AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Goodstein, Aaron E.	District Court -E.D. Wisconsin	05/2/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Magistrate Judge - Full-Time (Recall)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2017 **to** 12/31/2017
7. Chambers or Office Address		
618 United States Courthouse 517 E. Wisconsin Avenue Milwaukee, WI 53202		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Goodstein, Aaron E.	05/2/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. 1. U.S. Bank Savings Accounts	B	Interest	M	T					
2. 2. IRA #1:									
3. a)General Electric Capital Corp.	A	Dividend			Sold	02/28/17	K	A	
4. b) Cohen & Steers Realty Shares	A	Dividend	J	T					
5. c) Proshares S&P 500 Aristocrats ETF	A	Dividend	K	T					
6. d) SPDR Index ShareFunds	A	Dividend			Sold	02/28/17	J	A	
7. e) Vanguard FTSE Emerging Marketings	A	Dividend			Sold	02/28/17	J	A	
8. f) Wisdomtree TR	A	Dividend			Sold	02/28/17	J	A	
9. g) I Shares NASDAQ	A	Dividend	J	T	Buy	02/23/17	J		
10. h) I Shares MSCI EAFE Small Cap	A	Dividend	J	T	Buy	02/23/17	J		
11. i) Select Sector SPDR Trust	A	Dividend	J	T	Buy	02/25/17	J		
12. j) SPDR SER TR	A	Dividend	J	T	Buy	02/23/17	J		
13. k) SPDR Series Trust	A	Dividend	J	T	Buy	02/23/17	J		
14. l) Vanguard Mega Cap Growth ETF	A	Dividend	J	T	Buy	02/23/17	J		
15. m) Cohen & Steers PFD Secs	A	Dividend	J	T	Buy	05/09/17	J		
16. n) AQR FDS	A	Dividend	J	T	Buy	09/17/17	J		
17. o) DoubleLine FDS TR	A	Dividend	J	T	Buy	02/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Goodstein, Aaron E.	05/2/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. p) Eaton Vance Atlantic Cap	A	Dividend	J	T	Buy	02/23/17	J		
19. q) Harding Loevner Funds Inc	A	Dividend	J	T	Buy	07/12/17	J		
20. r) Pimco Income FD	A	Dividend	J	T	Buy	02/23/17	J		
21. Brokerage Account #1:									
22. a) Berkshire Hathaway		None	K	T					
23. b) JP Morgan TR 1	A	Interest	J	T					
24. c) Chevron Corp.	A	Dividend	J	T					
25. d) Verizon Communications	A	Dividend	J	T					
26. e) Blackrock Strategic Income	B	Dividend			Sold	02/23/17	L	A	
27. f) Cohen & Steers Realty Shares	B	Dividend	K	T					
28. g) Guggenheim FDS TR	B	Dividend			Sold	02/23/17	L	A	
29. h) Proshares S&P 500 Aristocrats ETF	A	Dividend	L	T					
30. i) SPDR Index Shares Funds	A	Dividend			Sold	02/28/17	J	A	
31. j) Wisdom Tree TR-Europe Hedged Equity Fund	A	Dividend			Sold	02/28/17	J	B	
32. k) Eaton Vance Atlanta Cap	A	Dividend	K	T					
33. l) Vanguard FTSE Emerging Markets	A	Dividend			Sold	02/28/17	J	B	
34. m) IShares NASDAQ	A	Dividend	J	T	Buy	02/28/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Goodstein, Aaron E.	05/2/2018

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. n) IShares MSCI EAFE Small Cap	A	Dividend	J	T	Buy	02/28/17	J		
36. o) Select SPDR Trust	A	Dividend	J	T	Buy	02/28/17	J		
37. p) SPDR SER TR	A	Dividend	J	T	Buy	02/28/17	J		
38. q) SPDR Series TRust	A	Dividend	J	T	Buy	02/28/17	J		
39. r) Vanguard Mega Cap Growth ETF	C	Dividend	K	T	Buy	02/23/17	K		
40. s) AQR FDS	A	Dividend	J	T	Buy	11/10/17	J		
41. t) Cohen & Steers PFD SECS	A	Dividend	J	T	Buy	11/10/17	J		
42. u) Doubleline FDS TR	A	Dividend	K	T	Buy	07/12/17	K		
43. v) Harding Loevner Funds, Inc.	A	Dividend	J	T	Buy	07/12/17	J		
44. w) Pimco Income FD	A	Dividend	K	T	Buy	02/28/17	K		
45. x) Vanguard FTSE Emerging Markets	A	Dividend			Sold	02/28/17	J	B	
46. Brokerage Account #2									
47. a) Exxon Mobile Corp	A	Dividend	K	T					
48. b) IDEARC Inc.	A	Dividend			Sold	02/23/17	J	A	
