102. --Culp Inc.					Sold (part)	11/28/12	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --Durect Corp.					Buy (add'l)	03/08/12	J		
104. Hersha Hospitality Tr					Buy	10/26/11	K		
105. Stratus Properties Inc					Buy	01/20/11	K		
106. GT Advanced Techs Inc					Buy	05/16/12	K		
107. GT Advanced Techs Inc					Buy (add'l)	08/30/12	K		
108. Nautilus, Inc.					Buy	11/29/12	K		
109.									
110. --Money Market (Wells Fargo)									
111. --Laclede Gas common					Sold (part)	11/15/12	K	E	
112. Mylan, Inc.					Buy	11/15/12	K		
113. --Nuveen Ohio Munic. Fd									
114. --Eaton Vance Tax Managed Gr. Fd (formerly E.V. Marath)									
115. --Cedar Fair									
116. --American Express Co.									
117. --Chevron/Texaco									
118. --XTO Energy (now Exxon Mobil after 2010 merger)									
119. --Enterprise Products									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Franklin, Ohio Munic. Bond									
121. --Hewlett-Packard					Sold	11/15/12	J	A	
122. --Caterpillar Inc									
123. --IBM Corp.									
124. --Emerson Electric Co.									
125. --Costco Wholesale Corp									
126. Continental Resources Inc.					Buy	12/01/11	K		
127. Hospitality Properties Reit Trust					Buy	11/01/11	K		
128. Kinder Morgan Energy Partners LP					Buy	11/30/11	K		
129. Barrick Gold Corp					Sold	11/15/12	K	A	
130. --Vanguard Mid-Cap Fd									
131. --Cuyahoga Cty Cap Impt Bond									
132. --Embarq. (spinoff)									
133. --Windstream (spinoff)									
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									
138.									
139.									
140.									
141.									
142.									
143.									
144.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting	Date of Report
Polster, Dan A.	07/30/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII (Investments and Trusts), lines 62 and 63: These are [REDACTED] 401(K) and HR 10 accounts, maintained through [REDACTED] law firm. They are both professionally managed by ABA Retirement Funds. [REDACTED] determines an allocation among generic funds (e.g. bond, large-cap, small-cap). [REDACTED] has no knowledge or control of the selection of the specific funds themselves.

Part VII (Investments and Trusts), line 97. This company, Nicholas Financial Inc., went into bankruptcy reorganization.

Part VII (Investments and Trusts), line 99. I had listed this security, Trailer Bridge Inc., as a partial sale in 2011. In fact, the Trust completely sold it.

Part I

9. Board of Directors

Global Cleveland

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Polster, Dan A.

Date of Report

07/30/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Dan A. Polster**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544