

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Preston, C. Kathryn	2. Court or Organization Bankruptcy Court, S.D. Ohio	3. Date of Report 05/6/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Bankruptcy Court 170 North High Street Columbus, Ohio 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1991	Huntington Bancshares Retirement Plan - former Employer; no control - Opinion of Committee on Code of Conduct obtained. (See Part VIII).
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Ohio Police & Fire Pension Fund (retirement benefits)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Columbus Bar Association Bankruptcy Law Institute	January 2, 2014	Columbus, OH	Speaker	Tuition, Meals
2. Midwest Regional Bankr. Seminar	August 21-22, 2014	Cincinnati, OH	Bankruptcy Seminar	Tuition, Meals, Travel Expenses, Hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Huntington National Bank	Mortgage on Rental Prop. #1, Cape Coral, FL. (Pt. VII, line 1)	M
2.	Huntington National Bank	Second Mortgage/Personal Credit Line, Rental Prop. #1 Cape Coral, FL. (Pt. VII, line 1)	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Cape Coral, FL (Purchased 2005 \$679,900)	E	Rent	O	R					
2. Investment Property, N. Captiva Island, FL		None	M	W					
3. Huntington Bank Accounts	A	Interest	L	T					
4. Bank of America Accounts	A	Interest	K	T					
5. Fifth Third Bank Account	A	Interest	J	T					
6. CME Fed. Credit Union Accounts (Y)	A	Interest			Closed	03/07/14			
7. Chase Bank Accounts	A	Interest	L	T					
8. Trust #1-income beneficiary (see Part VIII)	B	Distribution	N						
9. -Ashland, Inc.									
10. -AT&T, Inc. f/k/a SBC Communications									
11. -BRE Properties, Inc. (Y)					Merged (with line 20)	04/03/14	J	A	see Part VIII
12. -Chubb Corp.									
13. -Cimarix Energy Co. (Y)					Sold	10/24/14	J	C	
14. -Cisco Systems, Inc.									
15. -Conoco Philips									
16. -Denison Mines Corp.									
17. -Energy Fuels Inc. (Y)					Sold	10/24/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Dodge & Cox Int'l Stock Fund (Y)					Sold	10/22/14	K	A	
19. -EMC Corp.									
20. - Essex Property Trust Inc. Com (X)						04/03/14			merge with line 11
21.					Redeemed (part)	04/10/14	J	A	
22.					Sold (part)	04/21/14	J	A	
23. - First Trust Health Care AlphaDex Fund (X)					Buy	11/26/14	J		
24. -Frontier Communications Corp.									
25. -General Dynamics Corp.									
26. - Gilead Sciences Inc. (X)					Buy	11/26/14	J		
27. - Aberdeen Greater China Fund f/k/a Greater China Fund Inc.com (Y)					Sold (part)	2/06/14	J	A	
28.					Sold	10/24/14	J	A	
29. -Heartland Express, Inc.					Buy (add'l)	11/26/14	J		
30. -Ishares S&P Latin America (Y)					Sold	10/27/14	K	A	
31. -M&T Bank Corporation					Buy (add'l)	11/26/14	J		
32. -Marathon Oil (Y)					Sold	10/27/14	J	B	
33. -Marathon Petroleum Corp. (Y)					Sold	10/29/14	J	B	
34. - Market Vectors ETF (X)					Buy	11/26/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Merck & Co., Inc.									
36. -Microsoft Corp.									
37. -Phillips 66 (Y)					Sold	10/28/14	K	D	
38. -Raytheon Co.					Buy (add'l)	11/26/14	J		
39. -Southern Co.									
40. -Tupperware Corp.									
41. -Verizon Communications					Buy (add'l)	11/26/14	J		
42. -Walmart Stores, Inc.									
43. -Whirlpool Corp.									
44. -Wells Fargo Government Money Market Fund (Y)					Closed	10/10/14			
45. -Suntrust Investment Services Inc. Money Market (X)					Open	08/29/14			
46. -Farm Property (127.92 acres) Jasper Co., IA									
47. Huntington Bancshares Pension Plan (see Part VIII)		None	M	V					
48. Hartford Life Ins. Policy		None	L	T					
49. - American Funds Ins. Asset Alloc. Fund									
50. - American Funds Ins. Bond Fund									
51. -American Funds Ins. Growth Income Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Franklin Templeton Mutual Shares Security Fund									
53. -Fidelity VIP Contra Fund Portfolio									
54. -Fidelity VIP Mid Cap Portfolio									
55. - HLS Int'l Opport. Fnd									
56. -Oppenheimer Global Securities Fund									
57. -INV VK Comstock									
58. Brokerage Account #1 (H)			L	T					
59. - Exxon Mobile Corp. - common stock	A	Dividend	J	T					
60. -IBM -stock	A	Dividend	J	T					
61. - Proctor & Gamble Co. - common stock	A	Dividend	J	T					
62. - United Technologies Corp. - stock	A	Dividend	J	T					
63. - Walt Disney Co. -stock	A	Dividend	J	T					
64. -Fed OH Muni Income Fund	A	Int./Div.	J	T					
65. Brokerage Account #2 (H)			M	T					
66. - American Balanced Fund - Class A Bonds (see Part VIII)	C	Dividend	L	T					
67. -American Europacific Growth Fund Class A Bonds (see Part VIII)	A	Dividend	K	T	Buy (add'l)	01/09/14	J		
68.					Buy (add'l)	02/07/14	J		

