

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Finnegan, Sheila M.	2. Court or Organization District Court - Northern District of Illinois	3. Date of Report 10/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)_	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 219 S. Dearborn Street Room 2206 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Northwestern University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Northwestern University Law School	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Securitas (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. The Sedona Conference	03/20/2012-03/22/2012	San Diego, CA	Speak on e-discovery panels at Sedona Conference	travel, lodging, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Templeton Growth Fund-A (TEPLX)	A	Dividend	K	T					
2. New England Life Ins. Variable Life - SF (MetLife Stk Index)	B	Int./Div.	K	T					
3. Everbank Checking	A	Interest	J	T					
4. U.S. Employee Credit Union Checking and Saving	A	Interest	J	T					
5. Schwab Bank (credit card reward program)	A	Int./Div.			Closed	12/31/12	J	A	
6. Iconix Brand Group, Inc. (ICON)	A	Dividend	J	T					
7. Brokerage Account 1 (Fidelity)									
8. -Fidelity Municipal Money Market	C	Interest	N	T					
9. -Chicago IL Govt Bond 1/1/2016	B	Interest	K	T					
10. -Collier Cnty FLA Bond 10/1/2013	A	Interest	K	T					
11. -FL State Dept Gen Svcs Bond 9/1/2014	A	Interest	K	T					
12. -Midfirst Bank Okla City CD 9/24/2012	A	Interest			Redeemed	09/24/12	K	A	
13. -U.S. Treas Nts TIPS 4/15/2014	A	Interest	K	T					
14. -BWV ETF (Spdr Ser Tr Lehman Intl Treas Bd)	A	Interest			Sold	12/05/12	J	B	
15. -EFA (Ishares Tr MSCI EAFE Index Fd)	B	Dividend			Sold	12/05/12	K	D	
16. -HYG (Ishares Tr IBoxx & high yield corp Bd Fd)	B	Dividend			Sold	12/05/12	J	C	
17. -IJH (Ishares Tr S&P Midcap 400 Index Fd)	A	Int./Div.			Sold	11/28/12	K	E	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. -IVV (Ishares Tr S&P 500 Index Fd)	B	Int./Div.			Sold	11/28/12	L	E	
19. -IWD (Ishares Tr Russell 1000 Value Index Fd)	A	Int./Div.			Sold	11/28/12	K	E	
20. -IWF (Ishares Tr Russell 1000 Growth Index Fd)	B	Int./Div.			Sold	11/28/12	K	E	
21. -IWO (Ishares Tr Russell 2000 Growth Index Fd)	A	Int./Div.			Sold (part)	12/05/12	J	D	
22.					Donated				
23. -IWP (Ishares Tr Russell Midcap Growth Index Fd)	A	Int./Div.			Donated				
24. -Merck & Co.(formerly Schering Plough)	A	Dividend	J	T					
25. -VEU (Vanguard Intl Equity Index Fd FTSE All World Ex US)	A	Int./Div.	J	T					
26. -MOS (Mosaic)		None			Sold	09/06/12	K	B	
27. -POT (Potash)		None	J	T					
28. -SPY (SPDR S&P500 ETF)					Sold	12/05/12	K	D	
29. Brokerage Acct 2 (UBS- 08 to Fidelity)									
30. -RMA Money Mkt Portfolio	A	Dividend	K	T					
31. -Charlottesville Va Bond 7/15/15	A	Interest	K	T					
32. -Charleston Cnty SC BE/R 4.250 dtd 0	B	Interest	K	T					
33. -New York NY Fiscal Ser Bond Mand Sink Fnd 10/15/16	B	Interest	K	T					
34. -Chicago IL Wstwtr Transm Rev Ser B FGIC Dtd	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -Dallas Fort Worth Tx In Sr A Rv BE/R 5.000 DTD	B	Interest	K	T					
36. -Denton Texas Util Sys Rev FSA B/E /R 5.000	B	Interest	K	T					
37. -Georgia State Ser V /R/5.000 Dtd	B	Interest	K	T					
