

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Boasberg, James E.	2. Court or Organization U.S. District Court, District of Columbia	3. Date of Report 05/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 333 Constitution Ave. NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Vice-Chair	St. Albans School Governing Board
2.	Vice-President	Edward Bennett Williams Inn of Court
3.	Executor	Estate #1
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Alarm.com -- Salary and bonus
2. 2012	Wedding Wire -- salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYU Law School	Apr. 16-17, 2012	New York, NY	Speaking on Panel	Hotel, transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sidwell Friends School	Tuition	L
2.	St. Albans School	Tuition	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Street Equity Index Fund - A		None	L	T					
2. State Street Equity Index Fund - B		None	M	T	Buy (add'l)	10/15/12	J		
3. State Street Equity Index Fund - C		None	M	T	Buy (add'l)	10/15/12	J		
4.									
5. Brokerage Account #1									
6. --BRKA		None	M	T					
7. --CSCO	B	Dividend	L	T					
8. --INTC	B	Dividend	L	T					
9. --LLTC	B	Dividend	K	T	Sold (part)	6/11/12	J	B	
10. --MDT	A	Dividend	K	T	Sold (part)	10/3/12	K	D	
11. --MSFT	B	Dividend	L	T					
12. --MCO	A	Dividend	K	T	Sold (part)	10/3/12	K	E	
13. --PG	B	Dividend	L	T					
14. --WAG	B	Dividend	L	T	Sold (part)	6/11/12	K		
15. --WU	A	Dividend	J	T	Sold (part)	10/3/12	J	D	
16. --Fidelity US Treasury Money Market	A	Int./Div.	L	T					
17. Brokerage Account #2 (IRA)									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --CSCO	B	Dividend	K	T					
19. --JNJ	C	Dividend	L	T					
20. --LLTC	B	Dividend	K	T					
21. --MSFT	B	Dividend	K	T					
22. --PG	B	Dividend	K	T					
23. --WAG	A	Dividend	K	T					
24. --WU	A	Dividend	J	T					
25. --Fidelity Cash/Money Market	A	Int./Div.	L	T					
26. Brokerage Account #3 (IRA)									
27. --BRKA		None	N	T					
28. --CSCO	B	Dividend	L	T					
29. --JNJ	C	Dividend	L	T					
30. --LLTC	B	Dividend	L	T					
31. --MSFT	B	Dividend	K	T					
32. --PG	A	Dividend	J	T					
33. --WAG	A	Dividend	K	T					
34. --WU	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Fidelity Cash/Money Market	A	Int./Div.	K	T					
36. Brokerage Account #4 (Trust)									
37. --BRKB		None	K	T	Sold (part)	10/3/12	J	C	
38. --CSCO	A	Dividend	K	T					
39. --INTC	A	Dividend	J	T					
40. --JNJ	A	Dividend	J	T					
41. --LLTC	A	Dividend	K	T					
42.									
43. --MDT	A	Dividend			Sold	10/3/12	J	C	
44. --MSFT	A	Dividend	J	T					
45. --MCO	A	Dividend	J	T	Sold (part)	10/3/12	J	D	
46. --PG	A	Dividend	J	T					
47. --WAG	A	Dividend	J	T	Sold (part)	10/3/12	J		
48. --WU	A	Dividend	J	T					
49. --Fidelity Municipal Money Market	A	Int./Div.	K	T					
50. Brokerage Account #5 (Trust)									
51. --BRKB		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --CSCO	A	Dividend	J	T					
53. --JNJ	A	Dividend	J	T					
54. --LLTC	A	Dividend	J	T					
55. --MDT	A	Dividend	J	T					
56. --MCO	A	Dividend	K	T					
57. --PG	A	Dividend	K	T					
58. --WAG	A	Dividend	J	T					
59. --MSFT	A	Dividend	K	T					
60. --Fidelity Municipal Money Market	A	Int./Div.	J	T					
61. Brokerage Account #6 (Trust)									
62. --BRKB		None	K	T					
63. --CSCO	A	Dividend	J	T					
64. --INTC	A	Dividend	J	T					
65. --JNJ	A	Dividend	J	T					
66. --LLTC	A	Dividend	J	T					
67. --MDT	A	Dividend	J	T					
68. --MSFT	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. --MCO	A	Dividend	K	T					
70. --PG	A	Dividend	K	T					
71. --WAG	A	Dividend	J	T					
72.									
73. --Fidelity Municipal Money Market	A	Int./Div.	J	T					
74. Brokerage Account #7 (Trust)									
75.									
76. --BRKB		None	K	T					
77. --CSCO	A	Dividend	J	T					
78. --JNJ	A	Dividend	J	T					
79. --LLTC	A	Dividend	J	T					
80. --MSFT	A	Dividend	J	T					
81. --MCO	A	Dividend	J	T					
82. --PG	A	Dividend	J	T					
83. --WAG	A	Dividend	J	T					
84. --Fidelity Municipal Money Market	A	Int./Div.	J	T					
85. Rental Property #1, Washington, DC	D	Rent	O	S					

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86. Wells Fargo Bank	A	Interest	K	T					
87. Wells Fargo Bank	A	Interest	J	T					
88. Wells Fargo Bank	A	Interest	J	T					
89. Galaxy Desserts		None			Sold	1/26/12	J	D	
90. Note Receivable -- King St. LLC	D	Interest	L	T					
91. Armstrong Equity Partners		None	M	U					
92. DAG, LLC		None	J	U					
93. DAG II, LLC	D	Distribution	K	U					
94.									
95. 6th St., LLC		None	J	W	Buy	5/30/12	J		General Partner
96. Mackey's, LLP		None	J	W					
97. Bedrock Billiards, LLP	A	Dividend	J	W					
98. Buffalo Billiards, LLP	B	Distribution	J	W					
99. Carpool, LLP	D	Distribution	K	W					
100. Buffalo Billiards (TN), LLP		None	J	W					
101. Buffalo Billiards (TX), LLP	A	Distribution	J	W					
102. Alarm.com	E	Dividend	M	U					

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103. Brokerage Account #2 (cont.)									
104. --INTC	A	Dividend	K	T					
105. Brokerage Account #8									
106. --KKD		None	J	T					
107. -- ETrade bank Money Market	A	Int./Div.	J	T					
108. Brokerage Account #9									
109. --LOW	A	Dividend	J	T					
110. --Etrade Bank Money Market	A	Int./Div.	J	T					
111. Brokerage Account #10									
112. --LOW	A	Dividend	J	T					
113. --Etrade Bank Money Market	A	Int./Div.	J	T					
114. T. Rowe Price Cap. Appr. Fund		None	K	T					
115. Vanguard Growth & Income Fund		None	K	T					
116. T. Rowe Price Sci & Tech Fund		None	K	T					
117.									
118. Brokerage Account #11 (Executor)									
119. --CSCO	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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120. --MSFT	C	Dividend	L	T					
121. --MCO	A	Dividend	K	T					
122. --BRKB		None	M	T					
123. --WU	A	Dividend	J	T					
124. --MDT	A	Dividend	K	T					
125. --JNJ	C	Dividend	L	T					
126. --WAG	B	Dividend	K	T					
127. --LLTC	C	Dividend	L	T					
128. --Money Market Fund	A	Int./Div.	L	T					
129.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

Name of Person Reporting	Date of Report
Boasberg, James E.	05/03/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I became the executor of a [REDACTED] estate during 2012. The brokerage account for the estate is listed on line 118.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Boasberg, James E.

Date of Report

05/03/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James E. Boasberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544