

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HENDERSON, THELTON E.	2. Court or Organization UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA	3. Date of Report 05/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address UNITED STATES DISTRICT COURT 450 GOLDEN GATE AVENUE SAN FRANCISCO, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	DIRECTOR	STILES HALL, BERKELEY, CA
2.	DIRECTOR	EDWARD J MCFETRIDGE AMERICAN INN OF COURT, SAN FRANCISCO, CA
3.	DIRECTOR	HISTORICAL SOCIETY OF NORTHERN CALIFORNIA, SAN FRANCISCO, CA
4.	DIRECTOR	FIRST VOICE, SAN FRANCISCO, CA
5.	DIRECTOR	THELTON E HENDERSON CENTER FOR SOCIAL JUSTICE, BERKELEY, CA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

STATE OF CALIFORNIA - SALARY

2. 2015

SAN JOSE STATE UNIVERSITY - SALARY

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PROVIDENT CENTRAL CREDIT UNION	A	Int./Div.	J	T					
2. TRUST - UBS PACE MULTI: (H)									
3. - PACE MONEY MARKET INVESTMENTS FUND	A	Int./Div.			Closed	04/16/15	J		
4. - AMERICAN FUNDS EUROPACIFIC GROWTH FUND	A	Dividend			Sold	04/22/15	J	C	
5. - DAVIS NEW YORK VENTURE FUND	A	Dividend			Buy (add'l)	02/10/15	J		
6.					Sold	04/22/15	K	A	
7. - OPPENHEIMER DEVELOPING MARKETS FUND	A	Dividend			Sold	04/22/15	J	A	
8. - GROWTH FUND OF AMERICA	A	Dividend			Buy (add'l)	02/10/15	J		
9.					Sold	04/22/15	K	C	
10. - INVESTMENT COMPANY OF AMERICA FUND	A	Dividend			Buy (add'l)	02/10/15	J		
11.					Sold	04/22/15	K	B	
12. - AMERICAN FUNDS BOND FUND OF AMERICA	A	Dividend			Sold (part)	02/10/15	J	A	
13.					Sold	04/22/15	L	A	
14. - AMERICAN FUNDS CAPITAL WORLD BOND FUND	A	Dividend			Sold (part)	02/10/15	J		
15.					Sold	04/22/15	K		
16. - IVY HIGH INCOME FUND	A	Dividend			Sold	02/10/15	K		
17. - PIMCO TOTAL RETURN FUND	A	Dividend			Sold	02/10/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FRANKLIN/TEMPLETON GLOBAL BOND FUND	A	Dividend			Sold (part)	02/10/15	J		
19.					Sold	04/22/15	K	A	
20. - BLACKROCK GLOBAL ALLOCATION FUND INC	A	Dividend			Sold	04/22/15	K	B	
21. - BLACKROCK HIGH YIELD BOND	A	Dividend			Buy	02/10/15	K		
22.					Sold	04/22/15	K	A	
23. - DELAWARE DIVERSIFIED INCOME	A	Dividend			Buy	02/10/15	L		
24.					Sold	04/22/15	L	A	
25. IRA - UBS PACE MULTI: (H)									
26. - PACE MONEY MARKET INVESTMENTS FUND	A	Int./Div.			Closed	04/24/15	J		
