

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, JACOB P.	2. Court or Organization EASTERN DISTRICT OF PA.	3. Date of Report 04/17/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time recalled U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Room 3006 U.S. Courthouse 601 Market St. Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Fiction Writer & Book Publisher

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. DAVIS OF NEW YORK MUTUAL FUND	A	Dividend	K	T					
2. BLACKROCK WORLD INVESTMENT TRUST	B	Dividend	J	T					
3. CITIBANK BANK DEPOSIT PROGRAM	A	Interest	J	T					
4. EATON VANCE DIV. EQUITY INCOME FUND	B	Dividend	J	T					
5. EATON VANCE TAX-MANAGED GLOBAL INCOME FUND	B	Dividend	J	T					
6. AB GLOBAL GOVERNMENT INCOME	A	Dividend	J	T					
7. IVY ASSET STRATEGY FUND	A	Dividend	K	T					
8. LOOMIS SAYLES STRATEGIC INCOME	A	Dividend	J	T					
9. DELAWARE TAX FREE PENNSYLVANIA FUND	B	Dividend	M	T					
10. BLACKROCK CREDIT ALL INC TR IV		None	K	T	Buy	11/30/12	K		
11. ALPINE ULT SHT TX OPT INC INV	A	Dividend	N	T	Buy	05/17/12	N		
12. CITIGROUP IRA IRA (H) (assests below)									
13. --GMAC BOND		None			Sold	02/01/12	K	A	
14. --DWS HIGH RET. EQ	A	Dividend	K	T					
15. --BLACKROCK WORLD INVESTMENT TRUST		None			Sold	05/02/12	K	A	
16. --USB CAPITAL X		None			Sold	02/22/12	L	A	
17. -- DREYFUS LIQUID ASSETS	A	Dividend			Sold	06/30/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --EATON VANCE DIV EQUITY INCOME FUND	A	Dividend			Sold	05/02/12	K	A	
19. --BLACKROCK PFD & EQUITY TR	A	Dividend			Sold	11/20/12	K	A	
20. --ALPINE GLOBAL PREMIER	A	Dividend			Sold	05/11/12	J	A	
21. --ALPINE TOTAL DYNAMIC	A	Dividend			Sold	05/02/12	J	A	
22. --EATON VANCE TAX-MANAGED GLOBAL INCOME FUND	A	Dividend			Sold	05/02/12	K	A	
23. --AB GLOBAL GOVERNMENT INC.	B	Dividend	L	T	Buy (add'l)	02/27/12	K		
24. --BLACKROCK GLOBAL ALLOCATION FUND	C	Dividend	K	T					
25. --COLUMBIA MARSICO 21ST CENTURY FUND	A	Dividend	K	T					
26. --LOOMIS SAYLES STRATEGIC INCOME	D	Dividend	L	T					
27. --BAC CAPITAL TRUST	D	Interest			Sold	10/09/12	L	E	
28. --EATON VANCE GLOBAL MACRO	D	Dividend	L	T					
29. --ING GROEP NV		None	K	T	Buy	10/12/12	K		
30. --NORTHSTAR REALTY FNC		None	K	T	Buy	10/12/12	K		
31. --NEUBERGER BERMAN STR INC INST	A	Dividend	L	T	Buy	03/01/12	K		
32. --MS LIQUID ASSET FUND	A	Interest	J	T	Buy	07/02/12	J		
33. --LEGG MASON PARTNERS SMALL-CAP GROWTH FUND	A	Dividend	J	T					
34. --CG CAPITAL MARKETS MONEY MARKET - TGMU	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --CG CAPITAL MARKETS CORE FIXED INCOME - TIJU	C	Dividend	M	T	Sold (part)	08/24/12	L	B	
36. --CG CAPITAL MARKETS LARGE CAP VALUE - TLVU	B	Dividend	M	T					
37. --CG CAPITAL MARKETS SMALL CAP VALUE - TSVU	B	Dividend	J	T	Sold (part)	08/24/12	J	A	
38. --CG CAPITAL MARKETS HIGH YIELD - THYU	A	Dividend	K	T	Sold (part)	11/09/12	J	A	
39. --CG CAPITAL MARKETS EMERGING MARKETS - TEMU	B	Dividend	L	T	Sold (part)	11/10/12	J	A	
40. --CG CAPITAL MARKETS INTERNATIONAL FIXED INCOME - TIFU	C	Dividend	L	T					
41. --CG CAPITAL MARKETS INTERNATIONAL EQUITY - TIEU	A	Dividend	L	T					
42. --CG CAPITAL MARKETS LARGE CAP GROWTH - TLGU	A	Dividend	M	T	Sold (part)	08/24/12	J	A	
43. --CG CAPITAL MARKETS SMALL CAP GROWTH - TSGU		None	J	T	Sold (part)	08/24/12	J	B	
44. --PIMCO DYNAMIC INCOME FUND	C	Dividend	M	T	Buy	05/30/12	L		
45. CITIZENS BANK MONEY MARKET ACCOUNTS	A	Interest	L	T					
46. CITIZENS BANK CD	A	Interest	L	T					
47. CITIZENS BANK CD	A	Interest	L	T					
48. CITIZENS BANK CD	A	Interest			Matured	11/15/12	L	C	
49. CITIZENS BANK CD	A	Interest			Matured	07/16/12	L	C	
50. CITIZENS BANK CD	A	Interest			Matured	07/15/12	K	B	

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51. CITIZENS BANK CD	A	Interest			Matured	05/10/12	M	C	
52. CITIGROUP SEP IRA assets listed below (H)									
53. --GOLDMAN SACHS CAPITAL GROWTH	A	Dividend	K	T	Buy (add'l)	03/01/12	J		
54. --LEGG MASON STRAT. BOND (now LEGG MASON WESTERN ASSETS)	A	Dividend	J	T					
55. --PIONEER HIGH YIELD	A	Dividend	J	T					
56. --ISHARES MSCI EAFE FUND	A	Dividend	J	T					
57. --ISHARES RUSSELL 1000 INDEX FUND	A	Dividend	J	T					
58. --DREYFUS LIQUID ASSETS	A	Dividend			Sold	06/30/12	J	A	
59. --EATON VANCE DIV. EQ. INCOME FUND	A	Dividend	J	T					
60. --USB CAPITAL X	A	Interest			Sold	02/22/12	J	A	
61. --PIONEER FUND INC	A	Dividend	J	T					
62. --AB GLOBAL GOV INCOME TRUST	A	Dividend	J	T					
63. --LOOMIS SAYLES STRAT INC. FUND	B	Dividend	K	T					
64. --MS LIQUID ASSET FUND	A	Interest	J	T	Buy	07/02/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JACOB P. HART**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544