

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Watkins, William K.	2. Court or Organization U.S. District Court, M.D. AL	3. Date of Report 11/16/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 1 Church Street, E300 Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Manager/Sole Member	Rosewood Martin, LLC
2.	Director, Shareholder, Officer	Powermaster, Inc.
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME*(yours, not spouse's)*

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Spouse's Neurological Care Center of Montgomery Salary reported on Form W-2

2. 2016

Spouse's non-employee compensation reported on form 1099-MISC by Mallinckrort Speaker's Bureau - \$1,000.00

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Troy Bank & Trust Company	Turkey Mountain Real Estate Loan	K
2.	Troy Bank & Trust Company	Line of Credit	L
3.	Wells Fargo Bank	Line of Credit	J
4.	Wells Fargo Bank Overdraft Protection	Line of Credit for overdraft protection on checking account at Wells Fargo Bank (Part VII, Line 17)	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Pike Co. AL Farm #1		None	O	W					
2. Farm (1/4 interest), Coffee County, AL		None	L	W					
3. North Carolina, Jackson Co. Lot 1 (Appraisal 7/23/04)		None	M	W					
4. North Carolina, Jackson Co. Lot 2 *See VIII		None	K	W					
5. North Carolina Land, Jackson Co. (Appraisal 8/8/02)		None	N	W					
6. Barn Property Troy, AL		None	K	W					
7. Pike Co. AL Farm #3		None	L	W					
8. Pike Co. AL Farm #4 - Mineral		None	J	W					
9. Pike Co. AL Farm #5 - Mineral		None	J	W					
10. Pike Co. AL Farm #6 (19% interest) (Known as Turkey Mountain LLC)	A	Distribution	K	W					
11. Pike Co. AL Farm #6 (19% interest) Mineral		None	J	W					
12. WE, LLC (50% interest)		None	J	W					
13. Powermaster, Inc. (1/3 interest)		None	K	W					
14. Wells Fargo Bank (formerly Wachovia Bank) - Dimensions Acct.	A	Interest	J	T					
15. Principal: Variable Universal Life Policy	A	Int./Div.	J	T					
16. Principal #302 WKW 318 Variable Universal Life	A	Int./Div.	J	U					
17. Northwestern Mutual TM 010 Extraordinary Life	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. LPL Financial IRA Account # 2 Summary total	A	Distribution	M	T					
19. LPL Financial IRA Account #2 Brokerage Cash	A	Int./Div.	J	T					
20. American Funds - Capital Income Builder Fund Class F CIBFX IRA #2	D	Dividend	M	T					
21. American Funds INVESTMENT COMPANY OF AMERICA CL C AICFX - IRA #2	A	Dividend	J	T					
22. INVESTMENT COMPANY OF AMERICA CL F1 AICCX -IRA #2	A	Dividend	J	T					
23. LPL Financial IRA Account #1 - Summary Total	A	Distribution	M	T					
24. LPL Financial IRA Account #1 Brokerage Cash	C	Int./Div.	J	T					
25. ALGER CAP APPREC FUND IRA #1	A	Dividend	J	T					
26. BLACKROCK HIGH YIELD BOND FUND IRA #1	A	Dividend			Sold (part)	02/18/16	J	A	
27. BLACKROCK HIGH YIELD BOND FUND IRA #1	A	Dividend			Sold	07/18/16	J	A	
28. AMER BEACON HY YLD OPRTYS FUND IRA #1	A	Dividend	J	T	Buy	07/18/16	J		
29. DOUBLELINE TOTAL RETURN BOND FUND IRA #1	A	Dividend	K	T					
30. JOHN HANCOCL DISCIPLINED VAL FD IRA #1	A	Dividend	J	T					
31. JOHN HANCOCL DISCIPLINED VAL MID CAP FD IRA #1	A	Dividend	J	T	Buy	02/18/16	J		
32. JPMORGAN CORE BOND SELECT IRA #1	A	Dividend	K	T					
33. MFS RESEARCH FUND CLASS I IRA #1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. PRUDENTIAL TOT RET BD FD IRA #1	A	Dividend	K	T	Buy	02/18/16	K		
35. MERGER FUND INVESTOR CL IRA #1	A	Dividend			Sold	02/18/16	J	A	
36. RIDGEWORTH SEIC FLOATING RATE HIGH INCOME CL IRA #1	A	Dividend	J	T	Sold (part)	02/18/16	J	A	
37. T ROWE PRICE SUMMIT MUNI INC FUND IRA #1	A	Dividend			Sold	05/10/16	J	A	
38. FRANKLIN CONVERTIBLE SECURITIES ADVISOR CL IRA #1	A	Dividend			Sold	05/10/16	J	A	
39. Troy Bank & Trust Company	A	Interest	J	T					
40. Residential rental real property, Pike Road, Montgomery Co., Alabama	E	Rent	N	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 18 is the total of all securities and cash in IRA #2. There were no distributions from the account during the year so the income was left blank, indicating no distributions received. Lines 19 - 22 reflect the individual holdings in IRA account #2

Lines 23 is the total value of all securities and cash in IRA # 1. There were no distributions from the account during the year so the income was left blank, indicating no distributions received. Lines 24 - 36 reflect the transactions and values of the individual investments in IRA Account #1

Line 37: This item is the former personal residence converted to residential real property rental upon moving to new personal residence

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William K. Watkins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544