

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sorokin, Leo T.	2. Court or Organization District Court, Massachusetts	3. Date of Report 7/9/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. District Court One Courthouse Way Boston, MA 02210 Suite 6130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Adjunct Professor, Boston University School of Law	\$7,900.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self-employed - psychologist
2. 2014	Adjunct Professor, Boston College
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Gold Reserve Line of Credit	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental/Vacation Property Massachusetts (2001, 273,100)	D	Rent	N	R					
2. Bank of America Accounts	A	Interest	K	T					
3. IRA #1	C	Dividend	K	T					
4. -Fidelity Contrafund									
5. -Fidelity Pacific Basin									
6. IRA #2	D	Dividend	K	T					
7. -Davis NY Venture Fund C									
8. -Wells Fargo Cash									
9. IRA #3	D	Dividend	L	T					
10. -Amazon									
11. -Wells Fargo Cash Account									
12. -Citigroup									
13. -Allianz AGIC Opportunity Fund									
14. -RCM Mid Cap Fund									
15. -PIMCO FDS PAC Dev Invnt Mgmt									
16. -Intentionally Blank									
17. Brokerage Acct#1									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Wells Fargo Money Market Fund	A	Interest	J	T					
19. -Home Properties, Inc.	A	Dividend	J	T					
20. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
21. -Bristol Myers Squibb	A	Dividend	K	T					
22. -Wisdomtree Investments	A	Dividend	J	T					
23. -Healthcare Realty Trust, Inc.	B	Dividend	K	T					
24. -Intentionally Blank									
25. -Berkshire Hathaway Series B		None	K	T					
26. -Saul Centers	A	Dividend	J	T					
27. -Alibaba		None			Buy	09/23/14	J		
28. -Hannon Armstrong Sustainable Capital	A	Dividend			Sold	10/17/14	J	B	
29. -Apple	A	Dividend	L	T					
30. -Sector Spdr Tech Select Sector	A	Dividend	K	T					
31. -Whole Foods					Buy	04/07/14	J		
32.					Sold	10/03/14	J	A	
33. DWS Scudder High Income Trust	B	Dividend	K	T					
34. Matthews Pacific Tiger Fund	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Intentionally Blank									
36. Intentionally Blank									
37. IRA #4									
38. -Vanguard 500 Index Fund Adm	B	Dividend	L	T					
39. -Vanguard Intermediate Term Investment Grade Fund	A	Dividend	K	T					
40. -Vanguard U.S. Value Fund	A	Dividend	K	T					
41. - Vanguard Emerging Markets Stock Index Adm	A	Dividend	K	T					
42. Brokerage Acct#2									
43. -Vanguard U.S. Value Fund	A	Dividend	J	T					
44. Brokerage Acct#3									
45. -Vanguard 500 Index Fund	A	Dividend	J	T					
46. -Vanguard Long Term treasury Fund	A	Dividend	K	T					
47. -Vanguard Extended Market Index Fund	A	Dividend	J	T					
48. Brokerage Acct#4									
49. -American Century Income and Growth Fund	C	Dividend	K	T					
50. IRA #5									
51. -American Century Equity Income	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -American Century Prime Money Market	A	Interest	K	T					
53. -American Century Small Cap Value Fund	B	Dividend	J	T					
54. Brokerage Acct#5									
55. -American Century Equity Income Fund	C	Dividend	K	T					
56. Brokerage Acct#6									
57. -American Century Giftrust Fund	A	Dividend	J	T					
58. Brokerage Acct#7									
59. -American Century Giftrust Fund	A	Dividend	J	T					
60. Limited Partnership Bear Hollow Lender			J	U					
61. -Intentionally blank									
62. Intentionally Blank									
63. Limited Partnership Bear Hollow Lender			J	U					
64. Limited Partnership Wolf Paca I	E	Int./Div.	J	U					
65. Limited Partnership Joppa East	A	Int./Div.	J	U					
66. OC, LLC			M	T					
67. Brokerage Account 11									
68. -Vanguard 500 Index Fund	A	Dividend	J	T					

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69. IRA Account 12A									
70. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
71. -Matthews Pacific Tiger Fund	A	Distribution	J	T					
72. -Home Properties, Inc.	A	Dividend	J	T					
73. Brokerage Account 12B									
74. -Wisdomtree Investments	A	Dividend	J	T					
75. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
76. -Sterling Bank Corp.	A	Dividend	J	T					
77. -Sector Spider Technology Select	A	Dividend	J	T	Buy	2/4/14	J		
78. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
79. IRA Account 13A									
80. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
81. -Matthews Pacific Tiger	A	Dividend	J	T					
82. -Sector Spider Technology Select	A	Dividend	J	T	Buy	02/04/14	J		
83. Brokerage Account 13B									
84. -Wells Fargo Bank Deposit Sweep Option	A	Int./Div.	J	T					
85. -Sterling Bank Corp	A	Dividend	J	T					

