

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Drain, Gershtwin A.	2. Court or Organization U.S. District Court, Eastern District of Michigan	3. Date of Report 04/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge; active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States District Court Theodore Levin United States Courthouse 231 W. Lafayette Blvd., Room 707 Detroit, MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Wayne County, Michigan Pension Payments	\$14,192.04
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University School of Law	01/25/18 to 01/29/18	Key Largo, FL	Education	Flight, Hotel, Meals and Shuttle
2.	George Mason University School of Law	04/12/18 to 04/15/18	San Diego, CA	Education	Flight, Hotel, Meals and Shuttle
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. - First Trust Sabrient Baker's Dozen Port	A	Dividend	K	T					
3. - AMCAP Fund Class C Am. Funds	A	Dividend	L	T					
4. - American Balanced Fund/Am. Funds	A	Dividend	K	T					
5. - Cap. Income Builder Fd./ Class C - Am. Funds	B	Dividend	L	T					
6. - Cap. World Growth & Inc. Fd./ Am. Funds	A	Dividend	L	T					
7. - Europacific Growth Fund/Am Funds	A	Dividend	K	T					
8. - Growth Fund of America/Am. Funds	A	Dividend	K	T					
9. - Hartford Floating Rate Fund	A	Dividend	K	T					
10. - Income Fund of Amer. Class C - Am. Funds	C	Dividend	M	T					
11. - New Economy Fund/Am. Funds	A	Dividend	K	T					
12. - New Perspective Fund Class C - Am. Funds	A	Dividend	L	T					
13. - First Trust Election Portfolio	A	Dividend			Sold	11/16/18	L		
14. IRA #2 (H)									
15. - Am. Funds Amcap Fund Class C	A	Dividend	J	T					
16. - Am. Funds - American Balance Fund	A	Dividend	J	T					
17. - American Funds Capital Income Builder	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	P4 = More than \$50,000,000	S = Assessment	T = Cash Market	
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	U = Book Value	V = Other	W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Am. Funds - Growth Fund of America	A	Dividend	J	T					
19. - American Funds New World Fund	A	Dividend	J	T					
20. IRA #3 (H)									
21. - Am. Funds - Capital Income Builder	A	Dividend	J	T					
22. - Am Funds The Growth Fund of America	A	Dividend			Sold	04/04/18	J		
23. - Am Funds Capital World Growth & Inc.	A	Dividend	J	T					
24. - Am. Funds Investment Co. of America (Y)									
25. - Am. Funds New Economy Fund	A	Dividend	J	T					
26. - Am. Funds New Perspective Fund	A	Dividend	J	T					
27. - Am. Funds New World Fund (Y)									
28. IRA #4 (H)									
29. - Amer. Funds AMCAP Fund Class C	A	Dividend	J	T					
30. - Franklin Rising Dividends Fund Class C	A	Dividend	J	T					
31. - Franklin Mutual Global Discovery Fund	A	Dividend	J	T					
32. IRA #6 (H)									
33. - Paychex Incorporated	A	Dividend			Sold	11/14/18	J		
34. - Pepsico Incorporated	A	Dividend			Sold	11/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Riverfront Strategic Income Fund	A	Dividend			Sold	10/17/18	J		
36. - First Trust ETF II Utilities Alphas Fund	A	Dividend	J	T					
37. - First Trust ETF IV Senior Loan Fund	A	Dividend	J	T					
38. - Flexshares Trust Quality Dividend Index Fund	A	Dividend			Sold	03/22/18	J		
