

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Foschio, Leslie G.	2. Court or Organization U.S. District - WDNY	3. Date of Report 10/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 2 Niagara Square Room 543 Buffalo, NY 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee Theodore Roosevelt Inaugural National Historic Site Foundation(Buffalo)
(uncompensated)

2. _____

3. _____

4. _____

5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

2. _____

3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

10/09/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/13-12/13	The Principal - Company Sponsored Pension	\$8,040.00
2. 1/13-12/13	NY State - Employee Retirement System - Vested Pension Plan	\$7,814.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

10/09/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Foschio, Leslie G.

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10/09/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD Ameritrade - IRA	D	Int./Div.	M	T					
2. -Abbot Labs									
3. -Apple									
4. -Caterpillar									
5. -Cleveland Biolabs									
6. -Exelon									
7. -F5 Networks									
8. -General Electric									
9. -Intuitive Surgical									
10. -Joy Global									
11. -Merck									
12. -Microsoft					Sold	08/23/13	J	B	
13. -Nokia					Sold	09/03/13	J	A	
14. -Pitney Bowes					Sold	10/28/13	J	A	
15. -Priceline					Sold	01/02/13	J	A	
16. -Proctor and Gamble					Sold	03/25/13	J	A	
17. -RR Donnelley					Sold	07/05/13	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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18. -Verizon					Sold	01/02/13	J	A	
19. -Yahoo					Sold	03/22/13	J	C	
20. -Arcelormittal 3/01/21 Bond									
21. -Advance Micro 5/1/15 Bonds					Buy	03/26/13	J		
22. -Advance Micro 12/15/17 Bonds					Buy	03/26/13	J		
23. -Burger King 10/15/18 Bonds									
24. -Dean Foods 10/15/17 Bonds									
25. -/Donnelly RR 3/15/21 Bonds					Buy	07/3/13	J		
26. -Dyncorp 7/1/17 Bonds					Buy	03/26/13	J		
27. -/Etrade 6/1/2016 Bonds					Buy	06/13/13	J		
28. -Exelon 6/15/22 Bonds					Buy	12/4/13	J		
29. -Goodyear Tire 8/15/20 Bonds					Buy	08/26/13	J		
30. -Istar Financial 6/14/16					Buy	6/14/13	J		
31. -Nuveen 09/15/15 Bonds									
32. -Radiation Therapy 4/15/17 Bonds					Buy	02/06/13	J		
33. -Radiation Therapy 1/15/17 bonds					Buy	02/06/13	J		
34. -RR Donnelley 4/15/29 Bonds					Buy	07/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. -RR Donnelley 8/15/16 Bonds					Buy	07/03/13	J		
36. -Safeway 12/1/21 Bonds									
37. -SLM Corp 1/25/16 Bonds					Buy	06/13/13	J		
38. -Stream Global Services 10/1/14 bonds									
39. -Zions Bank Corp 9/23/14 Bonds									
40. -General Motors Acceptance 12/31/13 Bonds					Matured	12/31/13	J	A	
41. -RRI Energy 6/15/14 Bonds					Sold	02/06/13	J	A	
42. -Virginia State Housing Bonds					Sold	06/17/13	K	A	
43. Prudential IRA		None	N	T					
44. -Profund Cosumer Services									
45. -Profund Consumer Goods									
46. -Profund Health Care									
47. -AST Pimco Limited Maturity Bond									
48. -AST Pimco Total Return									
49. First Great West Life Insurance - Whole Life		None	K	T					
50.									
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

10/09/2014

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52.									
53.									
54.									
55.									
56.									
57.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

10/09/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Foschio, Leslie G.

Date of Report

10/09/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Leslie G. Foschio**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544