

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Specie, Karen K.	2. Court or Organization U.S. Bankruptcy Court Northern District of Florida	3. Date of Report 07/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court Northern District of Florida 110 East Park Ave., Ste 200 Tallahassee, FL 32301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	
2. 2016	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American College of Bankruptcy	03/17/2016 through 03/19/2016	Washington, DC	Educational Seminar & Induction Ceremony	Registration, lodging, and part of transportation
2. Florida Bar Association	06/15/2016 through 06/17/2016	Orlando, FL	Educational Seminar	Lodging, Meals, transportation
3. Jacksonville Bankruptcy Bar Association	08/25/2016 through 08/26/2016	Jacksonville, FL	Educational Seminar	Lodging, Meals, transportation
4. Northern District of Florida Bankruptcy Bar Association	09/15/2016 through 09/16/2016	Santa Rosa Beach, FL	Educational Seminar	Lodging, Meals, Transportation
5. Florida Bar Association	11/2/2016 through 11/4/2016	Tampa and Miami, FL	Educational Seminar	Lodging, Meals, Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Renasant Bank (f/k/a Alarion Bank)	Line of Credit - personal	J
2.	Renesant BAnk (f/k/a Alarion Bank)	Line of Credit - business	K
3.	American Express	various credit cards	J
4.	Chase	various credit cards	J
5.			
6.	Drummond Community Bank	Commercial real estate loan	N
7.	Drummond Community Bank	Line of Credit - personal	L
8.	Drummond Community Bank	Line of Credit - personal	K
9.	Drummond Community Bank	Line of Credit- personal	J
10.	Discover	Credit Card	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Renasant Bank (f/k/a Alarion Bank) - accounts	A	Interest	J	T					
2.									
3. SunTrust Bank - accounts	A	Interest	J	T					
4. Capital City Bank - checking account (see Part VIII)		None	K	T					
5. Note Receivable - [REDACTED]	E	Interest	N	T					
6. Vacant Lots 3 & 4, Steinhatchee Subd., Taylor Co., FL (see Part. VIII)		None	K	S					
7. [REDACTED]	D	Distribution	J	U					
8. [REDACTED]	E	Interest	M	T					
9. Farming operation - Alachua Co., FL (See Part VII)	A	Distribution	J	T					
10.									
11. SEP IRA #1 - Raymond James cash equivalent (through 6/10/16) (H)	A	Interest	K	T					
12. IRA #2 - Raymond James cash equivalent (through 6/10/16) (H)	A	Interest	K	T					
13.									
14. IRA #3 (Raymond James) (through 6/10/16) (H)									
15. -Deutsche Global Real Estate (formerly DWSR Reef Global Real Estate)									
16. -American Beacon Stephens Small Cap Growth Fund Class Y									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Brown Advisory Growth Equity Fund Investor Shares									
19. -Columbia Acorn Emerging Markets Fund Class Z					Sold	02/23/16	J	A	
20. -EuroPacific Growth Fund Class F1 - American Funds					Buy (add'l)	02/25/16	J		
21. -JP Morgan Mid Cap Value Fund Institutional Shares									
22. -John Hancock Disciplined Value Fund Class A									
23. -JP Morgan International Value Fund Institutional Class									
24. -JP Morgan U.S. Large Cap Core Plus Fund Select Class									
25. -Lazard Emerging Markets Equity Port Institutional SHS									
26. -MFS International Growth Fund Class I									
27. -MFS Research Fund Class A									
28.									
29. -Victory Trivalent Intl. Small Cap Fund Class Y (fka Victory Munder)									
30. -AMG-/SouthernSun (formerly SouthernSun US Equity Fund International)									
31. -Prudential Jennison Mid Cap Growth Fund Class Z									
32. -AQR Managed Futures Strategy Fund Class N									
33. -Columbia Contrarian					Buy (add'l)	02/23/16	J		
34. -Victory Integrity Small Cap									

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35.									
36. IRA #4 (Raymond James) (through 6/10/16) (H)									
37. -AMG River Road Div All Cap (fka Aston/River Road Div)									
38. -Deutsche Global Real Estate (formerly DWSR Reef Global Real Estate)									
39. -Capital Income Builder Fund Class F2-American Funds									
40. -Federated Strategic Value Dividend Fund Inst Shares IS									
41. -Franklin Income Fund Advisor Class									
42.									
43.									
44. -MFS Utilities Fund Class A									
45. -Mainstay Epoch Global Equity Yield Fund Class A									
46. -Principal Small Mid Cap Dividend Income Fund Class P									
47. -Principal Preferred Securities Fund Class P									
48. -Prudential Jennison Equity Income Fund Class Z									
49. -Geneva Advisors Equity Income Fund Class I					Sold	05/10/16	J	D	
50. -American International Growth & Income Fund Class F-2 N/L									
51. -Columbia Dividend Income					Buy	05/10/16	J		