38. -Illinois St NPG Bd/R/5.000 DTD	A	Interest	K	T					
39. -Illinois St Sync Cr/R/5.500 DTD	B	Interest	K	T					
40. -Western Minn Mun Pwr Agy Ser B Rev NPG B/E /R/5.000 C	B	Interest	K	T					
41. -Humble Tx Indpt Sch Bond 2/15/19	B	Interest	K	T					
42. -Port St. Lucie Fl U/Rev Rev NPG B/E /R/	B	Interest	K	T					
43. -Monroe Cnty NY AGC BE/R/	A	Interest	K	T					
44. -Hamilton Cnty Oh SWR Sys Imp Rev NPG B/E /R/	A	Interest	K	T					
45. -Maryland St. Sr A BE/R/	B	Interest	K	T					
46. -NARAX (Virtus Multi-sector Short term bond)	A	Dividend	K	T					
47. -PDINX (Putnam Diversified Income Trust Class A)	A	Dividend			Sold	02/01/12	K	A	
48. -EVLX (Eaton Vance Floating Rate Fund Class A)	A	Dividend	K	T					
49. -PUBAX (Pimco Unconstrained Bond Fund Class A)	A	Dividend	K	T					
50. -PTTAX (Pimco Total Return Fund Class A)	A	Dividend	J	T					
51. -HFLAX (Hartford Floating Rate Fund Class A)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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52. -DLTNX (Double Line Total Return Fund)	A	Dividend	K	T	Buy	02/14/12	K		
53.					Buy (add'l)	04/25/12	J		
54. Brokerage acct 3 (Fid/)									
55. -Hawaii Cnty 0 BDS Ser 5.0% TRD					Buy	12/28/12	K		
56. Rollover IRA SF (UBS)	E	Int./Div.	N	T					
57. -UBS Liquid Assets Fund									
58. -GE Bond 2/1/13									
59. -CVS Corp Bond 9/15/14									
60. -Goldman Sachs Group Inc Bond 1/15/15									
61. -Anheuser Busch Co. Bond 2/1/15									
62. -Cisco Systems Inc Bond 2/22/16									
63. -Metlife Inc NTS 05.000% call@M/W									
64. -TimeWarner Cable Inc 05.850% call									
65. -EVLX (Eaton Vance Floating Rate Fund)									
66. -PDINX (Putnam Diversified Income Trust)					Sold	02/01/12	K	A	
67. -NARAX (Virtus Multi-Sector Short Term Bond Fund)									
68. -PUBAX (Pimco Unconstrained Bond Fund Class A)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. -HFLAX (Hartford Floating Rate Fund Class A)									
70. -PTTAX (Pimco Total Return Fund Class A)									
71. -DLTNX (Double Line Total Return Fund)					Buy	02/14/12	K		
72.					Buy (add'l)	04/25/12	J		
73. Rollover IRA (UBS-)	E	Int./Div.	O	T					
74. -UBS Liquid Assets Fund									
75. -IWM (Ishares Trust Russell 2000 Index Fd)					Buy (add'l)	11/07/12	K		
76. -IWD (Ishares Trust Russell 1000 Value Index Fd)					Sold (part)	11/07/12	L	D	
77. -IWF (Ishares Trust Russell 1000 Growth Index Fd)					Buy (add'l)	04/25/12	J		
78.					Buy (add'l)	11/07/12	K		
79. -IWR (Ishares Trust Russell Midcap Index Fd)					Buy (add'l)	11/07/12	J		
80. -VWO (Vanguard Intl Equity Index Fd Emerging Markets ETF)					Buy (add'l)	11/07/12	J		
81. -VEU (Vanguard Intl Equity Index Fd Inc FSTE All-World EX-US)					Buy (add'l)	11/07/12	K		
82. -PGMAX (Pimco Global Multi Asset Fund CI A)					Sold (part)	04/03/12	P1	A	
83. -EWG (Ishares Inc MSCI Germany Index Fund)									
84. -EWJ (Ishares Inc MSCI Japan Index Fd)									
85. -HDSAX (JPM Highbridge Dynamic Commod Strategy FBOLD)					Sold	04/03/12	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -PAUAX (Pimco All Assets All Auth-A)					Buy	04/03/12	K		
87.					Sold	07/24/12	K	A	
88. -ARBFX (Arbitrage Fund No Load Class)					Buy	04/03/12	K		
89. -PDMAX (Putnam Absolute Return 700 Class A)					Sold	04/03/12	K	A	