39. - Global X U.S. Infrastructure Dev. ETF	A	Dividend	J	T	Buy	11/14/18	J		
40. - Global X Funds MSCI Norway ETF	A	Dividend			Sold	11/14/18	J		
41. - Goldman Sachs ETF Activebeta US Large Cap	A	Dividend	K	T					
42. - Invesco QQQ f/k/a Powershares QQQ Trust	A	Dividend	J	T					
43. - Invesco Aerospace & Def f./k/a Powershares ETF Tr. Aerospace, & Def,	A	Dividend	J	T					
44. - Invesco S&P 500 Equal Weight Energy ETF	A	Dividend	J	T	Buy	11/21/18	J		
45. - Invesco Emerging Markets f/ka/ Powershares Emerging Markets ETF	A	Dividend	J	T					
46. - iShares MSCI Aust ETF	A	Dividend	J	T					
47. - iShares MSCI CDA ETF	A	Dividend	J	T					
48. - iShares TR Core S & P 500 ETF	A	Dividend	K	T					
49. - iShares 20 Yr TR BD ETF	A	Dividend	J	T	Buy	11/14/18	J		
50. - iShares TR MSCI EAFE ETF	A	Dividend	J	T					
51. - iShares TR U.S. TECH ETF	A	Dividend	K	T	Buy	12/18/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Ishares TR U.S. Fin SVC ETF	A	Dividend	J	T					
53. - Ishares TR CORE S&P SCP ETF	A	Dividend	J	T					
54. - Ishares TR U.S. CNSM GD ETF	A	Dividend	J	T					
55. - Ishares TR MSCI Ac Asia ETF	A	Dividend	J	T					
56. - Ishares TR 10-20 YR TRS ETF	A	Dividend	J	T	Buy	10/17/18	J		
57. - Ishares TR U.S. MED DVC ETF	A	Dividend	J	T	Buy	11/14/18	J		
58. - Ishares TR Intl SEL Div ETF	A	Dividend			Sold	06/05/18	J		
59. - Ishares TR EAFE Value ETF	A	Dividend			Sold	06/05/18	K		
60. - Ishares TR EAFE Grwth ETF	A	Dividend	K	T					
61. - Ishares TR Core MSCI EAFE	A	Dividend	K	T					
62. - Ishares Incorporated Core MSCI EMKT	A	Dividend	K	T					
63. - JPMorgan Betabuilders Japan ETF	A	Dividend	J	T	Buy	10/10/18	J		
64. - Pimco ETF Trust	A	Dividend	K	T					
65. - SPDR Blackstone f/k/a SSGA Active ETF Trust Blackston/GSO Sr Ln ETF	A	Dividend	J	T					
66. - SPDR Healthcare Select f/k/a Sector SPDR TR SBI Healthcare	A	Dividend	J	T					
67. - SPDR Con Disc Select f/k/a Sector SPDR TR SBI Cons Discr	A	Dividend	J	T					
68. - SPDR Series Trust Morgan Stanley Tech	A	Dividend			Sold	12/18/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - SPDR Series Trust S&P Capital Markets ETF	A	Dividend			Sold	11/14/18	J		
70. - SPDR Fin Select f/k/a Sector SPDR TR SBI Int-Finl	A	Dividend	J	T					
71. - Vanguard Total Bond Market ETF	A	Dividend	J	T	Buy	11/14/18	J		
72. - Vanguard Intermediate Term Gov Bond ETF	A	Dividend	K	T	Buy	06/27/18	K		
73. - Wisdomtree U.S. Largecap Div Fund	A	Dividend	J	T					
74. - Wisdomtree Japan Smallcap Divid Fund	A	Dividend	K	T					
75. - Wisdomtree Trust intl Hedged Div Growth Fund	A	Dividend			Sold	06/27/18	J		
76. - Wisdomtree Trust Currency Hedged Defa Fd	A	Dividend			Sold	11/14/18	K		
77. IRA #7 (H)									
78. - AT&T Incorporated	A	Dividend	J	T	Buy	06/13/18	J		
79. - Abbott Labs	A	Dividend	J	T	Buy	01/09/18	J		
80. - Activision Blizzard Inc.	A	Dividend			Sold	03/14/18	J		
81. - Adobe Systems Inc.	A	Dividend	J	T					
82. - Alibaba Group Hldg.	A	Dividend	J	T					
83. - Allstate Corp.	A	Dividend			Sold	02/08/18	J		
84. - Alphabet Incorporated	A	Dividend	J	T					
85. - Altria Group	A	Dividend			Sold	04/19/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Amazon Com Inc.	A	Dividend	J	T					
87. - American Express Company	A	Dividend	J	T	Buy	11/27/18	J		