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52.									
53. IRA # 5 (Raymond James) (through 6/16/16) (H)									
54. -Deutsche Global Real Estate (formerly DWSR Reef Global Real Estate)									
55. -Europacific Growth Fund Class F2/ American Fuynds N/L					Buy (add'l)	02/25/16	J		
56. -J P Morgan International Value									
57. -J P Morgan US Large Cap Core (Plus Fund Select Class N/L)									
58. -Lazard Emerging Markets Equity Port Institutional SHS									
59. -MFS Research Fund Class A									
60.									
61. -Victory Trivalent Intl. Small Cap Fund (fka Victory Munder)									
62.									
63. -Prudential Jennison Mid Cap									
64. -American Beacon Stephens Small Cap Growth Fund Class Y									
65.									
66. -Brown Advisory Growth Equity Fund Investor Shares									
67. -Columbia Acorn Emerging Markets Fund Class Z					Sold	02/23/16	J	A	
68. -J P Morgan Mid Cap Value Fund Institutional Shares									

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69. -John Hancock Disciplined Value Fund Class A									
70. -MFS International Growth Fund Class 1									
71. -AMG Southernsun US Equity Fund Institutional Class Y									
72. -AQR Managed Futures Strategy Fund Class N									
73. -Columbia Contrarian Core					Buy	02/23/16	J		
74. -Victory Integrity Small Cap									
75.									
76. IRA # 6 (Farnsley & Johnston 6/11/16 Rollover from RJA #1-4)(H)									
77. -American Beacon Stephens Small		None			Sold	06/21/16	J	A	
78. -Capital Income Builder Fund Class F2- American Funds	A	Dividend	K	T					
79. -EuroPacific Growth Fund Class F1 - American Funds		None			Sold	08/26/16	J	B	
80. -American Growth Fund of Amer	A	Dividend	K	T	Buy	08/26/16	J		
81. -American International Growth & Income Fund Class F-2 N/L	A	Dividend	J	T					
82. -AMG River Road Div All Cap (fka Aston/ River Road Div)	B	Dividend	K	T					
83. -AMG Southernsun US Equity Fund Institutional Class Y		None			Sold	06/21/16	J	A	
84. -AQR Managed Futures Strategy Fund Class N		None			Sold	06/21/16	J	A	
85. -Brown Advisory Growth Equity Fund Investor Shares	B	Dividend	K	T					

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86. -Columbia Contrarian	A	Dividend	J	T					
87. - Columbia Dividend Income	A	Dividend	J	T					
88. -Deutsche Global Real Estate (formerly DWSR Reef Global Real Estate)	A	Dividend	K	T					
89. -Federated Strategic Value Dividend Fund Inst Shares IS	A	Dividend			Sold	08/24/16	J	B	
90. -Franklin Income Fund Advisor Class	A	Dividend	K	T					
91. -Goldman Sachs Rising Div	A	Dividend	J	T	Buy	06/23/16	J		
92. -Goldman Sachs Small/Mid Cap		None			Buy	06/23/16	K		
93.					Sold	11/17/16	K	A	
94. -John Hancock Disciplined Value Fund Class A	A	Dividend	K	T					
95. -John Hancock Disciplined Value Mid Cap	A	Dividend	K	T	Buy	06/23/16	K		
96. -JP Morgan Small Cap Equity	A	Dividend	K	T	Buy	08/24/16	J		
97.					Buy (add'l)	11/15/16	J		
98. -JP Morgan International Value Fund Institutional Class	A	Dividend	J	T					
99. -JP Morgan Mid Cap Value Fund Institutional Shares	B	Dividend	K	T	Buy (add'l)	11/15/16	J		
100. -JP Morgan US Equity Fund	A	Dividend	K	T	Buy	06/21/16	K		
101. -JP Morgan U.S. Large Cap Core Plus Fund Select Class	A	Dividend	K	T					
102. -Lazard Emerging Markets Equity Port Institutional SHS	A	Dividend	J	T					

