

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HART, WILLIAM T.	2. Court or Organization NORTHERN DISTRICT OF ILLINOIS	3. Date of Report 4/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 219 S. DEARBORN STREET ROOM 2246 CHICAGO, ILLINOIS 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	DIRECTOR	NORTHERN DISTRICT OF ILLINOIS COURT HISTORICAL ASSOCIATION, an Illinois not-for-profit corporation
2.	DIRECTOR AND SECRETARY	THE CLARE CHARITABLE FOUNDATION, an Illinois not-for-profit corporation
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 UBS FINANCIAL SERV.: INC.:	E	Distribution	O	T					
2. ----BANK OF CHINA CD									
3.									
4. ---DRU, INC.									
5. ---Caterpillar FNL SVC									
6. ---Doral BK Catano PR CD					Redeemed	01/24/11	M	B	
7. ---HSBC Fin. Corp.									
8. ---BBT Cap. T.									
9. ---M&T Capital Trust									
10. ---PNC Capital Trust E									
11. ---Credit Suisse GU									
12. ---J.P. Morgan Chase									
13. ---American Express Credit					Redeemed	09/15/11	L	C	
14. ---American Int'l Group					Sold	04/05/11	K	B	
15. ---UBS Bank Deposits									
16. ---FORD MOTOR CREDIT NTS					Buy	08/08/11	L		
17. ---BANK OF AMERICA DEBT					Buy	11/30/11	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

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18. ---MORGAN STANLEY B/E					Buy	12/01/11	K		
19. ---DUFF & PHELPS GLB UTL,INC					Buy	07/26/11	K		
20. UBS FINANCIAL SERV., INC. ACCT:									
21. ---British Petroleum	A	Dividend	K	T					
22. ---Duke Energy	A	Dividend	J	T					
23. ---Chi Bd. Ed. Bonds	B	Interest	L	T					
24. ---Bristol Meyers Squibb	B	Dividend	K	T					
25. ---General Electric	A	Dividend	K	T					
26.									
27. ---Hudson GO Purp B	B	Interest	K	T					
28. ---Luzerne, CO GO B	B	Interest	L	T					
29. ---Kansas CY CLGS	A	Interest	J	T					
30. ---Credit Suisse	A	Dividend	J	T					
31. ---Deutsche BK Cap III	B	Dividend	K	T					
32. ---UBS Bank USA	A	Interest	J	T					
33. ---BANK OF CHINA NY US				T	Buy	04/05/11	L		
34. ---BANK OF CHINA NY US	A	Interest		T	Redeemed	10/13/11	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. ---RMA Money Mkt	A	Dividend	M	T					
36. STOFAN AGAZZI ACCT:									
37. ---Ill. Rural Bk Bd Rev Bond	B	Interest	K	T					
38. ---Walgreen	A	Dividend	K	T					
39. ---Romeoville, IL GO	B	Interest	K	T					
40. ---Wash. St. Higher Ed. Fac Bond	B	Interest	K	T					
41. ---IM-IT Bond Fund 79 (Van Kampen)	A	Interest	J	T					
42. ---Ill. St. U. Rev. B	A	Interest	J	T					
43. ---Rancho Mirage CA B	A	Interest	J	T					
44. Harris Bank (checking)	A	Interest	K	T					
45. Harris Bank(savings)	A	Interest	L	T					
46. Mass. Mut. Life Ins. (Paid Up)		None	K	T					
47. The Clare Residency Deposit Account		None	O	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NO

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ WILLIAM T. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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