88. - Analog Devices Inc.	A	Dividend			Sold	02/09/18	J		
89. - Apple Inc.	A	Dividend	J	T					
90. - Bank Amer. Corp	A	Dividend	J	T					
91. - Becton Dickinson & Co.	A	Dividend	J	T					
92. - Boeing Company	A	Dividend	J	T	Buy	02/09/18	J		
93. - Boston Scientific Corp.	A	Dividend	J	T					
94. - Bristol Myers Squibb Companh	A	Dividend	J	T	Buy	11/19/18	J		
95. - CSX Corp.	A	Dividend	J	T					
96. - Caterpillar Inc.	A	Dividend			Sold	08/23/18	J		
97. - Charter Communications Inc.	A	Dividend			Sold	03/06/18	J		
98. - Chevron Corp.	A	Dividend	J	T					
99. - Cisco Systems Inc.	A	Dividend	J	T					
100. - Citigroup Inc.	A	Dividend	J	T					
101. - Coca Cola Company	A	Dividend			Sold	02/09/18	J		
102. - Comcast Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Conocophillips	A	Dividend	J	T					
104. - Disney Walt Company	A	Dividend	J	T	Buy	09/26/18	J		
105. - Dollar General Corp.	A	Dividend	J	T					
106. - Dowdupont Inc.	A	Dividend	J	T					
107. - EOG RES Inc.	A	Dividend	J	T					
108. - Eastman Chemical Company	A	Dividend			Sold	08/22/18	J		
109. - Facebook Inc.	A	Dividend	J	T	Sold	11/12/18	J		
110. - Fedex Corp.	A	Dividend			Sold	12/10/18	J		
111. - Gilead Sciences Inc	A	Dividend	J	T	Buy	08/09/18	J		
112. - Home Depot Inc.	A	Dividend	J	T					
113. - Honeywell International Inc.	A	Dividend	J	T					
114. - Intel Corp.	A	Dividend			Sold	08/20/18	J		
115. - JPMorgan Chase & Co.	A	Dividend	J	T					
116. - Johnson & Johnson	A	Dividend	J	T					
117. - Lilly Eli & Company	A	Dividend	J	T	Buy	07/24/18	J		
118. - Marsh & McLennan Companies Inc.	A	Dividend			Sold	01/09/18	J		
119. - McDonalds Corporation	A	Dividend	J	T	Buy	12/21/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Microsoft Corp.	A	Dividend	J	T					
121. - Mohawk Industries Inc.	A	Dividend			Sold	04/27/18	J		
122. - Morgan Stanley Com.	A	Dividend			Sold	10/10/18	J		
123. - Netflix Incorporated	A	Dividend	J	T	Buy	08/21/18	J		
124. - Nike Incorporated	A	Dividend	J	T	Buy	10/10/18	J		
125. - Nvidia Corp.	A	Dividend	J	T					
126. - PNC Finl. Svcs. Group Inc.	A	Dividend			Sold	10/12/18	J		
127. - PepsiCo Inc.	A	Dividend			Sold	10/02/18	J		
128. - Palo Alto Networks Incorporated	A	Dividend	J	T	Buy	12/12/18	J		
129. - Paypal Hlds Incorporated	A	Dividend	J	T	Buy	10/23/18	J		
130. - Pfizer Inc.	A	Dividend	J	T					
131. - Procter and Gamble Company	A	Dividend	J	T	Buy	10/23/18	J		
132. - Philip Morris Intl. Inc.	A	Dividend			Sold	02/05/18	J		
133. - Raytheon Company	A	Dividend	J	T					
134. - Roper Technologies Inc.	A	Dividend			Sold	10/26/18	J		
135. - Royal Dutch Shell PLC Spons ADR	A	Dividend			Sold	08/07/18	J		
136. - Salesforce Com Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Stanley Black & Decker Inc.	A	Dividend			Sold	02/09/18	J		
138. - Servicenow Incorporated	A	Dividend	J	T	Buy	10/09/18	J		
139. - TJX Companies Inc.	A	Dividend	J	T					
140. - Twitter IncorporatedA	A	Dividend	J	T	Buy	12/12/18	J		
141. - Unitedhealth Group Inc.	A	Dividend	J	T					
142. - Verizon Communications Incorporated	A	Dividend	J	T	Buy	10/29/18	J		
143. - Vertex Pharmaceuticals Inc.	A	Dividend	J	T					
144. - Visa Inc.	A	Dividend	J	T					
145. - Walmart Incorporated	A	Dividend	J	T	Buy	10/24/18	J		
146. - Wells Fargo Company New	A	Dividend	J	T	Buy	07/09/18	J		
