

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Godbey, David C.	2. Court or Organization Northern District of Texas	3. Date of Report 08/10/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U. S. District Judge 1100 Commerce Street Room 1504 Dallas Tx 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Agent/Executor	Agent, then Executorship See Part VII Lines 6-40 and also lines 51-88
2. Executor	Executorship, see Part VII Lines 41-50
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014	Self employed, attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JPMorgan Chase Bank N A	Line of credit	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch - Retirement	E	Dividend	O	T					
2. -Vanguard Institutional Index Fund					Buy (add'l)	06/30/14	K		
3. -Vanguard Short Term Investment Grade Fund ADM Class					Buy (add'l)	06/30/14	J		
4. JPMorgan Chase Bank Account	A	Interest	M	T					
5. Vanguard Wellington Fund Admiral	D	Dividend	M	T	Sold (part)	12/15/14	L	D	
6. JPMorgan Chase Bank Account DOD 8-16-14	A	Interest	M	T					
7. Schwab Brokerage #1 (H) DOD 8-16-14	D	Dividend			Distributed	12/19/14	P1		
8. - Sch Adv Cash Reserve SWZXX DOD 8-16-14					Distributed	12/18/14	K		
9. - DFA One Yr Fixed Inc Portfolio					Sold	02/05/14	L	A	
10. - DFA Two Yr Global Fixed Inc Portfolio					Sold	02/05/14	L	A	
11. - DFA Emerging Mkts Sm Cap Portfolio DOD 8-16-14					Sold	12/15/14	K		
12. - DFA Emerging Mkt Value Portfolio DOD 8-16-14					Sold	12/15/14	K		
13. - DFA Intl Sm Cap Value Prtfolio DOD 8-16-14					Sold	12/15/14	K		
14. - DFA Intl Value Portfolio					Sold	02/05/14	K	B	
15. - DFA Real Estate Sec Portfolio DOD 8-16-14					Sold	12/15/14	K	B	
16. - DFA US Lg Cap High (formerly Value Portfolio)					Sold	02/05/14	K	D	
17. - DFA US LG Co Portfolio					Sold	02/05/14	K	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - DFA US Targeted Value Portfolio					Sold	02/05/14	K	D	
19. - Powershares Common Stock					Sold	02/05/14	K		
20. - DFA Intl Sm Cap Gwptf					Buy	02/05/14	K		
21.					Sold	02/05/14	K		
22. - DFA US Lg Cap Grwth PTF					Buy	02/05/14	K		
23.					Sold	02/05/14	K		
24. - DFA US Micro Cap Instl					Buy	02/05/14	K		
25.					Sold	02/05/14	K		
26. - DFA Interm Govt Fixed					Buy	02/05/14	K		
27.					Sold	02/05/14	K		
28. - DFA Five Year Glbl					Buy	02/05/14	K		
29.					Sold	02/05/14	K		
30. - DFA Sm Cap Grwth PTF					Buy	02/05/14	K		
31.					Sold	02/05/14	K		
32. - DFA Sm Cap Value					Buy	02/05/14	K		
33.					Sold	02/05/14	K		
34. Schwab Brokerage #2 (H)	D	Dividend			Distributed	12/19/14	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - SCHW Intl Eq ETF DOD 8-16-14					Sold	12/15/14	M		
36. - SCHW US Brd Mkt ETF DOD 8-16-14					Sold	12/15/14	M	B	
37. - SPDR Barclays Cap Intl T Bond DOD 8-16-14					Sold	12/15/14	L		
38. - Vanguard Bond Index Fund DOD 8-16-14					Sold	12/15/14	L	A	
39. - Vanguard Energy ETF DOD 8-16-14					Sold	12/15/14	L		
40. - Vanguard REIT DOD 8-16-14					Sold	12/15/14	M	D	
41. JPMorgan Chase Bank Account	A	Interest	N	T					
42. Scottrade Brokerage (H)	A	Int./Div.							
43. - SPDR S&P 500 ETF formerly TR Unit Sr I			N	T					
44. - SPDR S&PMidcap 400ETF Tr U			N	T					
45. - Vanguard ScottsdaleFDS Int-Term Corp			O	T					
46. - Vanguard FTSE Dev Mkts formerly Tax-Managed Fnd Eur Pac ETF			M	T					
47. Riley James Matagorda Prospect LP DOD value 6/18/12	D	Royalty	J	W					
48. Fergus Oil & Gas LLC DOD 6/18/12	B	Royalty	J	W					
49. Harbor Resources LLC DOD 6/18/12	B	Royalty	J	W					
50. Harbor Resources LLC DOD value 6/18/12	C	Royalty	J	W					
51. Merrill Lynch Brokerage (H)(X) DOD 8-16-14	E	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - AFLAC Inc (X) DOD 8-16-14					Sold	10/15/14	K		
53. - Anadarko Petroleum Corp (X) DOD 8-16-14					Sold	10/15/14	K		
54. - BP PLC Spons Adr (X) DOD 8-16-14					Sold	10/15/14	J		
55. - Bristol Myers Squibb (X) DOD 8-16-14					Sold	10/15/14	K		
56. - Century Link Inc (X) DOD 8-16-14					Sold	10/15/14	K		
57. - Exxon Mobil Corp (X) DOD 8-16-14					Sold	10/15/14	L		
58. - Wisdom Tree Intl S/C DV F (X) DOD 8-16-14					Sold	10/15/14	K		
59. - Home Depot (X) DOD 8-16-14					Sold	10/15/14	L	C	
60. - Intel Corp (X) DOD 8-16-14					Sold	10/15/14	K		
61. - Vanguard ST Corp Bond (X) DOD 8-16-14					Sold	10/15/14	M		
62. - Vanguard Intermediate Term Corp Bnd (X) DOD 8-16-14					Sold	10/15/14	M		
63. - iShares Inc Global Ex USD (X) DOD 8-16-14					Sold	10/15/14	M		
64. - JPMorgan Chase & Co (X) DOD 8-16-14					Sold	10/15/14	M		
65. - Pfizer Inc (X) DOD 8-16-14					Sold	10/15/14	J		
66. - Qualcomm Inc (X) DOD 8-16-14					Sold	10/15/14	L		
67. - Sabine Royalty Trust UBI (X) DOD 8-16-14					Sold	10/15/14	L		
68. - Tesoro Corp (X) DOD 8-16-14					Sold	10/15/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
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69. - The Mosaic Company (X) DOD 8-16-14					Sold	10/15/14	K		
70. - Valero Energy Corp (X) DOD 8-16-14					Sold	10/15/14	K		
71. - Verizon Communications (X) DOD 8-16-14					Sold	10/15/14	J		
72. - Vodafone Group PLC ADR (X) DOD 8-16-14					Sold	10/15/14	J		
73. - Navios Maritime PRTS (X) DOD 8-16-14					Sold	10/15/14	L		
74. - Energy Transfer PRTS (X) DOD 8-16-14					Sold	10/15/14	L		
75. - First Eagle Global Class C (X) DOD 8-16-14					Sold	10/15/14	M		
76. - Ivy Asset Strategy Fund C1 C (X) DOD 8-16-14					Sold	10/15/14	M		
77. - ML Bank Deposit Program (X) DOD 8-16-14					Sold	10/15/14	K		
78. - Lord Abbett Short Duration Inc Fd (X) DOD 8-16-14					Sold	10/15/14	O		
79. - Templeton Global Bond Fd 1 C (X) DOD 8-16-14					Sold	10/15/14	M		
80. National Retail Properties Inc (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
81. Hawaiian Elect Ind (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
82. Hewlett Packard Co (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
83. Hopewell Holdings Ltd (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
84. Public Serv Enterprise Grp (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
85. Sabine Royalty Trust (X) DOD 8-16-14	A	Royalty			Sold	10/15/14	L		

