

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Andre M.	2. Court or Organization Court of Appeals for the Fourth Circuit	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U S Courthouse 101 W. Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	University of MD School of Law Board of Visitors
2.	Instructor	University of MD School of Law
3.	Instructor	National Judicial College
4.	Chair of the Faculty Council	National Judicial College
5.	Lecturer	Pass the Maryland Bar, Inc.
6.	Director	Open Society Institute-Baltimore
7.	Member of the Board	Baltimore Urban Debate League, Inc.
8.	Member of the Board	Community Law in Action, Inc.
9.	Member of the Executive Committee	ABA Judicial Division/Appellate Judges Conference
10.	Chair	ABA Judicial Division/Commission on Diversity in the Judiciary
11.	Member	Network on Neuroscience and the Law
12.	Member	Appellate Judges Education Institute Board of Directors

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 4/1/2013	Pass the Maryland Bar, Inc.	\$500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2013	Self Employed Education Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Iowa Law School	March 8-10, 2013	Iowa City, IA	Moot Court	Transportation, Lodging, and Food
2. Duke University Law School	February 25-26, 2013	Durham, NC	Moot Court	Transportation, Lodging, and Food
3. International Institute of Justice & the Rule of Law	July 6-10, 2013	Geneva, Switzerland	Rule of Law Program Planning Meeting	Transportation, Lodging, and Food
4. Appellate Judges Education Institute	January 16-17, 2013	Dallas, TX	Planning Meeting	Transportation, Lodging, and Food
5. National Judicial College	April 21-25, 2013	Key West, FL	Instructor/Judicial Philosophy Course	Transportation, Lodging, and Food

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6.	same	September 7-11, 2013	Reno, NV	Instructor/General Jurisdiction and Faculty Council Meeting	same
7.	American Bar Association	February 7-10, 2013	Dallas, TX	Mid-Year Meeting	Transportation, Lodging, and Food
8.	same	August 8-13, 2013	San Francisco, CA	Annual Meeting	Transportation, Lodging, and Food
9.	MacArthur Foundation/ Vanderbilt University Law School	January 23-26, 2013	Santa Monica, CA	Professional Meeting: Neuroscience and the Law	Transportation, Lodging, and Food
10.	same	March 13-15, 2013	Palo Alto, CA	same	same
11.	same	April 10-12, 2013	Half Moon Bay, CA	same	same
12.	same	April 26-28, 2013	Chicago, IL	same	same
13.	same	September 25-28, 2013	Minneapolis, MN	same	same
14.	same	November 20-22, 2013	New Orleans, LA	same	same
15.	same	December 4-6, 2013	New Orleans, LA	same	same

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sovereign Bank	Mortgage on Rental Property/ Eastham, MA	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Citibank Accounts	A	Interest	J	T					
2. Capital One Accounts	A	Interest	J	T					
3. Bank of America Accounts	A	Interest	K	T					
4. Discover Bank Account	A	Interest	J	T					
5. Line 6 reports EXCHANGE TRADED FUNDS									
6. SPDR Dow Jones Indl Avg ETF	A	Dividend	K	T					
7. Lines 8 to 10 report MUTUAL FUNDS									
8. Davis NY Venture Fund Class C	A	Dividend	K	T					
9. Europacific Growth Fund Class A	A	Dividend	J	T					
10. T Rowe Price Growth Fund	A	Dividend	J	T					
11. Rental Property--Eastham, MA	F	Rent	P1	W					
12. Trust No. 1 This is an income beneficiary trust.	E	Distribution	P1	W					
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Andre M. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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