147. - Medtronic PLC Shs	A	Dividend	J	T	Buy	06/01/18	J		
148. - Zoetis Inc.	A	Dividend			Sold	07/13/18	J		
149. Brokerage Account #1 (H)									
150. - Targe Res Corp. f/k/a Targa Res Partners LP Com Unit (X)	A	Dividend	J	T					
151. - Realty Income Corporation Reit	B	Dividend	K	T					
152. - American High Income Municipal Bond	A	Dividend	J	T					
153. - Blackrock Equity Div. Fund Class C	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Calamos Growth & Income Fund Class C	A	Dividend	K	T					
155. - Am Funds Cap World Growth & Inc Class C	A	Dividend	K	T					
156. - First Eagle Global Fund Class C	A	Dividend	K	T					
157. - Franklin Rising Dividends Fund	A	Dividend	J	T					
158. - Am Funds Growth Fund of Amer Class C	A	Dividend	K	T					
159. - Am Funds Investment Co of America Class A	A	Dividend	K	T					
160. - Janus Henderson Global Eq. Inc. f/k/a Henderson European Focus Fund	A	Dividend	K	T					
161. - Western Asset Int. Term Municipals Class C	A	Dividend	L	T					
162. - Franklin Templeton Mutual Global Discovery	A	Dividend	K	T					
163. - Am Funds New World Class C	A	Dividend	J	T					
164. - Nuveen High Yield Mun. Bond Fund Class C	A	Dividend	K	T					
165. - Tax Exempt Bond Fund of America	A	Dividend	J	T					
166. - Raymond James Cash Account		None	J	T					
167. Brokerage Account #2 (H)									
168. - AT&T Incorporated	A	Dividend	J	T	Buy	06/13/18	J		
169. - Abbott Labs	A	Dividend	J	T	Buy	01/09/18	J		
170. - Activision Blizzard Inc.	A	Dividend			Sold	03/14/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Adobe Systems Inc.	A	Dividend	J	T					
172. - Alibaba Group Hldg	A	Dividend	J	T					
173. - Allstate Corporation	A	Dividend			Sold	02/08/18	J		
174. - Alphabet Incorporated f/k/a Google Incorporated	A	Dividend	J	T					
175. - Altria Group Incorporated	A	Dividend			Sold	04/19/18	J		
176. - Amazon Com Incorporated	A	Dividend	J	T					
177. - Analog Devices Inc.	A	Dividend			Sold	02/09/18	J		
178. - American Express Company	A	Dividend	J	T	Buy	11/27/18	J		
179. - Apple Incorporated	A	Dividend	J	T					
180. - Bank of America Corporation	A	Dividend	J	T					
181. - Becton Dickison & Company	A	Dividend	J	T					
182. - Boeing Company	A	Dividend	J	T	Buy	02/09/18	J		
183. - Boston Scientific Corp.	A	Dividend	J	T					
184. - Bristol Myers Squibb Company	A	Dividend	J	T	Buy	11/19/18	J		
185. - CSX Corporation	A	Dividend	J	T					
186. - Caterpillar Inc.	A	Dividend			Sold	08/23/18	J		
187. - Charter Communications Inc.	A	Dividend			Sold	03/06/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Chevron Corporation New	A	Dividend	J	T					
189. - Cisco Systems Incorporated	A	Dividend	J	T					
190. - Citigroup Incorporated Com New	A	Dividend	J	T					
191. - Coca Cola Company	A	Dividend			Sold	02/09/18	J		
192. - Comcast Corporation New	A	Dividend	J	T					
193. - Conocophillips	A	Dividend	J	T					
194. - Disney Walt Company	A	Dividend	J	T	Buy	09/26/18	J		
195. - Dollar General Corp.	A	Dividend	J	T					
196. - Dowdupont Inc. f/k/a Dow Chemical Company	A	Dividend	J	T					
197. - EOG RES Inc.	A	Dividend	J	T					
198. - Eastman Chemical Company	A	Dividend			Sold	08/24/18	J		
199. - Facebook Incorporated	A	Dividend			Sold	11/12/18	J		
200. - Fedex Corporation	A	Dividend			Sold	12/12/18	J		