27. - AMERICAN FUNDS EUROPACIFIC GROWTH FUND	A	Dividend			Sold	05/21/15	J	B	
28. - DAVIS NEW YORK VENTURE FUND	A	Dividend			Buy (add'l)	02/10/15	J		
29.					Sold	05/21/15	J	A	
30. - OPPENHEIMER DEVELOPING MARKETS FUND	A	Dividend			Sold	05/21/15	J		
31. - GROWTH FUND OF AMERICA	A	Dividend			Buy (add'l)	02/10/15	J		
32.					Sold	05/21/15	J	B	
33. - INVESTMENT COMPANY OF AMERICA FUND	A	Dividend			Buy (add'l)	02/10/15	J		
34.					Sold	05/21/15	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - AMERICAN FUNDS BOND FUND OF AMERICA	A	Dividend			Sold (part)	02/10/15	J		
36.					Sold	05/21/15	K		
37. -AMERICAN FUNDS CAPITAL WORLD BOND FUND	A	Dividend			Sold (part)	02/10/15	J		
38.					Sold	05/21/15	J		
39. - IVY HIGH INCOME FUND	A	Dividend			Sold	02/10/15	K		
40. - PIMCO TOTAL RETURN FUND	A	Dividend			Sold	02/10/15	K		
41. - FRANKLIN/TEMPLETON GLOBAL BOND FUND	A	Dividend			Sold (part)	02/10/15	J		
42.					Sold	05/21/15	K		
43. - BLACKROCK GLOBAL ALLOCATION FUND INC	A	Dividend			Sold (part)	02/10/15	J		
44.					Sold	05/19/15	J	A	
45. - BLACKROCK HIGH YIELD BOND	A	Dividend			Buy	02/10/15	J		
46.					Sold	05/19/15	K	A	
47. - DELAWARE DIVERSIFIED INCOME	A	Dividend			Buy	02/10/15	K		
48.					Sold	05/21/15	K		
49. TRUST - NATIONAL FINANCIAL SERVICES (SECURITIES AMERICA): (H)									
50. - SECURITIES AMERICA BANK DEPOSIT SWEEP	A	Int./Div.	J	T	Open	03/24/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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51. - VANGUARD BD INDEX FD INC INTERMEDIATE	A	Dividend	J	T	Buy	03/30/15	J		
52.					Buy (add'l)	04/23/15	J		
53.					Sold (part)	07/09/15	J		
54.					Buy (add'l)	11/10/15	J		
55. - VANGUARD BD INDEX FD INC LONG TERM BD	A	Dividend	J	T	Buy	03/26/15	J		
56.					Buy (add'l)	04/23/15	J		
57.					Sold (part)	07/09/15	J		
58. - VANGUARD BD INDEX FD INC SHORT TERM BD	A	Dividend	K	T	Buy	03/30/15	J		
59.					Buy (add'l)	04/27/15	K		
60.					Sold (part)	07/09/15	J		
61.					Buy (add'l)	11/10/15	J		
62.					Sold (part)	11/10/15	J		
63. - VANGUARD CHARLOTTE FUNDS	A	Dividend	K	T	Buy	03/30/15	J		
64.					Buy (add'l)	04/27/15	J		
65.					Buy (add'l)	07/09/15	J		
66.					Buy (add'l)	11/10/15	J		
67. - VANGUARD FTSE DEVELOPED MARKET ETF	A	Dividend	J	T	Buy	03/30/15	J		

