

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WIENER, JR., JACQUES L.	2. Court or Organization US COURT OF APPEALS 5TH CIRCUIT	3. Date of Report 05/01/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE 5TH CIRCUIT COURT-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US COURT OF APPEALS BLDG 600 CAMP STREET, ROOM 244 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	SENIOR OFFICER	COUNCIL, LOUISIANA STATE LAW INSTITUTE
2.	TRUSTEE	TRUST #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

FISHER & PHILLIPS, LLP (ATTORNEY/PARTNER)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	SEE PART VIII	SEE PART VIII	\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH:									
2. BANCORPSOUTH ACCOUNT #1	B	Interest	M	T					
3. BANCORPSOUTH ACCOUNT #2	A	Interest	J	T					SEE PART VII
4. BANCORPSOUTH ACCOUNT #3	A	Interest	L	T					
5. REGIONS BANK ACCOUNT #1	A	Interest	K	T					
6. REGIONS BANK ACCOUNT #2	A	Interest	K	T					
7. FEDERATED TAX FREE OBLIG	A	Int./Div.	L	T					
8. CITIBANK NA BANK DEPOSIT PROGRAM	A	Interest	L	T					
9. JP MORGAN BROKERAGE CASH BALANCE	A	Interest	N	T					
10. JP MORGAN BROKERAGE CASH BALANCE	A	Interest	K	T					SEE PART VII
11. JPM TAX FREE RESV SWEEP FUND	A	Int./Div.	M	T					
12.									
13. MUTUAL FUNDS:									
14. JPM SHORT DURATION BOND FD-SEL	D	Dividend	N	T	Sold (part)	3/21/12	J	A	
15.					Sold (part)	11/9/12	L	A	
16.					Buy (add'l)	1/3/12	J		
17.					Buy (add'l)	2/1/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000 J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000 K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000 L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000 M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	3/1/12	J		
19.					Buy (add'l)	4/2/12	J		
20.					Buy (add'l)	5/1/12	J		
21.					Buy (add'l)	6/1/12	J		
22.					Buy (add'l)	7/2/12	J		
23.					Buy (add'l)	8/1/12	J		
24.					Buy (add'l)	9/4/12	J		
25.					Buy (add'l)	10/1/12	J		
26.					Buy (add'l)	11/1/12	J		
27.					Buy (add'l)	12/3/12	J		
28.					Buy (add'l)	12/14/12	J		
29.					Buy (add'l)	12/14/12	J		
30.					Buy (add'l)	12/31/12	J		
31. JPM STRATEGIC INCOME OPP FD	C	Dividend	L	T	Sold (part)	3/7/12	K		
32.					Sold (part)	5/1/12	K		
33.					Sold (part)	6/15/12	L		
34.					Buy (add'l)	1/3/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	2/1/12	J		
36.					Buy (add'l)	3/1/12	J		
37.					Buy (add'l)	4/2/12	J		
38.					Buy (add'l)	5/1/12	J		
39.					Buy (add'l)	6/1/12	J		
40.					Buy (add'l)	7/2/12	J		
41.					Buy (add'l)	8/1/12	J		
42.					Buy (add'l)	9/4/12	J		
43.					Buy (add'l)	10/1/12	J		
44.					Buy (add'l)	11/1/12	J		
45.					Buy (add'l)	12/3/12	J		
46.					Buy (add'l)	12/14/12	J		
47.					Buy (add'l)	12/31/12	J		
48. JPM HIGH YIELD FD-SEL	D	Dividend	M	T	Buy (add'l)	1/3/12	J		
49.					Buy (add'l)	2/1/12	J		
50.					Buy (add'l)	3/1/12	J		
51.					Buy (add'l)	4/2/12	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Buy (add'l)	5/1/12	J		
53.					Buy (add'l)	6/1/12	J		
54.					Buy (add'l)	7/2/12	J		
55.					Buy (add'l)	8/1/12	J		
56.					Buy (add'l)	9/4/12	J		
57.					Buy (add'l)	10/1/12	J		
58.					Buy (add'l)	11/1/12	J		
59.					Buy (add'l)	12/3/12	J		
60.					Buy (add'l)	12/14/12	J		
61.					Buy (add'l)	12/14/12	J		
62.					Buy (add'l)	12/31/12	J		
63. DODGE & COX INTERNATIONAL STOCK	A	Dividend	K	T					
64. EATON VANCE FLOATING RATE I	D	Dividend	M	T	Sold (part)	4/09/12	K		
65.					Sold (part)	4/25/12	K		
66. JPM INTERNATIONAL CURRENCY INCOME FD		None	L	T	Sold (part)	6/21/12	K		
67.					Sold (part)	2/16/12	K		
68. MANNING & NAPIER FUND		None			Sold (part)	5/7/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Sold	5/7/12	K		
70. MATTHEWS ASIA DIVIDEND INSTITUTIONAL	B	Dividend	K	T					
71. NUVEEN NWQ VALUE OPPORTUNITIES FUND		None			Buy (add'l)	1/3/12	J		
72.					Buy (add'l)	3/7/12	K		
73.					Sold	3/22/12	K		
74. ARTISAN INTL VALUE FUND-INV	A	Dividend	K	T	Buy	8/30/12	K		
75.					Buy (add'l)	12/21/12	J		
76.					Buy (add'l)	12/21/12	J		
77.					Buy (add'l)	12/21/12	J		
78. BARC 100% PP CNY	A	Interest	J	T	Buy	4/27/12	J		
79.					Buy (add'l)	6/29/12	J		
80.					Buy (add'l)	7/31/12	J		
81.					Buy (add'l)	8/31/12	J		
82.					Buy (add'l)	9/28/12	J		
83.					Buy (add'l)	10/31/12	J		
84.					Buy (add'l)	11/30/12	J		
85.					Buy (add'l)	12/31/12	J		