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86. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
87. IRA Account 14A									
88. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
89. -Matthews Pacific Tiger	A	Dividend	J	T					
90. -Sector Spider Technology Select	A	Dividend	J	T	Buy	02/04/14	J		
91. Brokerage Account 14B									
92. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
93. -Sterling Bank Corp	A	Dividend	J	T					
94. Trust #4	D	Int./Div.	M	W					See Note
95. -Raymond James Bank Deposit Program									
96. -Williams Companies									
97. - Fund Royce Opportunity Fund Investment Class N/L									
98. - Fund The Third Avenue Value Fund N/L									
99. -Fund Oppenheimer DevMkts Fund									
100. - Kinder Morgan									
101. -Hartford International Value Fund					Buy	5/28/14	J		
102. - Fund Thornburg Int'l Value Fund					Sold	5/28/14	J	B	

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103. -Berkshire Hathaway B									
104. -Crown Castle									
105. - Stock Alleghany Corp									
106. -Flow 2006 LLC									
107. -PNC Bank Account									
108. -Fund Hartford Capital Appreciation Fund Class A									
109. -Liberty Media									
110. -Fund Third Avenue Focused Credit Fund Investor Class									
111. -Longleaf Small-cap Fund									
112. -Putnam Capital Spectrum									
113. -Intentionally Blank									
114. Janus Worldwide Fund	A	Dividend	J	T					
115. Trust #5	E	Dividend	N	W					
116. -Wells Fargo Bank Deposit Sweep									
117. -Stock Sector Spdr TR Tech Select Sector XLK									
118. -Alibaba Group					Buy	10/08/14	J		
119. -Verizon Communications					Buy	2/4/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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120. -Bear Hollow Lender LLC									
121. -Gunpowder W Corp.									
122. -Joppa East Limited Partnership									
123. -Mayfield W, Inc.									
124. -MHW, LLC									
125. -Exploration Capital 2005									
126. -Wolf Realty Corp.									
127. -Saul Centers Bond									
128. -Tenn Valley Authority Bond					Sold	1/7/14	K	A	
129. -VSECU Bank Account									
130. -Merck									
131. -GSV Capital									
132. -Loan to Trust #4									See Note
133. Brokerage Account 15									
134. -Matthews Pacific Tiger Fund	A	Dividend	J	T					
135. IRA Account 20									
136. -Wells Fargo Money Market	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Sorokin, Leo T.

Date of Report

7/9/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Tesla Motors, Inc.		None	K	T					
138. IRA BA 17	C	Dividend	M	T					
139. -Indexiq ETF Tr IQ ARB Global Res ETF									
140. -aston/Fairpoint Mid Cap fund									
141. -Acadian Emerging Markets Port Instl									
142. -Allianz NFJ Small Cap Value Class I									
143. -American Mutual Fund C1 F2									
144. -Brown Capital Mgt Small C. Inv.									
145. -Cohen & Steers Realty Shares									
146. -Columbia Acorn international C1 Z									
147. -Spartan 500 Index FD Investor Class									
148. -Fidelity Advisor New Insights cl I									
149. -Harbor International Institutional									
150. -Artio Total Return Bond Fund C1 I									
151. -Fidelity Floating Rate High Income									
152. -Fidelity Total Bond									
153. -PIMCO Total REturn Instl									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Sorokin, Leo T.

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7/9/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Osterweis Strategic Income Fund									
155. -Cohen & Steers Intl Realty Inc. C11									
156. -IQ Alpha Hedge Strategy Instl									
157. -Putnam Absolute Return 700 Fund									
158. -Fidelity Cash Reserves									
159. IRA 18	D	Dividend	M	T					
160. -Schwab Adv Cash Reserves									
161. -Intentionally Blank									
162. -Intentionally Blank									
163. -General Electric Cap Internotes Due 3/15/13					Redeemed	01/15/14	J	A	
164. -Intentionally Blank									
165. -Greif Brothers Notes Due 2/1/17									
166. -Intentionally Blank									
167. -Intentionally Blank									
168. -Plum Crk Tmbrlnd Bonds due 3/15/21									
169. -Intentionally Blank									
170. -Wells Fargo Mtg Fnn 2033 REMIC due 3/25/33									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Intentionally Blank									
172. -Intentionally Blank									
173. -Acuity Brands									
174. -Auto Data Processing					Sold	09/24/14	J	A	
175. -BOFI Holding Inc.					Sold (part)	1/3/14	K	D	
176.					Sold (part)	02/26/14	J	D	
177.					Sold	04/11/14	J	D	
178. -CISCO Systems									
179. -General Electric Co.									
180. -International Business Machines									
181. -Japan Smaller Cap Fund					Sold	06/27/14	J	B	
182. -Intentionally Blank									
183. -LICT Corp					Sold	02/26/14	J	B	
184. -PPG Industries									
185. -Reading International Class A					Sold	10/14/14	J	A	
186. -St Jude Medical									
187. -Stone Harbor Emerging FD									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