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68.					Buy (add'l)	04/27/15	J		
69.					Buy (add'l)	07/09/15	J		
70.					Buy (add'l)	11/10/15	J		
71. - VANGUARD INDEX FDS	A	Dividend	K	T	Buy	03/30/15	J		
72.					Buy (add'l)	04/27/15	K		
73.					Sold (part)	07/09/15	J	A	
74.					Buy (add'l)	11/10/15	J		
75. - VANGUARD INDEX TR VANGUARD EXTENDED	A	Dividend	J	T	Buy	03/30/15	J		
76.					Buy (add'l)	04/27/15	J		
77.					Sold (part)	07/09/15	J	A	
78.					Buy (add'l)	11/10/15	J		
79. - VANGUARD INTL EQUITY INDEX FDS FTSE ALL WORLD	A	Dividend	J	T	Buy	03/30/15	J		
80.					Buy (add'l)	04/27/15	J		
81. - VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MARKETS	A	Dividend	J	T	Buy	03/30/15	J		
82.					Buy (add'l)	04/27/15	J		
83. - VANGUARD SCOTTSDALE MORTGAGE BACKED SECURITIES ETF	A	Dividend	J	T	Buy	03/30/15	J		
84.					Buy (add'l)	04/27/15	J		

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85.					Sold (part)	07/09/15	J		
86.					Buy (add'l)	11/10/15	J		
87. - CITY NATIONAL ROCHDALE EMERGING MRKTS	A	Dividend	J	T	Buy	03/26/15	J		
88.					Buy (add'l)	08/28/15	J		
89. - FIDELITY MID CAP VALUE		None			Buy	03/26/15	J		
90.					Sold	08/13/15	J		
91. - FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	K	T	Buy	03/26/15	J		
92.					Buy (add'l)	04/23/15	J		
93.					Sold (part)	04/30/15	J		
94.					Buy (add'l)	08/28/15	J		
95. - MEEDER BALANCED FUND	A	Dividend	J	T	Buy	03/26/15	J		
96.					Buy (add'l)	04/23/15	K		
97.					Sold (part)	11/06/15	J		
98.					Sold (part)	12/17/15	J		
99. - MEEDER DYNAMIC GROWTH	A	Dividend	J	T	Buy	03/26/15	J		
100.					Buy (add'l)	04/23/15	J		
101.					Buy (add'l)	07/08/15	J		

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102.					Sold (part)	11/06/15	J		
103. - MEEDER MUIRFIELD	A	Dividend	J	T	Buy	03/26/15	J		
104.					Buy (add'l)	04/23/15	K		
105.					Sold (part)	07/08/15	J		
106.					Sold (part)	11/06/15	J		
107. - MEEDER QUANTEX FUND	A	Dividend	J	T	Buy	03/26/15	J		
108.					Buy (add'l)	04/23/15	J		
109.					Sold (part)	11/06/15	J		
110. - MEEDER SPECTRUM FUND	A	Dividend	J	T	Buy	03/26/15	J		
111.					Buy (add'l)	04/23/15	J		
112.					Sold (part)	07/08/15	J		
113.					Sold (part)	11/06/15	J		
114.					Buy (add'l)	12/17/15	J		
115. - MEEDER UTILITIES AND INFRASTRUCTURE/MILLER/HOWARD INFRASTRUCTURE RET	A	Dividend	J	T	Buy	03/26/15	J		
116.					Buy (add'l)	04/23/15	J		
117.					Sold (part)	07/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