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103. -Mainstay Epoch Global Equity Yield Fund Class A	A	Dividend	K	T					
104. -MFS International Growth Fund Class 1	A	Dividend	J	T					
105. -MFS Research Fund Class A	A	Dividend	J	T					
106. -MFS Utilities Fund Class A	A	Dividend	J	T					
107. -Oppenheimer Int's Sml Mid Co move	A	Dividend	K	T	Buy	06/23/16	K		
108.					Buy (add'l)	08/26/16	J		
109. -Principal Preferred Securities Fund Class P	A	Dividend	J	T					
110. -Principal Small Mid Cap Dividend Income Fund Class P	A	Dividend	K	T					
111. -Prudential Jennison Equity Income Fund Class Z	A	Dividend	K	T					
112. -Prudential Jennison Mid Cap	A	Dividend	J	T					
113. -Victory Integrity Small Cap		None			Sold	08/24/16	J	A	
114. -Victory Trivalent Intl. Small Cap Fund Class Y (fka Victory Munder)		None			Sold	08/29/16	J	A	
115.									
116. IRA #7 (Farnsley & Johnston 6/17/16 rollover from RJA IRA #5) (H)									
117. -American Beacon Stephens Small Cap Growth Fund Class Y		None			Sold	06/21/16	J	A	
118. -Europacific Growth Fund Class F2/ American Fuynds N/L		None			Sold	06/23/16	J	A	
119. -AMG Southernsun US Equity Fund Institutional Class Y		None			Sold	06/21/16	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -AQR Managed Futures Strategy Fund Class N		None			Sold	06/21/16	J	A	
121. -Brown Advisory Growth Equity Fund Investor Shares	A	Dividend	J	T					
122. -Cohen & Steers Pref. Securities	A	Dividend	K	T	Buy	06/21/16	K		
123.					Buy (add'l)	06/24/16	J		
124. -Columbia Contrarian Core Fund		None			Sold	06/21/16	J	A	
125. -Deutsche Global Real Estate (formerly DWSR Reef Global Real Estate)		None			Sold	06/23/16	J	A	
126. -John Hancock Disciplined Value Fund Class A		None			Sold	06/23/16	J	B	
127. -John Hancock Disciplined Value Mid Cap	A	Dividend	J	T	Buy	06/23/16	J		
128. -J P Morgan US Large Cap Core (Plus Fund Select Class N/L)	A	Dividend	J	T					
129. -J P Morgan International Value		None			Sold	06/21/16	J	A	
130. -J P Morgan Mid Cap Value Fund Institutional Shares	A	Dividend	J	T	Buy (add'l)	11/17/16	J		
131. -Lazard Emerging Markets Equity Port Institutional SHS		None			Sold	06/21/16	J	A	
132. -Lord Abbett Floating Rate Fund	A	Dividend	J	T	Buy	06/23/16	J		
133. -MFS International Growth Fund Class 1		None			Sold	06/23/16	J	A	
134. -MFS Research Fund Class A	A	Dividend	J	T					
135. -Oppenheimer Dev Markets	A	Dividend	J	T	Buy	06/23/16	J		
136. -Prudential Jennison Mid Cap		None			Sold	11/17/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Swan Defined Risk Fund	A	Dividend	K	T	Buy	06/21/16	J		
138. -Victory Integrity Small Cap		None			Sold	06/21/16	J	A	
139. -Victory Trivalent Intl. Small Cap Fund (fka Victory Munder)		None			Sold	06/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII- LINE 4 - CAPITAL CITY BANK ACCOUNT IS A CHECKING ACCOUNT AND EARNED NO INTEREST.

PART VII- LINE 6 - VALUE OF STEINHATCHEE LOTS, TAYLOR COUNTY, FL - Assessed value per Taylor County, Florida Property Appraiser website; - \$34,300.00. These are vacant lots that generate no income.

PART VII - LINE 9 - FARMING OPERATION (PECANS) HAD \$0 INCOME IN 2016.

PART VII - In June 2016, Judge Specie and her husband rolled their retirement accounts from Raymond James to a new financial advisor (Farnsley & Johnston). All assets from IRAs numbered 1-5 (lines 10-74) remaining were transferred in kind in June 2016 to two Farnsley & Johnston IRAs which are now listed from lines 76-114 and 116-139. All income and ending values for the assets are reported on the lines under Farnsley & Johnston (lines 76-139).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Karen K. Specie**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544