201. - Gilead Sciences Incorporated	A	Dividend	J	T	Buy	08/09/18	J		
202. - Home Depot Incorporated	A	Dividend			Sold	10/24/18	J		
203. - Honeywell International Incorporated	A	Dividend	J	T					
204. - Intel Corp.	A	Dividend			Sold	08/20/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - JPMorgan Chase & Company	A	Dividend	J	T					
206. - Johnson & Johnson	A	Dividend	J	T					
207. - Lilly Eli & Company	A	Dividend	J	T	Buy	07/24/18	J		
208. - Marsh & McLennan Companies Incorporated	A	Dividend			Sold	01/09/18			
209. - McDonalds Corporation	A	Dividend	J	T	Buy	12/21/18	J		
210. - Microsoft Corporation	A	Dividend	J	T					
211. - Mohawk Industries Inc.	A	Dividend			Sold	04/27/18	J		
212. - Morgan Stanley Com New	A	Dividend			Sold	10/10/18	J		
213. - Netflix Incorporated	A	Dividend	J	T	Buy	08/21/18	J		
214. - Nike Incorporated	A	Dividend	J	T	Buy	10/10/18	J		
215. - Nvidia Corp.	A	Dividend	J	T					
216. - Palo Alto Hldgs Incorporated	A	Dividend	J	T	Buy	12/12/18	J		
217. - Paypal Hldgs Incorporated	A	Dividend	J	T	Buy	10/23/18	J		
218. - PNC Finl. Svcs. Group Inc.	A	Dividend			Sold	10/12/18	J		
219. - Pepsico Incorporated	A	Dividend			Sold	10/02/18	J		
220. - Pfizer Incorporated	A	Dividend	J	T					
221. - Philip Morris International Inc.	A	Dividend			Sold	02/05/18	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Procter and Gamble Co.	A	Dividend	J	T	Buy	10/23/18	J		
223. - Raytheon Company	A	Dividend	J	T					
224. - Roper Technologies Inc.	A	Dividend			Sold	10/30/18	J		
225. - Royal Dutch Shell PLC Spons. ADR (Netherlands)	A	Dividend			Sold	08/07/18	J		
226. - Salesforce Com Incorporated	A	Dividend	J	T					
227. - Servicenow Incorporated	A	Dividend	J	T	Buy	10/09/18	J		
228. - Stanley Black & Decker Inc.	A	Dividend			Sold	02/09/18	J		
229. - TJX Companies Inc.	A	Dividend	J	T					
230. - Twitter Incorporated	A	Dividend	J	T	Buy	12/12/18	J		
231. - United Health Group Inc.	A	Dividend	J	T					
232. - Verizon Communications Incorporated	A	Dividend	J	T	Buy	10/29/18	J		
233. - Vertex Pharmaceuticals Inc.	A	Dividend	J	T					
234. - Visa Incorporated	A	Dividend	J	T					
235. - Walmart Incorporated	A	Dividend	J	T	Buy	10/24/18	J		
236. - Wells Fargo Company New	A	Dividend	J	T	Buy	07/09/18	J		
237. - Medtronic PLC Shs (Ireland)	A	Dividend	J	T	Buy	08/01/18	J		
238. - Zoetis Inc.	A	Dividend			Sold	07/13/18	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Virginia 529 Plan, College Savings Plan, Moderate (H) Growth									
240. - AMCAP Fund - 529C	A	Dividend	J	T					
241. - Capital World Growth & Income - 529C	A	Dividend	J	T					
242. - The Growth Fund of America - 529C	A	Dividend	J	T					
243. - The Investment Company of America - 529C	A	Dividend	J	T					
244. Hartford Life Insurance Cash Value Acct. #1 (H)									
245. - American Funds Income Fund	A	Dividend	J	T					
246. - American Funds Growth	A	Dividend	J	T					
247. - Franklin Templeton Mutual Shares Sec	A	Dividend	J	T					
248. PNC Bank Checking & Savings Accounts	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Drain, Gershwin A.

Date of Report

04/17/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gershwin A. Drain**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544