118. - SPARTAN INTL INDEX	A	Dividend	J	T	Buy	03/26/15	J		
119.					Buy (add'l)	04/30/15	J		
120.					Sold (part)	04/28/15	J	A	
121.					Buy (add'l)	05/21/15	J		
122.					Sold (part)	05/21/15	J	A	
123.					Buy (add'l)	06/24/15	J		
124.					Sold (part)	06/24/15	J		
125.					Buy (add'l)	07/29/15	J		
126.					Buy (add'l)	08/28/15	J		
127.					Sold (part)	08/28/15	J		
128. - SPARTAN REAL ESTATE INDEX FD	A	Dividend	J	T	Buy	03/26/15	J		
129.					Buy (add'l)	04/23/15	J		
130.					Buy (add'l)	04/28/15	K		
131.					Sold (part)	04/28/15	K		
132.					Buy (add'l)	05/21/15	K		
133.					Sold (part)	05/21/15	K		
134.					Buy (add'l)	06/24/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135.					Sold (part)	06/24/15	K		
136.					Buy (add'l)	07/29/15	K		
137.					Sold (part)	07/16/15	J	A	
138.					Buy (add'l)	08/28/15	J		
139.					Sold (part)	08/28/15	K		
140. - SPARTAN SMALL CAP INDEX	A	Dividend	J	T	Buy	03/26/15	J		
141.					Buy (add'l)	04/30/15	J		
142.					Sold (part)	04/28/15	J	A	
143.					Sold (part)	05/21/15	J		
144.					Buy (add'l)	08/28/15	J		
145.					Sold (part)	11/06/15	J	A	
146. - SPARTAN 500 INDEX FD	A	Dividend	K	T	Buy	03/26/15	J		
147.					Buy (add'l)	04/23/15	J		
148.					Buy (add'l)	04/28/15	K		
149.					Sold (part)	04/28/15	K	A	
150.					Buy (add'l)	05/21/15	K		
151.					Sold (part)	05/21/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152.					Buy (add'l)	06/24/15	K		
153.					Sold (part)	06/24/15	K	A	
154.					Buy (add'l)	07/16/15	J		
155.					Buy (add'l)	07/29/15	K		
156.					Sold (part)	07/29/15	K		
157.					Buy (add'l)	08/28/15	K		
158.					Sold (part)	08/28/15	K		
159. - SPARTAN TOTAL MKT INDX	A	Dividend	K	T	Buy	03/26/15	J		
160.					Buy (add'l)	04/23/15	K		
161.					Buy (add'l)	04/28/15	K		
162.					Sold (part)	04/28/15	K	A	
163.					Buy (add'l)	05/21/15	K		
164.					Sold (part)	05/21/15	K	A	
165.					Buy (add'l)	06/24/15	K		
166.					Sold (part)	06/24/15	K		
167.					Buy (add'l)	07/29/15	K		
168.					Sold (part)	07/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169.					Buy (add'l)	08/28/15	K		
170.					Sold (part)	08/28/15	K		
171.					Sold (part)	11/06/15	J	A	
172. - MEEDER TOTAL RETURN BOND	A	Dividend	J	T	Buy	03/26/15	J		
173.					Buy (add'l)	04/23/15	J		
174.					Sold (part)	11/06/15	J		
175.					Buy (add'l)	12/17/15	J		
176. - PIMCO INCOME FUND CL D	C	Dividend	L	T	Buy	03/26/15	K		
177.					Buy (add'l)	04/23/15	L		
178.					Sold (part)	04/30/15	J	A	
179.					Buy (add'l)	11/06/15	J		
180. - SPARTAN US BOND INDEX FD INVESTOR CL	B	Dividend	L	T	Buy	03/26/15	K		
181.					Buy (add'l)	04/23/15	L		
182.					Buy (add'l)	04/28/15	L		
183.					Sold (part)	04/28/15	L	A	
184.					Buy (add'l)	05/21/15	L		
185.					Sold (part)	05/21/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186.					Buy (add'l)	06/24/15	L		
187.					Sold (part)	06/24/15	L		
188.					Buy (add'l)	07/29/15	L		
189.					Buy (add'l)	08/28/15	L		
190.					Sold (part)	08/28/15	L		
191. - MEEDER DIVIDEND OPPORTUNITIES FUND	A	Dividend	J	T	Buy	07/08/15	J		
192.					Sold (part)	11/06/15	J		
193.					Sold (part)	12/17/15	J		
194. - FIDELITY SELECT HEALTHCARE	A	Dividend	J	T	Buy	07/16/15	J		
195. - PIMCO STOCKSPUS INTERNATIONAL	A	Dividend	J	T	Buy	07/20/15	J		
196. - EVENTIDE GILEAD FUND	A	Dividend	J	T	Buy	08/13/15	J		
197.					Buy (add'l)	08/28/15	J		
198. - FIDELITY CONTRAFUND	A	Dividend	J	T	Buy	11/06/15	J		
199. - ALGER SPECTRA FUND	A	Distribution	J	T	Buy	11/06/15	J		
200. TRUST - NATIONAL FINANCIAL SERVICES (SECURITIES AMERICA); (H)									
201. - SECURITIES AMERICA BANK DEPOSIT SWEEP	A	Int./Div.	J	T	Open	05/14/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

HENDERSON, THELTON E.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202. - CITY NAT'L ROCHDALE EMERGING MARKETS	A	Dividend	J	T	Buy	05/20/15	J		
203. - SPARTAN US BOND INDEX FUND	A	Dividend	K	T	Buy	05/20/15	K		
204.					Buy (add'l)	06/24/15	K		
205.					Sold (part)	06/24/15	K		
206.					Buy (add'l)	07/29/15	K		
207.					Sold (part)	07/29/15	K		
208.					Buy (add'l)	08/28/15	K		
209.					Sold (part)	08/28/15	K		
210.					Buy (add'l)	11/06/15	J		
211. - SPARTAN 500 INDEX FUND	A	Dividend	J	T	Buy	05/20/15	J		
212.					Buy (add'l)	06/24/15	J		
213.					Sold (part)	06/24/15	J		
214.					Buy (add'l)	07/16/15	J		
215.					Buy (add'l)	07/29/15	J		
216.					Sold (part)	07/29/15	J		
217.					Buy (add'l)	08/28/15	J		
218.					Sold (part)	08/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HENDERSON, THELTON E.