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69.					Buy (add'l)	03/07/14	J		
70.					Buy (add'l)	04/09/14	J		
71.					Buy (add'l)	05/08/14	J		
72.					Buy (add'l)	06/09/14	J		
73.					Buy (add'l)	07/10/14	J		
74.					Buy (add'l)	08/07/14	J		
75.					Buy (add'l)	09/09/14	J		
76.					Buy (add'l)	10/09/14	J		
77.					Buy (add'l)	11/07/14	J		
78.					Buy (add'l)	11/25/14	J		
79.					Buy (add'l)	12/09/14	J		
80.	- American Growth Fund of America - Class A Bonds (see Part VIII)	D	Dividend	L T					
81.	IRA # 1 (see Part VIII)	E	Int./Div.	O T					
82.	-ABALX - Class A Bonds								
83.	-ABNDX - Class A Bonds								
84.	-AEPGX - Class A Bonds								
85.	-AGTHX Class A Bonds								

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. -AHITX - Class A Bonds									
87. -AIVSX - Class A Bonds									
88. -AMECX - Class A Bonds									
89. -CWGIX - Class A Bonds									
90. -NEWFX - Class A Bonds									
91. -SMCWX - Class A Bonds									
92. IRA # 2	A	Dividend	J	T					
93. - Dodge & Cox Bal. Fund									
94. - Hershey Foods Corp.									
95. Investment Accounts (as Custodian for [REDACTED])	A	Dividend	J	T					
96. - CAIBX (see Part VIII)					Distributed	11/13/14	J	A	
97. WG Holding Co., LLC		None	K	W					
98. Rum Cove, LLC		None	N	W					
99. -Rental prop N.Captiva Isl, FL(purchased 2011 \$350,000)			O	W					
100. -Personal property attendant to rental property			K	W					
101. IRA #3 ([REDACTED]) (see Part VIII)	F	Int./Div.	P1	T					
102. - Edward Jones Cash Account									

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103. - AMCPX - Class A Bonds					Buy	01/14/14	K		
104. - ABALX - Class A Bonds					Buy	01/14/14	K		
105. - AHITX - Class A Bonds					Buy	01/14/14	K		
106. - CAIBX - Class A Bonds					Buy	01/14/14	K		
107. - CWBFX - Class A Bonds					Buy	01/14/14	K		
108. - CWGIX - Class A Bonds					Buy	01/14/14	K		
109. - ANCFX - Class A Bonds					Buy	01/14/14	K		
110. - AMECX - Class A Bonds					Buy	01/14/14	K		
111. - AIVSX - Class A Bonds					Buy	01/14/14	K		
112. - PGBOX - Class A Bonds					Buy	01/14/14	K		
113. - ONIAX - Class A Bonds					Buy	01/14/14	K		
114. - OIEIX - Class A Bonds					Buy	01/14/14	L		
115. - VHIAx - Class A Bonds					Buy	01/14/14	L		
116. - OHYAX - Class A Bonds					Buy	01/14/14	L		
117. - JFEAX - Class A Bonds					Buy	01/14/14	L		
118. - JCMAX - Class A Bonds					Buy	01/14/14	K		
119. - OMBAX - Class A Bonds					Buy	01/14/14	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - ANEFX - Class A Bonds					Buy	01/14/14	K		
121. - ANWPX - Class A Bonds					Buy	01/14/14	K		
122. - SMCWX - Class A Bonds					Buy	01/14/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Preston, C. Kathryn

Date of Report

05/6/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part II Line 1 - Retirement plan of former employer; I have no control; I will receive retirement annuity upon reaching retirement age. I obtained the opinion of the Committee on Codes of Conduct on 9-27-06; They found that a conflict exists and directed that in actions involving Huntington (1) the parties must be notified of the conflict and that I am disqualified from presiding, and (2) the parties may be offered an opportunity to waive the conflict.

Part VII Line 8 - Value of the Trust includes real estate, and non-real estate assets. Value of the real estate is estimated: value code M, value method W. Value of non-real estate assets: value code N, value method T.

Part VII Line 10 -In this transaction, the Trust received some cash in connection with the exchange of stock resulting from merger.

Part VII Line 47 - The value of my interest in the Huntington Bancshares Retirement Plan is determined by calculation of the present value of the anticipated future benefits. The calculation is performed by Huntington or its administrative service provider.

Part VII Line 66, 67, 80, 81, 96, and 101 - Income includes capital gains assessed to account holders due to transactions by the mutual fund.

Part VII Line 96- One of the custodial investment accounts was transferred to the relative for which the account was held upon the relative reaching age of majority. No gain was realized by virtue of the transfer.

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Name of Person Reporting

Preston, C. Kathryn

Date of Report

05/6/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ C. Kathryn Preston

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544