

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gottschall, Joan B.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 05/08/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Room 2356 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Visiting Committee to the Divinity School, University of Chicago
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Wellington Fund	D	Dividend	N	T					
2. Vanguard Wellesley Fund	D	Dividend	O	T					
3. Vanguard Index Trust	C	Dividend	M	T					
4. Vanguard Prime Portfolio	A	Dividend	K	T					
5. Fed Empl Credit Union Acts	A	Interest	J	T					
6. IBM c/stock	A	Dividend	K	T					
7. Intel c/stock	A	Dividend	K	T					
8. Waste Management c/stock	A	Dividend	J	T					
9. CVS Caremark c/stock	A	Dividend	K	T					
10. Vanguard Capital Opportunity Fund	B	Dividend	K	T					
11. BlackRock Large Cap Value	B	Dividend	K	T					
12. BlackRock Value Opportunities	C	Dividend	K	T					
13. Dodge & Cox Fds Intl Stk Fd	A	Dividend	J	T					
14. Capital Income Bldr	C	Int./Div.	M	T					
15. Income Fnd Amer Inc.	C	Dividend	M	T					
16. Balanced Fund, Inc.	C	Int./Div.	M	T					
17. Capital World Growth Inc. Fund	C	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Wintrust Financial Corp. Accounts*	A	Interest	M	T					*See Part VIII, No. 1
19. Beaufort Cnty Sc C: 074347-RR-8	A	Interest			Redeemed	03/01/16	J		
20. San Antonio TX Elec & Gas C: 796253-ZR-6	A	Interest			Redeemed	02/01/16	J		
21. Westmoreland Cnty PA C: 961008-QN-2	A	Interest			Redeemed	01/04/16	J		
22. La Joya TX Indpt Sch C: 503462-QF-3	A	Interest			Redeemed	02/16/16	J		
23. Pine County MN Housing C: 722537-AM-9	A	Interest			Redeemed	02/01/16	J		
24. Connecticut St Hlth C: 20774U-FJ-9	A	Interest			Redeemed	07/01/16	J		
25. Connecticut St Hlth C: 20774U-FN-0	A	Interest			Redeemed	07/01/16	J		
26. Jacksboro TX Indpt Sch Dist C: 466430-GL-3	A	Interest			Redeemed	08/15/16	K		
27. La Joya TX Indpt Sch Dist C: 503462-QG-1	A	Interest			Redeemed	02/16/16	J		
28. Mississippi Dev Bank C: 60534Q-QC-8	A	Interest			Redeemed	03/01/16	J		
29. Nevada St Rfdg Natural C: 641461-BL-1	A	Interest			Redeemed	03/01/16	J		
30. Alaska Mun Bd C: 001179P-PQ-4	A	Interest			Redeemed	06/01/16	K		
31. Auburn CA Un Sd Ctf C: 050286-D-2	A	Interest			Redeemed	06/01/16	K		
32. Birmingham AL Wtrwks & Swr C: 091089-LL-4	A	Interest			Redeemed	01/04/16	J		
33. Burke Cnty NC CTFS C: 121352-CB-2	A	Interest			Redeemed	04/01/16	K		
34. Chesapeake VA Pub Impt Ser C: 16532L-CC-8	A	Interest			Redeemed	06/01/16	J		