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05/15/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219. - SPARTAN TOTAL MKT INDEX	A	Dividend	J	T	Buy	05/20/15	J		
220.					Buy (add'l)	06/24/15	J		
221.					Sold (part)	06/24/15	J		
222.					Buy (add'l)	07/29/15	J		
223.					Sold (part)	07/16/15	J		
224.					Sold (part)	07/29/15	J		
225.					Buy (add'l)	08/28/15	J		
226.					Sold (part)	08/28/15	J		
227.					Sold (part)	11/06/15	J		
228. - SPARTAN INTL INDEX	A	Dividend	J	T	Buy	05/20/15	J		
229.					Sold (part)	06/24/15	J		
230.					Buy (add'l)	08/28/15	J		
231. - FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	J	T	Buy	05/20/15	J		
232. - FIDELITY MIDCAP VALUE		None			Buy	05/20/15	J		
233.					Sold	08/13/15	J		
234. - SPARTAN SMALL CAP INDEX	A	Dividend	J	T	Buy	05/20/15	J		
235.					Sold (part)	06/24/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

HENDERSON, THELTON E.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

236.					Buy (add'l)	08/28/15	J		
237. - SPARTAN REAL ESTATE INDEX	A	Dividend	J	T	Buy	05/20/15	J		
238.					Buy (add'l)	06/24/15	J		
239.					Sold (part)	06/24/15	J		
240.					Buy (add'l)	07/29/15	J		
241.					Sold (part)	07/16/15	J		
242.					Sold (part)	07/29/15	J		
243.					Buy (add'l)	08/28/15	J		
244.					Sold (part)	08/28/15	J		
245. - MEEDER MUIRFIELD	A	Dividend	J	T	Buy	05/20/15	J		
246.					Sold (part)	11/06/15	J		
247. - MEEDER TOTAL RETURN BOND	A	Dividend	J	T	Buy	05/20/15	J		
248.					Sold (part)	11/06/15	J		
249. - MEEDER BALANCED FUND	A	Dividend	J	T	Buy	05/20/15	J		
250.					Sold (part)	11/06/15	J		
251. - PIMCO INCOME FUND	B	Dividend	K	T	Buy	05/20/15	K		
252. - VANGUARD BD INDEX FD INC LONG TERM	A	Dividend	J	T	Buy	05/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HENDERSON, THELTON E.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

253. - VANGUARD BD INDEX FD INC INTEREMEDIATE	A	Dividend	J	T	Buy	05/22/15	J		
254. - VANGUARD BD INDEX FS INC SHORT TERM	A	Dividend	J	T	Buy	05/22/15	J		
255. - VANGUARD FTSE DEVELOPED MARKET ETF	A	Dividend	J	T	Buy	05/22/15	J		
256.					Buy (add'l)	07/09/15	J		
257. - VANGUARD CHARLOTTE FUNDS	A	Dividend	J	T	Buy	05/22/15	J		
258.					Buy (add'l)	07/09/15	J		
259. - VANGUARD SCOTTSDALE FDS MORTGAGE BACKED SECURITIES	A	Dividend	J	T	Buy	05/22/15	J		
260. - VANGUARD INDEX FDS	A	Dividend	J	T	Buy	05/22/15	J		
261.					Sold (part)	07/09/15	J		
262. - VANGUARD INDEX EXTENDED MARKET	A	Dividend	J	T	Buy	05/22/15	J		
263. - MEEDER DIVIDEND OPPORTUNITIES FUND	A	Dividend	J	T	Buy	07/08/15	J		
264. - FIDELITY SELECT HEALTHCARE	A	Dividend	J	T	Buy	07/16/15	J		
265. - PIMCO STOCKSPUS INTERNATIONAL	A	Dividend	J	T	Buy	07/20/15	J		
266. - EVENTIDE GILEAD FUND	A	Dividend	J	T	Buy	08/13/15	J		
267. - FIDELITY CONTRAFUND	A	Dividend	J	T	Buy	11/06/15	J		
268. - ALGER SPECTRA FUND	A	Dividend	J	T	Buy	11/10/15	J		
269. - MEEDER SPECTRUM FUND	A	Dividend	J	T	Buy	12/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

HENDERSON, THELTON E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THELTON E. HENDERSON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544