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86. Telefonica S A Spons Adr (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
87. Texas Pacific LD Tr Sub CTF Prop (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	L		
88. Aberdeen Asia Pacific Inc (X) DOD 8-16-14	A	Dividend			Sold	10/15/14	J		
89. Schwab Brokerage #3 (H)(X)	D	Dividend							
90. - Sch Adv Cash Reserve (X)			J	T					
91. - DFA One Year Fixed Inc Port Instl (X)			L	T					
92. - DFA Two Year Global Fixed Inc Port Instl (X)			K	T					
93. - DFA Emerging Mkts Sm Cap Port Instl (X)			K	T					
94. - DFA Emerging Mkts Value Port Instl (X)			K	T					
95. - DFA Intl Sm Cap Grwth Instl (X)			K	T					
96. - DFA Intl Sm Cap Value Port Instl (X)			K	T					
97. - DFA Real Estate Secur Port Instl (X)			K	T					
98. - DFA US Lg Cap Grwth Instl (X)			K	T					
99. - DFA US Lg Cap Value Port Instl (X)			J	T					
100. - DFA US Micro Cap Port Instl (X)			K	T					
101. - DFAUS Sm Cap Grwth Instl (X)			J	T					
102. - DFA US Sm Cap Value Port Instl (X)			K	T					

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103. Vanguard Inter-Term Corp Bond Ix Ad (X)	B	Dividend	O	T					
104. Vanguard Total Stock Mkt Idx Adm (X)	C	Dividend	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Godbey, David C.

Date of Report

08/10/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 6 through 40 Respondent was agent for [REDACTED] investment accounts during 2014.

Part VII, Lines 51 through 88 family member passed away on August 16, 2014, and agent was appointed as Executor when other accounts were added .

Part VII, Lines 41 through 50 Respondent was appointed as Executor of a [REDACTED] estate. Date of death was June 18, 2012..

Part VII, Lines 89 through 104 assets where added as a result of inheritance by various [REDACTED]

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Godbey, David C.

Date of Report

08/10/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David C. Godbey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544