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35. Chester PA Wtr Auth Wtr Rev C: 166178-MV-2	A	Interest			Redeemed	06/01/16	K		
36. Dickinson TX Indept Sch Dist C: 253363-RH-0	A	Interest			Redeemed	02/16/16	J		
37. Energy Nrthwst WA Elec Rev C: 29270C-B8-7	A	Interest			Redeemed	07/01/16	K		
38. Erie PA Wtr Auth Wtr Rev C 295542-KA-1	A	Interest			Redeemed	06/15/16	K		
39. Grand Vly MI St Univ Rev C: 386545-HR-1	A	Interest			Redeemed	12/01/16	J		
40. Henderson County NC CTFS C: 42488-DL-6	A	Interest			Redeemed	06/01/16	J		
41. Henderson County NC CTFS C: 42488-DK-8	A	Interest			Redeemed	06/01/16	J		
42. Houston TX Cmnty Clig C: 442368-MG-8	A	Interest			Redeemed	04/15/16	K		
43. Katy TX Indpt Sch Dist C: 486063-EG-1	A	Interest			Redeemed	02/16/16	K		
44. Kirtland OH Loc S/D C: 497692-DU-4	A	Interest			Redeemed	06/01/16	K		
45. Lakewood OH City Sch Dist C: 512624-KV-8	B	Interest			Redeemed	12/01/16	K		
46. Lehigh Cnty PA Gen Purp C: 52480R-CR-9	A	Interest			Redeemed	04/01/16	J		
47. Louisiana Pub Facs Auth C: 546398-KS-6	A	Interest			Redeemed	09/01/16	K		
48. Louisiana ST Gas & Fuels C: 546475-RB-2	A	Interest			Redeemed	05/02/16	J		
49. Louisiana ST Gas & Fuels C: 546475-RA-4	A	Interest			Redeemed	05/02/16	J		
50. Lower Colo Riv Auth Tex C: 548100-2M-7	A	Interest			Redeemed	07/01/16	J		
51. Marion & Clackamas Cntys C: 568571-BK-8	A	Interest			Redeemed	06/15/16	J		

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52. Merrimack Cnty NH C: 590281-JW-2	A	Interest			Redeemed	12/01/16	J		
53. Montgomery AL Tax Ncrmt C: 613035-YG-3	A	Interest			Redeemed	01/04/16	J		
54. Montgomery Cnty PA C: 613579-M6-5	A	Interest			Redeemed	12/15/16	J		
55. Montgomery Cnty PA C: 613579-M9-9	A	Interest			Redeemed	12/15/16	J		
56. Neshaminy PA Sch Dist C: 64084F-LB-5	A	Interest			Redeemed	05/02/16	J		
57. New Jersey Infra Tr Prerefunded C: 645791-JP-0	A	Interest			Redeemed	09/01/16	J		
58. New Jersey St EFA REv Rfdg C: 64605L-N8-7	B	Interest			Redeemed	07/01/16	K		
59. Odessa TX Cfts Oblig C676006-LK-3	A	Interest			Redeemed	03/01/16	J		
60. Onslow Cnty NC Sch C: 682845-NH-1	A	Interest			Redeemed	06/01/16	J		
61. Pharr San Juan Alamo TX C: 717146-ST-2	A	Interest			Redeemed	02/01/16	J		
62. Port Neches Groves TX C: 73474M-HX-6	A	Interest			Redeemed	02/16/16	J		
63. Portland OR Swr Sys C: 736742-NE-3	A	Interest			Redeemed	06/15/16	J		
64. Raleigh NC Comb Enterprise C: 751100-ER-5	A	Interest			Redeemed	03/01/16	J		
65. Ravenna Ohio City S/D Sch C: 754348-BX-7	A	Interest			Redeemed	07/15/16	K		
66. Regional Transn Dist Co Sales Tax C: 759136-LL-6	A	Interest			Redeemed	11/01/16	J		
67. RNR Sch Fing Auth CA C: 769697-EQ-2	A	Interest			Redeemed	09/01/16	J		
68. Sacramento Regl Cnty C: 786134-UT-1	A	Interest			Redeemed	06/01/16	K		

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69. San Francisco CA CCD Election C: 797683-DM-8	A	Interest			Redeemed	06/15/16	J		
70. Sandy Vly OH Loc Sch Dist C: 800409-CB-8	A	Interest			Redeemed	06/01/16	J		
71. South Broward Hsp C: 836753-KQ-5	A	Interest			Redeemed	05/02/16	J		
72. St Tammany Parish LA Sales C: 79356N-BH-5	A	Interest			Redeemed	06/01/16	J		
73. Texas St Prerefunded C: 882723-JT-7	A	Interest	K	T					
74. Triborough Brdg & Tunl C: 89602N-Q2-3	A	Interest			Redeemed	11/14/16	J		
75. University Co Hosp Auth C: 914174-BL-0	A	Interest			Redeemed	05/16/16	K		
76. University Kansas Hosp C: 914367-CL-9	A	Interest			Redeemed	09/01/16	J		
77. University TX Univ Revs C: 915137-ZW-6	A	Interest			Redeemed	08/15/16	J		
78. University TX Univ Revs Fing Sys C: 915137-ZX-4	A	Interest			Redeemed	08/15/16	J		
79. Utica MI Cmnty Schs Ref C: 917661-ZX-1	A	Interest			Redeemed	05/02/16	J		
80. Washington Subn San Dist C: 940157-KR-0	A	Interest			Redeemed	06/01/16	J		
81. Westmoreland Cnty PA C: 961008-QM-4	A	Interest			Redeemed	01/04/16	J		
82. Westmoreland Cnty PA C: 961008-QM-4	A	Interest			Redeemed	01/04/16	J		
83. Whitehall Coplay PA Sch Dist C: 965000-NQ-1	A	Interest			Redeemed	06/15/16	J		
84. Aldine TX Indpt Sch Dist C: 014393-MW-9	A	Interest	J	T	Buy	08/04/16	J		
85. Antelope VY-East Kern CA C: 03672T-BF-3	A	Interest	J	T	Buy	08/22/16	J		