7/9/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -United Parcel Service Class B									
189. -Dynex Capital Inc. New REIT									
190. -Humboldt due 7/20/28					Sold	08/01/14	J	A	
191. -Intentionally Blank									
192. -Intentionally Blank									
193. -Intentionally Blank									
194. -Intentionally Blank									
195. -Prudential Financial Note					Sold	06/06/14	J	A	
196. -Hewlett Packard Note					Sold	06/02/14	J	A	
197. -Avnet Inc. Bond Due 9/1/15					Buy	10/23/14	J		
198. -Bank American Corp. Bond Due 8/15/15					Buy	11/03/14	J		
199. -Constellation Energy Bond Due 6/15/15					Buy	12/08/14	J		
200. -CSX Corp. Bond Due 4/1/15					Buy	06/17/14	J		
201.					Redeemed (part)	09/19/14	J	A	
202. -JPMorgan Chase Bond Due 5/1/15					Buy	05/16/14	J		
203. -Lennar Corp Bond Due 5/31/15					Buy	10/30/14	J		
204. -Southwestern Bell Bond Due 3/15/21					Buy	12/05/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Sorokin, Leo T.

Date of Report

7/9/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Staples Bond Due 1/12/18					Buy	07/28/14	J		
206. -Weyerrhaeuser Co. Bond due 02/1/18					Buy	11/22/14	J		
207. -Dreamworks Animation					Buy	09/29/14	J		
208. -Freeport McMoran Copper					Buy	06/29/14	J		
209. -Solar Capital LTD					Buy	06/06/14	J		
210. -Plum Creek Timber Co. REIT					Buy	09/17/14	J		
211. -L Brands Bond Due 11/1/14					Buy	1/10/14	J		
212.					Redeemed	11/1/14	J	A	
213. -Pemex Project Funding Note Due 12/15/14					Buy	1/14/14	J		
214.					Redeemed	12/15/14	J	A	
215. -Citicorp Bond Due 9/15/14					Buy	02/13/14	J		
216.					Buy (add'l)	05/07/14	J		
217.					Redeemed	09/15/14	K	A	
218. -Berry Pete. Co. Bond Due 6/1/2014					Buy	03/17/14	J		
219.					Redeemed	06/01/14	J	A	
220. -Timken Bond Due 9/15/14					Buy	04/22/14	J		
221.					Redeemed	09/15/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Sorokin, Leo T.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Santander Bank CD Due 10/23/14					Buy	07/18/14	J		
223.					Redeemed	10/23/14	J	A	
224. -Walmart Stock					Buy	07/31/14	J		
225.					Sold	09/24/14	J	A	
226. -Newell Reubbermaid Bond Due 6/15/15					Buy	09/16/14	J		
227. Utah Ed Savings Age Based Aggressive Global Fund	A	Dividend	K	T					
228. Utah Ed Savings Age Based Aggressive Domestic Fund	A	Dividend	K	T					
229. USAA Bank Accounts	A	Int./Div.	K	T					
230. BA Account 19									
231. -Schwab Cash Account	A	Int./Div.	J	T					
232. -CHN Capital LLC Note	A	Int./Div.			Sold	11/21/14	K	A	
233. -Alaska Municipal Bond	A	Int./Div.			Sold	07/01/14	J	A	
234. -Massachusetts Bay Notes	A	Int./Div.			Sold	07/01/14	K	A	
235. -Intentionally Blank									
236. -Massachusetts State Note	A	Int./Div.			Sold	6/1/14	J	A	
237. -AFLAC Stock	A	Int./Div.			Sold	11/01/14	J	C	
238. -AVNET stock	A	Int./Div.			Sold (part)	11/10/14	J	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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Name of Person Reporting

Sorokin, Leo T.

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7/9/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	11/25/14	J	D	
240. -Danaher Corp stock	A	Int./Div.	J	T					
241. -Forest City Enterprises, Inc. Stock		None	J	T					
242. -Graco Inc. stock	A	Int./Div.	J	T	Sold (part)	11/11/14	J	C	
243. -Noble Corp stock	A	Int./Div.	J	T					
244. -Pepsico Inc. stock	A	Int./Div.	J	T	Sold (part)	11/10/14	J	C	
245. -Procter & Gamble	A	Int./Div.	J	T					
246. -Mass Ed Financing Bond Due 1/1/15	A	Int./Div.	J	T	Buy	6/12/14	J		
247. - City of Lowell Mass Bond Due 10/15/14	A	Int./Div.			Buy	2/21/14	J		
248.					Redeemed	10/15/14	J	A	
249.									
250.									
251.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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7/9/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 94, 115 (Trusts #s 4, 5) The valuation of this trust is listed as estimated because some of the assets of the trust are partial interests in illiquid limited partnerships. The value code was based upon the Cash Market value of the bank accounts and publicly traded assets combined with the trustee's estimate of the value of the partnership interests.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Leo T. Sorokin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544