

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conner, Christopher C.	2. Court or Organization Middle District Pennsylvania	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address P.O. Box 847 Harrisburg, PA 17108-0847		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Custodian	Fidelity Advisor 529 College Savings Plans #1 and #2
2. Trustee	Trustee Account #1
3. Member of the Board of Advisors	Penn State University Dickinson School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	The Pennsylvania State University - teaching at law school	\$24,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Pennsylvania State Employees Credit Union	Mortgage equity loan on Rental Property, Mechanicsburg, PA (Part VII, Line 5)	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Conner, Christopher C.

Date of Report

05/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank, NA cash accounts (Y)									
2. FNB cash accounts (formerly named Metro Bank)	A	Interest	J	T					
3. PNM Resources Inc. common stock (Y)									
4. Conseco Life Insurance Co. - flex prem life ins policy (Y)									
5. RENTAL PROPERTY, Mechanicsburg, PA (2004, \$302,250)	E	Rent	N	R					
6. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #1 (H)									
7. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	J	T	Distributed (part)	02/04/15	J	A	
8.					Distributed (part)	12/03/15	J	A	
9. FIDELITY ADVISOR 529 COLLEGE SAVINGS PLAN #2 (H)									
10. - FA 529 Diversified International Portfolio Class A		None	K	T					
11. - FA 529 Stock Selector Mid Cap Portfolio Class A		None	K	T					
12. - FA 529 Small Cap Portfolio Class A		None	K	T	Distributed (part)	11/25/15	J	A	
13. - FA 529 Dividend Growth Portfolio Class A		None	K	T					
14. - FA 529 High Income Portfolio Class A		None	J	T	Distributed (part)	02/24/15	J	A	
15.					Distributed (part)	07/31/15	J	A	
16. - FA 529 Limited Term Bond Portfolio Cl A (formerly Intermediate Bond)		None	J	T					
17. TRUSTEE ACCOUNT #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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18. - Schwab One money market account	A	Interest	K	T					
19. - Loomis Sayles Bond Fund Class R	B	Dividend	K	T	Sold (part)	06/11/15	J	A	
20. - Pimco Income Fund Class D	B	Dividend	K	T	Sold (part)	06/11/15	J	B	
21. - T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T					
22. - BBH Core Select Fund Class N	B	Dividend	K	T					
23. - Vanguard Dividend Growth Fund Investor shares	B	Dividend	K	T	Sold (part)	03/16/15	J	B	
24. - Powershares S&P ETF 500 Low Volatility	A	Dividend	J	T	Sold (part)	03/16/15	J	B	
25. - Matthews Asia Dividend Fund	A	Dividend			Sold	08/31/15	J	A	
26. - Vanguard Selected Value Fund	A	Dividend	J	T					
27. - WisdomTree Europe Hedged Equity ETF	B	Dividend	K	T	Buy (add'l)	03/16/15	J		
28.					Buy (add'l)	03/27/15	J		
29. - WisdomTree Japan Hedged Equity Fund	A	Dividend	J	T	Buy (add'l)	03/16/15	J		
30. - Schwab Health Care Fund	C	Dividend	K	T					
31. - iShares ETF Short Maturity Bond	A	Dividend	J	T					
32. - Glenmede Large Cap Growth Fund	A	Dividend	K	T					
33. - SPDR S&P Dividend ETF		None			Sold	03/09/15	J		
34. - iShares US Preferred Stock ETF	A	Dividend			Sold	06/11/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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35. - Proshares UltraShort Euro ETF		None	J	T					
36. - WisdomTree Trust Germany Hedged Equity Fund	A	Dividend	J	T	Buy	02/17/15	J		
37. - Matthews Asian Growth & Income Fund	A	Dividend			Buy	05/20/15	J		
38.					Sold	08/31/15	J		
39. - Berkshire Hathaway Class B common stock		None	J	T	Buy	08/20/15	J		
40. - Sector SPDR Fund Consumer Discretionary Select ETF	A	Dividend	K	T	Buy	08/31/15	K		
41. - Powershares DWA Momentum ETF	A	Dividend	J	T	Buy	11/19/15	J		
42. CHARLES SCHWAB IRA #1 (H)									