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86. Boone County KY C: 098825-XH-0	A	Interest	J	T	Buy	08/04/16	J		
87. California St Preref C: 13063C-H3-7	A	Interest	J	T	Buy	06/20/16	J		
88. California St Prfd C: 13063C-H5-2	A	Interest	K	T	Buy	09/02/16	K		
89. Callaway FL Capt Impt Rev C: 131186-AK-4	A	Interest	K	T	Buy	09/22/16	K		
90. Contra Costa Cnty CA C: 21226P-MS-4	A	Interest	J	T	Buy	07/27/16	J		
91. Contra Costa Cnty CA C: 21226P-MT-2	A	Interest	J	T	Buy	08/09/16	J		
92. Curators Univ MO Sys C: 231266-EW-3	A	Interest	K	T	Buy	09/23/16	K		
93. Delaware Riv JT Toll Bridge C: 246343-JT-5	A	Interest	J	T	Buy	08/08/16	J		
94. Denton Cnty TX Fresh Wtr C: 24879Y-DR-1	A	Interest	K	T	Buy	07/25/16	K		
95. Detroit MI Distr C: 251093-S5-0	A	Interest	J	T	Buy	08/12/16	J		
96. Douglas Cnty GA Sch Dist C: 259030-QT-4	A	Interest	J	T	Buy	06/21/16	J		
97. Douglas Cnty GA Sch Dist C: 259030-QL-1	A	Interest	J	T	Buy	06/21/16	J		
98. Eanes TX Indpt Sch Dist C: 270083-ZR-2	A	Interest	L	T	Buy	06/03/16	L		
99. Edinburg TX Cons C: 280785-QF-6	A	Interest	K	T	Buy	08/10/16	K		
100. Fishers IN Redev Auth C: 33803T-JR-8	A	Interest	L	T	Buy	06/03/16	L		
101. Frederick Cnty MD C: 355694-3F-5	A	Interest	J	T	Buy	06/23/16	J		
102. Gilmer Cnty CA C: 37586R-AT-1	A	Interest	J	T	Buy	07/27/16	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Grand Rapids MI Pub Schs C: 386280-PG-0	A	Interest	J	T	Buy	09/13/16	J		
104. Greens Pkwy Mun Util Dist TX C: 395397-DK-5	A	Interest	J	T	Buy	08/22/16	J		
105. Henrico Cnty VA Wtr & Swr C: 426170-JW-7	A	Interest	L	T	Buy	06/03/16	L		
106. Jackson MS Pub Sch Dist C: 468449-HW-6	A	Interest	J	T	Buy	06/22/16	J		
107. Knoxville TN Wtr Rev Sys C: 499818-SM-5	A	Interest	J	T	Buy	08/08/16	J		
108. Lodi CA USD Sch C: 540274-AVA-9	A	Interest	J	T	Buy	05/16/16	J		
109. Louisiana Loc Gov Env C: 546279-P3-8	A	Interest	J	T	Buy	08/19/16	J		
110. Lufkin TX Hlth Facs C: 549802-BH-8	A	Interest	J	T	Buy	07/27/16	J		
111. Marysville MI Pub Schs C: 574446-EQ-0	A	Interest	K	T	Buy	09/13/16	K		
112. Metro Atlanta Rapid Tran C: 591745-D-8-4	A	Interest	J	T	Buy	08/19/16	J		
113. Metro Govt Nashville & Davdsn C: 592112-MR-4	A	Interest	K	T	Buy	09/07/16	K		
114. Michigan Fin Auth C: 59447P-RN-3	A	Interest	J	T	Buy	08/19/16	J		
115. Missouri St Hwys C: 60636W-KU-8	A	Interest	K	T	Buy	08/17/16	K		
116. Monmouth Cnty NJ C: 60956P-GM-8	A	Interest	J	T	Buy	04/27/16	J		
117. Montgomery Cnty MD C: 613340-G5-1	A	Interest	J	T	Buy	08/17/16	J		
118. New Jersey HC Facs C: 64579F-5X-7	A	Interest	K	T	Buy	06/20/16	K		