90. -PJMDX (Putnam Absolute Return 500 Class A)					Buy	07/25/12	K		
91. -WASAX (Ivy Asset Strategy Fund Class A)					Sold	02/29/12	K	A	
92. -JSOAX (JP Morgan Strategic Income Opportunities Fund)					Buy	04/03/12	K		
93. -EAGMX (Eaton Vance Global Macro Absolute Return Fund A)					Buy	04/03/12	K		
94.					Buy	04/26/12	K		
95. -CLSVX (CBRE Clarion Long/Short Fd Inv)					Buy	04/03/12	K		
96. IRA Rollover Acct KS (Fidelity)	D	Int./Div.	M	T					
97. -U.S. Treas Nts TIPS 4/15/2014									
98. -BEARX (Fed. Prudent Bear Fund Class A)									
99. -OPGSX (Oppenheimer Gold & Spec Minerals Cl A)									
100. -PRPFX (Permanent Portfolio)									
101. -TIP (Ishares Barclays TIP Secs Fd)									
102. -WASAX (Ivy Asset Strategy Cl A)									

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103. Roth IRA Accts (Fidelity)	A	Int./Div.	L	T					
104. -FDRXX (Fidelity Cash Reserves)									
105. -SPHIX (Fidelity High Income)									
106. -POT (Potash Corp)									
107. -CUT (Claymore Exch Traded Fd Tr Global Bimber)									
108. -DBV (Powershares DB G10 Currency Harvest Com Ut Ben Int)									
109. -DBC (Powershares DB Commod. Index Tracking FD Unit Ben Int)									
110. -MEDAX (MFS Emerging Mkts Debt C1 A)									
111. -TPINX (Templeton Global Bond Class A)									
112. Roth IRA 1 (Fidelity)	A	Int./Div.	K	T					
113. -FDRXX (Fidelity Cash Reserves)									
114. -SPHIX (Fidelity High Income)									
115. -POT (Potash Corp)									
116. Roth IRA UBS (to Fidelity)	A	Int./Div.	K	T					
117. -UBS Bank USA Deposit Acct					Sold	12/06/12	J	A	
118. -PCEXX (UBS Pace Money Mkt Investment Fd)					Sold	12/05/12	J	A	
119. -EVLX (Eaton Vance Floating Rate Fund)					Sold	04/26/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -PUBAX (Pimco Unconstrained Bond Fun Class A)					Sold (part)	04/26/12	J	A	
121.					Sold (part)	07/24/12	J	A	
122.					Sold	12/11/12	J	A	
123. -WASAX (Ivy Asset Strategy Fund)					Sold	02/29/12	J	A	
124. -PGMAX (Pimco Global Multi Asset Fund)					Sold	04/26/12	J	A	
125. --PDMAX (Putnam Absolute Return 700 Class A)					Sold	4/26/12	J	A	
126. -EAGMX (Eaton Vance Global cro Absolute Return Fund A)					Buy	04/26/12	J		
127.					Sold	12/11/12	J	A	
128. -PTTAX (Pimco Total Return Fund Class A)					Buy	05/08/12	J		
129.					Sold (part)	04/26/12	J	A	
130.					Sold (part)	07/24/12	J	A	
131.					Sold	12/11/12	J	A	
132. -PAUAX (Pimco All Assets)					Sold (part)	04/26/12	J	A	
133.					Sold (part)	07/24/12	J	A	
134.					Sold	12/11/12	J	A	
135. -NARAX (Virtus Multi-Sector Short-terEm bond Fund - Class A)					Buy	04/26/12	J		
136.					Sold (part)	07/24/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	12/11/12	J	A	
138. -HEMZX (Virtus Emerging Mkts Opportunities Fund Class A)					Buy	04/16/12	J		
139.					Buy (add'l)	07/24/12	J		
140.					Sold	12/11/12	J	A	
141. -JSOAX (JP Morgan Strategic Income Opportunities Fund)					Buy	04/26/12	J		
142.					Sold	12/11/12	J		
143. -PVSAX (Putnam Capital Spectrum A)					Buy	04/26/12	J		
144.					Sold	12/11/12	J	A	
145. -DLTNX (Double Line Total Return Fund N ₁ UB=					Buy	04/26/12	J		
146.					Sold (part)	07/24/12	J	A	
147.					Sold	12/11/12	J	A	
148. -VAPAX (Virtus Premium Alpha Sector Fund A)					Buy	04/26/12	J		