43. - Charles Schwab money market account	A	Interest	J	T					
44. - Charles Schwab Bank insured deposit account	A	Interest	M	T					
45. - Templeton Global Bond Fund	A	Dividend			Sold (part)	01/20/15	J		
46.					Sold	04/29/15	J		
47. - PPL Corporation common stock	B	Dividend	K	T					
48. - Sector SPDR Financial Select Shares of Beneficial Interest	A	Dividend	K	T	Buy (add'l)	04/29/15	J		
49. - iShares TR TIPS Bond ETF	A	Dividend	K	T					
50. - T Rowe Price Blue Chip Growth Fund	A	Dividend	K	T	Sold (part)	06/11/15	J	C	
51. - Vanguard Short Term Corporate Bond Index ETF	B	Dividend	K	T	Sold (part)	12/14/15	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. - Metropolitan West Total Return Bond M	A	Dividend			Sold	06/11/15	K	A	
53. - Vanguard Dividend Growth Fund Investor Shares	B	Dividend	K	T	Sold (part)	03/16/15	J	B	
54.					Sold (part)	06/11/15	J	B	
55. - Powershares S&P ETF 500 Low Volatility	A	Dividend	K	T					
56. - Vanguard Selected Value Fund	B	Dividend	K	T					
57. - SPDR S&P Midcap 400 ETF	A	Dividend	K	T	Buy (add'l)	03/16/15	J		
58. - Matthews Asia Dividend Fund	A	Dividend			Sold	08/31/15	K		
59. - WisdomTree Europe Hedged Equity ETF	B	Dividend	K	T	Buy (add'l)	04/29/15	J		
60. - WisdomTree Japan Hedged Equity Fund	A	Dividend	K	T	Buy (add'l)	11/19/15	J		
61. - Schwab Health Care Fund	C	Dividend	K	T					
62. - Ishares ETF Short Maturity Bond: Near	A	Dividend	K	T					
63. - Proshares UltraShort Euro ETF		None			Sold	01/21/15	J	B	
64. - Consumer Discretionary Select Sector SPDR ETF	A	Dividend	K	T	Buy (add'l)	03/16/15	J		
65.					Buy (add'l)	08/31/15	J		
66. - Sector SPDR Tech Select Shares of Beneficial Interest	A	Dividend	J	T					
67. - Glenmede Large Cap Growth Fund	A	Dividend	K	T					
68. - Ishares US Preferred ETF US Pfd Stock	A	Dividend			Sold	03/16/15	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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69. - SPDR S&P Dividend ETF	A	Dividend			Sold	04/29/15	K		
70. - Ishares Select Dividend ETF	A	Dividend			Sold	06/22/15	K		
71. - Powershares QQQ Trust Series 1	A	Dividend	K	T	Buy	03/16/15	J		
72.					Buy (add'l)	08/31/15	J		
73. - Sector SPDR Energy Select Shares of Beneficial Interest	A	Dividend			Buy	03/16/15	J		
74.					Sold	07/27/15	J		
75. - Davenport Equity Opportunity Fund	A	Dividend			Buy	04/29/15	K		
76.					Sold	11/19/15	K		
77. - Matthews Asian Growth & Income Fund	A	Dividend			Buy	05/20/15	J		
78.					Sold	08/31/15	J		
79. - Guggenheim S&P 500 Equal Weight HC ETF	A	Dividend	J	T	Buy	04/29/15	J		
80. - Purefunds ISE Cyber Security ETF		None	J	T	Buy	04/29/15	J		
81. - Talen Energy Corporation common stock		None	J	T	Spinoff (from line 47)	06/01/15	J		
82. - Berkshire Hathaway Class B common stock		None	J	T	Buy	08/20/15	K		
83. - Ishares U.S. Medical Devices ETF	A	Dividend	J	T	Buy	10/28/15	J		
84. - Ishares S&P Small-Cap 600 Growth ETF	A	Dividend	K	T	Buy	11/19/15	J		
85.					Buy (add'l)	12/01/15	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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86. - Ishares U.S. Aerospace Defense ETF	A	Dividend	K	T	Buy	11/19/15	K		
87. - Powershares DWA Momentum ETF	A	Dividend	J	T	Buy	11/23/15	J		
88. GE Capital Bank, certificate of deposit	A	Interest			Matured	08/21/15	K		
89.									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

VIII. ADDITIONAL INFORMATION

Part VII, Line 28; Name has changed from WisdomTree

Part VII, Line 36; Name has changed from Proshares

Part VII, Line 60; Name has changed from WisdomTree

Part VII, Line 64; Name changed from Proshares Ultra

Part VII, Line 65; Name has changed from Sector SPDR

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Conner, Christopher C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Christopher C. Conner

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544