119. New Jersey St Edl Facs C: 646065-NB-4	A	Interest	J	T	Buy	08/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. New York NY City C: 64971Q-3V-3	A	Interest	J	T	Buy	07/06/16	J		
121. New York NY City Mun Wtr C: 64972F-VX-1	A	Interest	J	T	Buy	09/08/16	J		
122. New York NY Prerefunded C: 64966L-XF-3	A	Interest	J	T	Buy	09/07/16	J		
123. New York St Urban Dev C: 650035-2D-9	A	Interest	J	T	Buy	07/25/16	J		
124. New York St Urban Dev C: 650035-2D-9	A	Interest	J	T	Buy	08/18/16	J		
125. New York St Dorm Auth C: 649903-LC-3	A	Interest	J	T	Buy	07/11/16	J		
126. Nextera Energy C: 65339K-10-0	A	Interest	K	T	Buy	06/09/16	K		
127. Nutley NJ Sch Dist C: 670607-GN-4	A	Interest	J	T	Buy	06/20/16	J		
128. Ohio St Higher Ed C: 67756D-HM-1	A	Interest	J	T	Buy	06/23/16	J		
129. Orlando FL Cap Impt Spl C: 68641H-FD-1	A	Interest	J	T	Buy	05/05/16	J		
130. Palm Coast FL Util Sys C: 69661K-BP-4	A	Interest	J	T	Buy	08/17/16	J		
131. Pearland TX Prerefunded C: 704862-7U-9	A	Interest	K	T	Buy	09/22/16	K		
132. Rhode Is St Hlth & Ed C: 762244-FT-3	A	Interest	K	T	Buy	09/08/16	K		
133. South Carolina St C: 837147-V3-8	A	Interest	K	T	Buy	04/28/16	K		
134. South Dakota Conservancy C: 837545-HN-5	A	Interest	L	T	Buy	06/03/16	L		
135. South Dakota St Bldg C: 83755L-JV-9	A	Interest	J	T	Buy	09/12/16	J		
136. Springfield MA Preref St C: 850752-PL-4	A	Interest	K	T	Buy	09/12/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/08/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. St. Louis MO Mun Fin Corp C: 79165N-AT-1	A	Interest	K	T	Buy	09/12/16	K		
138. St. Louis MO Mun Fin Corp C: 79165N-AV-6	A	Interest	J	T	Buy	09/12/16	J		
139. Texas St Transn Commn C: 88283L-EH-5	A	Interest	J	T	Buy	08/04/16	J		
140. Troy St Univ AL Stdnt Fee C: 897721-KK-1	A	Interest	K	T	Buy	09/23/16	K		
141. University CA Revs C: 91412G-ZQ-1	A	Interest	J	T	Buy	09/26/16	J		
142. University CT C: 914233-QN-4	A	Interest	J	T	Buy	04/28/16	J		
143. Washington ST Ser R-C C: 93974D-NJ-3	A	Interest	J	T	Buy	09/02/16	J		
144. Washington ST Var Purpser C: 93974B-Q3-9	A	Interest	J	T	Buy	08/19/16	J		
145. Yuma AZ Mun PPTY C: 988515-HY-1	A	Interest	J	T	Buy	09/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Gottschall, Joan B.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. VII, Line 18 -- This is a "sweep" account in both my brokerage and IRA accounts in which money is held pending investment; money is constantly being put into and taken out of the account.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Gottschall, Joan B.

Date of Report

05/08/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan B. Gottschall**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544