149.					Buy (add'l)	07/24/12	J		
150.					Sold	12/11/12	J		
151. -AFJAX (Allianz NFJ Intl Value Fund Class A)					Buy	04/26/12	J		
152.					Buy (add'l)	07/24/12	J		
153.					Sold	12/11/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -HFLAX (Hartford Floating Ratge Fund Class A)					Buy	04/26/12	J		
155.					Sold	12/11/12	J		
156. -ARBFX (Arbitrage Fund NO Load Class)					Buy	04/26/12	J		
157.					Sold	12/11/12	J	A	
158. -BOSOX (Boston Trust Small Cap Fund)					Buy	04/26/12	J		
159.					Buy (add'l)	07/24/12	J		
160.					Sold	12/13/12	J	A	
161. -CLSVX (CBRE clarion Long/Short FD Inv)					Buy	04/26/12	J		
162.					Buy (add'l)	07/24/12	J		
163.					Sold	12/11/12	J	A	
164. -PJMDX (Putnam Absolute Return 500 Class A)					Buy	07/24/12	J		
165.					Sold	12/11/12	J	A	
166. Roth IRA ☐ 2 (Fid ☐)	A	Int./Div.	K	T					
167. -ARDNX (Arden Alternative Strategies Class I)					Buy	12/12/12	J		
168. -FAUDX (Strategic Advisers Short Duration Fund)					Buy	12/12/12	J		
169.					Buy (add'l)	12/13/12	J		
170. -FCSAX (Strategic Advisers Core Fund)					Buy	12/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	12/13/12	J		
172. -FILFX (Strategic Advisers Intl Fund)					Buy	12/12/12	J		
173.					Buy (add'l)	12/13/12	J		
174. -FNSXX (FIMM Mmkt Port Inst Cl)					Buy	12/12/12	J		
175.					Buy (add'l)	12/13/12	J		
176. -EAGMX (Eaton Vance Global Macro Absolute Return Fund A)					Buy	04/26/12	J		
177.					Sold	12/11/12	J	A	
178. -FPCIX (Strategic Advisers Core Income Fund)					Buy	12/12/12	J		
179.					Buy (add'l)	12/13/12	J		
180. -FSAGX (Fidelity Select Gold)					Buy	12/12/12	J		
181.					Buy (add'l)	12/13/12	J		
182. -FSAMX (Strategic Advisers Emerging Markets)					Buy	12/12/12	J		
183.					Buy (add'l)	12/13/12	J		
184. -FSCFX (Strategic Advisers Small-Mid Cap Fd)					Buy	12/12/12	J		
185.					Buy (add'l)	12/13/12	J		
186. -FSGFX (Strategic Advisers Growth Fund)					Buy	12/12/12	J		
187.					Buy (add'l)	12/13/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -FTBFX (Fidelity Total Bond)					Buy	12/12/12	J		
189.					Buy (add'l)	12/13/12	J		
190. -FUSOX (Strategic Advisers US Oppty Fund)					Buy	12/12/12	J		
191.					Buy (add'l)	12/13/12	J		
192. -FVSAX (Strategic Advisers Value Fund)					Buy	12/12/12	J		
193.					Buy (add'l)	12/13/12	J		
194. -MERFX (Merger Fund)					Buy	12/12/12	J		
195.					Buy (add'l)	12/13/12	J		
196. -MWLDX (Metropolitan West Low Duration M)					Buy	12/12/12	J		
197.					Buy (add'l)	12/13/12	J		
198. -PSFAX (Pimco Short Term Administrative SHS)					Buy	12/12/12	J		
199. -PTRAX (Pimco Total Return Administrative SHS)					Buy	12/12/12	J		
200.					Buy (add'l)	12/13/12	J		
201. -TPINX (Templeton Global Bond Class A)					Buy	12/12/12	J		
202. -FPIOX (Strategic Advisers Income Opportunities Fund)					Buy	12/13/12	J		
203. Health Savings Account IRA	A	Int./Div.	K	T					
204. -VEU (Vanguard FTSE AllWorld Ex US ETF)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -VFINX (Vanguard 500 Index Fund Investor Shares)									
206. -Federated Prime Cash Trust									
207. Illinois Bright Start 529 (Index Age Based 18 yrs)	A	Int./Div.	L	T					
208. Utah 529 Plan (Option 2)	A	Int./Div.			Distributed	12/24/12	J		
209. Illinois Bright Start 529 (Index Age Based 15-17 yrs)	C	Int./Div.	L	T					