49. c) Johnson & Johnson	A	Dividend	K	T					
50. d) Simon Property Group Inc, New	A	Dividend	K	T					
51. e) U.S. Bancorp DE New	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. f) Universal Health Realty Income Trust Shares Beneficial In	A	Dividend	K	T					
53. g) Verizon Communications, Inc	A	Dividend	J	T					
54. h) Washington Mutual Company	A	Dividend	J	T					
55. i) Fidelity National Information Services	A	Dividend	J	T					
56. j) Fairpoint Communications, Inc.	A	Dividend			Sold	02/23/17	J	A	
57. k) Walgreen Boots Alliance Inc	A	Dividend	J	T					
58. l) Blackrock Strategic Income	B	Dividend			Sold	02/23/17	K	A	
59. m) Guggenheim FDS TR	B	Dividend			Sold	02/23/17	K	A	
60. n) WP Glimcher n/k/a Washington Prime Group Inc	A	Dividend	J	T					
61. o) WMI Holdings	A	Dividend	J	T					
62. p) Proshares S&P 500 Aristocrats ETF	A	Dividend	K	T					
63. q) SPDR Index Shares Fund	A	Dividend			Sold	02/23/17	J	A	
64. r) Vanguard FTSE Emerging markets	A	Dividend			Sold	02/23/17	J	A	
65. s) Wisdom Tree TR	A	Dividend			Sold	02/23/17	J	A	
66. t) IShares NASDAQ	A	Dividend	J	T	Buy	02/23/17	J		
67. u) IShares MSCI EAFE Small Cap	A	Dividend	J	T	Buy	02/23/17	J		
68. v) Select Sector SPDR Trust	A	Dividend	J	T	Buy	02/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
69. w) SPDR SER TR	A	Dividend	J	T	Buy	02/23/17	J		
70. x)SPDR Series Trust	A	Dividend	J	T	Buy	02/23/17	J		
71. y) AQR FDS	A	Dividend	J	T	Buy	09/21/17	J		
72. z) Cohen &Steers PFD SECS	A	Dividend	J	T	Buy	11/10/17	J		
73. aa) Doubleline FDS TR	A	Dividend	K	T	Buy	02/23/17	K		
74. bb) Eaton Vance Atlanta Corp	C	Dividend	K	T	Buy	02/23/17	K		
75. cc) Harding Loevner Fund Inc	A	Dividend	J	T	Buy	07/12/17	J		
76. dd) Pimco Income FD	B	Dividend	K	T	Buy	02/23/17	K		
77. IRA #2									
78. a) JP Morgan CC	A	Interest	J	T					
79. b) AMG Southernsun US EQI	A	Dividend			Sold	02/23/17	J	A	
80. c) Cohen & Steers Realty Shares	A	Dividend	J	T					
81. d) Guggenheim FDS TR	A	Dividend			Sold	02/23/17	J	A	
82. e) Proshares S&P500 Aristocrats ETF	A	Dividend	K	T					
83. f) SPDR Index Shares Funds	A	Dividend			Sold	02/23/17	J	A	
84. g) Vanguard FTSE Emerging Markets	A	Dividend			Sold	02/23/17	J	A	
85. h) Vanguard Mega Cap Growth	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Goodstein, Aaron E.	05/2/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
86. i) Wisdom Tree Edged Equity Fund	A	Dividend			Sold	02/23/17	J	A	
87. j) IShares NASDAQ	A	Dividend	J	T	Buy	02/23/17	J		
88. k)IShares MSCI EAFE Small Cap	A	Dividend	J	T	Buy	02/23/17	J		
89. l) Select Sector SPDR Trust	A	Dividend	J	T	Buy	02/23/17	J		
90. m) SPDR Series Trust	A	Dividend	J	T	Buy	02/23/17	J		
91. n) SPDR SER TR	A	Dividend	J	T	Buy	02/23/17	J		
92. o) AQR FDS	A	Dividend	J	T	Buy	09/21/17	J		
93. p) Cohen& Steers PFD SECS	A	Dividend	J	T	Buy	11/10/17	J		
94. q) Doubleline FDS TR	A	Dividend	K	T	Buy	09/21/17	K		
95. r) Eaton Vance Atlanta Cap	C	Dividend	K	T	Buy	02/23/17	K		
96. s) Harding Loevner Funds Inc	A	Dividend	J	T	Buy	07/12/17	J		
97. t) Pimco Income FD	A	Dividend	K	T	Buy	02/23/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Goodstein, Aaron E.	05/2/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Line 60 n) WP Glimcher was acquired by Washington Prime Group in 2015

Name of Person Reporting	Date of Report
Goodstein, Aaron E.	05/2/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Aaron E. Goodstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544