AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gould, Ronald M.	U.S. Ct. of Appeals, 9th Cir.	05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013
7. Chambers or Office Address		
William K. Nakamura U.S. Courthouse 1010 Fifth Avenue, Suite 940 Seattle, Washington 98104-1130		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	Chief Seattle Council, Boy Scouts of America
2.	Advisory Council	Ninth Judicial Circuit Historical Society
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/01/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. (H) Charles Schwab Broker. Acct.									
2. Schwab Cash & Money Market	A	Interest	J	T					
3. Vanguard Long-Term Bond Index Fund	B	Int./Div.	K	T					
4. Vanguard Intermediate Term Bond Index Fund	B	Int./Div.	K	T					
5. Vanguard GNMA Fund	A	Int./Div.	K	T					
6. Johnson Controls Inc., common stock	B	Dividend	M	T					
7. American Century Zero	A	Int./Div.	J	T					
8. Loomis Sayles Global Bd	A	Int./Div.	J	T					
9. Pimco Foreign Bond Fund	A	Int./Div.	J	T					
10. Schwab Treasury	A	Int./Div.	J	T					
11. Schwab Intermediate Term Bond Fund	A	Int./Div.	J	T					
12. Schwab Total Bd Mkt Fund	B	Int./Div.	L	T					
13. Wasatch Hoisington US Treasury Fund	A	Int./Div.	J	T					
14. Buffalo Discovery Fund	A	Int./Div.	J	T					
15. Schwab Fundamental Emerging Mkt Index	B	Int./Div.	L	T					
16. Schwab Fundamental Intl Large Co Index	A	Int./Div.	J	T					
17. Schwab Fundamental Intl Small Mid Index	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/01/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Schwab Global Real Estate Fd	A	Int./Div.	J	T					
19. Schwab Intl Index Fund	A	Int./Div.	K	T					
20. Schwab S&P 500 Index Fund	B	Int./Div.	L	T					
21. Schwab Small Cap Index Fund	C	Int./Div.	K	T					
22. Schwab Total Stock Mkt Index	A	Int./Div.	K	T					
23. Schwab 1000 Index Fund	D	Int./Div.	M	T					
24. Aston River Road Dividend All Cap Value	A	Int./Div.	J	T					
25. Matthews Asia Dividend Fund	A	Int./Div.	J	T					
26. American Century Equity Income Inv Cl	A	Int./Div.	J	T					
27. Wells Fargo Bank Account	A	Interest	J	T					
28. (H) Vanguard/IRA Account for Ronald M. Gould									
29. Vanguard Prime Money Market Fund	A	Dividend	J	T					
30. Vanguard Primecap Fund Admiral Shares	F	Dividend	P1	T	Sold (part)	07/29/13	L		
31. Vanguard Short-Term Bond Index Fund Admiral Shares	B	Dividend	M	T					
32. Vanguard Short-Term Investment-Grade Fund Admiral Shares	C	Dividend	M	T					
33. Vanguard Capital Opportunity Fund Admiral Shares	E	Dividend	O	T					
34. Vanguard High-Yield Corporate Fund Admiral Shares	E	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. GNMA Fund Admiral Shares	C	Dividend	M	T					
36. Intermediate-Term Invest-Gr Admiral Shares	C	Dividend	L	T					
37. Long-Term Invest-Gr Admiral Shares	D	Dividend	N	T					
38. Developed Markets Index Fund Admiral Shares	B	Dividend	K	T					
39. Emerging Markets Stock Index Fund Admiral Shares	C	Dividend	M	T					
40. Global Equity Fund	A	Dividend	L	T					
41. International Growth Fund Admiral Shares	A	Dividend	L	T					
42. Pacific Stock Index Fund Admiral Shares	B	Dividend	K	T					
43. Health Care Fund Investor Shares	C	Dividend	K	T					
44. High Dividend Yield Index Investor Shares	A	Dividend	K	T					
45. Intermediate Term Treasury Investor Shares	A	Dividend	K	T					
46. Long-Term Treasury Investor Shares	B	Dividend	K	T					
47. Short-Term Treasury Investor Shares	A	Dividend	K	T					
48. Total World Stock Index Investor Shares	A	Dividend	K	T					
49.									
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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Gould, Ronald M.	05/01/2014

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.									
53.									
54.									
55.									
56.									
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that the entries on Part VII, lines 1 and 28 are for names of accounts, in the nature of a heading for the assets that follow that are within this account. These lines 1 and 28 are not designating assets. Each asset in the account designated in lines 1 and 28 is listed separately in Part VII.

Part VII, line 10, as reported last year, the name of the fund has changed from Schwab Inflation Protected Fund to Schwab Treasury Fund. Therefore I have here shown the income and ending value in Treasury Fund, current fund name.

Part VII, line 11, as reported last year, the name of the fund has changed from Schwab Premier Income Fund to Schwab Intermediate -Term Bond Fund. Therefore,I have here shown the income and ending value in Intermediate-Term Bond Fund, current fund name.

Part VII, line 14, as reported last year, the name of the fund has changed from Buffalo Science and Technology Fund to Buffalo Discovery Fund. Therefore, I have here shown the income and ending value in Buffalo Discovery Fund, current fund name.

Part VII, line 41, my Investor Shares in International Growth Fund were automatically converted to Admiral Shares on 11/07/13 and dividends for the year were paid only on the International Growth Fund Admiral Shares, current fund name.

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/01/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Gould

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544