210. Utah 529 Plan (Option 9)	B	Int./Div.	K	T					
211. Illinois Bright Start 529 (Index Age Based 12-14 Yrs)	C	Int./Div.	L	T					
212. Illinois Bright Start 529 (Index Equity)	C	Int./Div.	J	T					
213. Utah 529 Plan (Option 9)	B	Int./Div.	L	T					
214. Fidelity 529 Plan (MA Portfolio 2015)	A	Int./Div.	J	T					
215. Illinois College bonds	A	Interest	K	T					
216. Brokerage Acct	A	Int./Div.	K	T					
217. -FTEXX (Fidelity Municipal Money Market)	A	Int./Div.	J	T					
218. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
219. -SPY (SPDR S&P500 ETF)	A	Dividend			Sold	11/29/12	J	B	
220. Brokerage Acct									
221. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
223. Brokerage Acct									
224. -FTEXX (Fidelity Municipal Money Market)	A	Interest	J	T					
225. -VTI (Vanguard Index Fds Vanguard Total Stk Mkt ETF)	A	Dividend	J	T					
226. Roth IRA (Custodian Acct)	A	Int./Div.	K	T					
227. -VEU (Vanguard Intl Eqty Index FTSE All World Ex US ETF)									
228. -VTI (Vanguard Total Stock Mkt)									
229. -Charles Schwab Bank									
230. Roth IRA (Custodian Acct)	A	Int./Div.	J	T					
231. -Charles Schwab Bank									
232. Everbank checking -	A	Interest	J	T					
233. Chase Bank checking -	A	Interest	J	T					
234. Chase Bank saving -	A	Interest	J	T					
235.									
236.									
237.									
238.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All UBS accounts were closed in December 2012. Assets in those accounts were transferred to Fidelity accounts. In this amended 2012 report, I am modifying Section VIII to provide additional information in response to questions from the Committee on Financial Disclosure in a letter dated 9/12/2013. With respect to Part VII, page 9, line 89 and page 11, line 125, where the report lists sales of PDMAX (Putnam Absolute Return 700 Class A). The shares of PDMAX identified on line 89 were purchased on 11/9/2011 with value code K. The shares identified on line 125 were also purchased on 11/9/2011 with value code D. These purchases were inadvertently omitted from the 2011 report. With respect to the question as to whether certain assets identified in Part VII of the 2011 report, namely TGINX on line 120, XLY on line 182, and MDLOX on line 226, should have been listed in the 2012 report, I have confirmed that the 2012 report is correct. These assets were all disposed of in 2011 but this was not reflected in the 2011 report. The 2011 report indicates on line 123 that shares of TGINX were purchased on 11/9/2011. This was a mistake. No purchase occurred on that date. All shares were sold by 9/22/2011 and no new shares were purchased after this date. As to XLY listed on line 120 of the 2011 report, these shares were sold on 9/23/2011. The value code is D and the gain code is A. Finally, as to MDLOX listed on line 226 of the 2011 report, these shares were sold on 11/15/2011. The value code is F and the gain code is A.

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Name of Person Reporting

Finnegan, Sheila M.

Date of Report

10/11/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sheila M. Finnegan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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