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86. BBH FD INC	B	Dividend	M	T	Buy	5/7/12	L		
87.					Buy (add'l)	8/30/12	K		
88. CULLEN HIGH DIV EQUITY FUND	B	Dividend	L	T	Buy	3/7/12	K		
89.					Buy (add'l)	3/30/12	J		
90.					Buy (add'l)	3/21/12	K		
91.					Buy (add'l)	4/30/12	J		
92.					Buy (add'l)	5/31/12	J		
93.					Buy (add'l)	6/29/12	J		
94.					Buy (add'l)	7/3/12	J		
95.					Buy (add'l)	8/31/12	J		
96.					Buy (add'l)	9/28/12	J		
97.					Buy (add'l)	10/26/12	K		
98.					Buy (add'l)	11/5/12	J		
99.					Buy (add'l)	11/29/12	J		
100.					Buy (add'l)	12/28/12	J		
101. DB MARKET PLUS SX5E 3/19/14		None	K	T	Buy	9/14/12	K		
102. DOUBLELINE FDS TR	C	Dividend	L	T	Buy	3/7/12	K		

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103.					Buy (add'l)	3/21/12	J		
104.					Buy (add'l)	4/3/12	J		
105.					Buy (add'l)	4/30/12	J		
106.					Buy (add'l)	6/4/12	J		
107.					Buy (add'l)	7/3/12	J		
108.					Buy (add'l)	8/2/12	J		
109.					Buy (add'l)	8/30/12	K		
110.					Buy (add'l)	8/31/12	J		
111.					Buy (add'l)	9/28/12	J		
112.					Buy (add'l)	11/2/12	J		
113.					Buy (add'l)	11/9/12	K		
114.					Buy (add'l)	12/4/12	J		
115.					Buy (add'l)	12/31/12	J		
116. GATEWAY FUND-Y	A	Dividend	L	T	Buy	10/11/12	K		
117.					Buy (add'l)	11/9/12	K		
118.					Buy (add'l)	12/24/12	J		
119. HSBC AMERICAS MARKET PLUS 8/27/13		None	K	T	Buy	2/17/12	K		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ISHARES CORE S&P MID-CAP ETF	A	Dividend	K	T	Buy	4/30/12	K		
121.					Buy (add'l)	5/1/12	J		
122.					Buy (add'l)	8/30/12	J		
123. ISHARES RUSSELL 1000 VALUE INDEX	A	Dividend	K	T	Buy	3/21/12	K		
124. JPM LARGE CAP GROWTH FD-SEL	A	Dividend	K	T	Buy	5/15/12	K		
125. JPM MANAGED INCOME-SEL	A	Dividend	O	T	Buy	8/17/12	N		
126.					Buy (add'l)	9/4/12	J		
127.					Buy (add'l)	10/1/12	J		
128.					Buy (add'l)	11/5/12	J		
129.					Buy (add'l)	12/3/12	J		
130.					Buy (add'l)	12/14/12	J		
131.					Buy (add'l)	12/14/12	J		
132.					Buy (add'l)	12/31/12	J		
133. JPM SHORT TERM INTERM MUNI BOND-SEL	A	Dividend	M	T	Buy	11/1/12	L		
134.					Buy (add'l)	11/1/12	L		
135.					Buy (add'l)	11/1/12	J		
136.					Buy (add'l)	12/3/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	12/31/12	J		
138. JPM TR I TAX AWARE REAL RTRN FD-SEL	A	Dividend	L	T	Buy	6/21/12	K		
139.					Buy (add'l)	7/2/12	J		
140.					Buy (add'l)	8/1/12	J		
141.					Buy (add'l)	8/30/12	K		
142.					Buy (add'l)	9/4/12	J		
143.					Buy (add'l)	10/1/12	J		
144.					Buy (add'l)	10/26/12	K		
145.					Buy (add'l)	11/1/12	J		
146.					Buy (add'l)	12/3/12	J		
147.					Buy (add'l)	12/31/12	J		
148. JPM US EQUITY FD-SEL	B	Dividend	L	T	Buy	4/9/12	K		
149.					Buy (add'l)	5/15/12	K		
150. MFS INTL VALUE-I	A	Dividend	K	T	Buy	10/26/12	K		
151.					Buy (add'l)	12/17/12	J		
152. SPDR S&P 500 ETF TRUST	B	Dividend	M	T	Buy	3/7/12	K		
153.					Buy (add'l)	3/21/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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WIENER, JR., JACQUES L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	5/15/12	K		
155.					Buy (add'l)	10/11/12	K		
156.					Buy (add'l)	11/9/12	K		
157. SPDR GOLD TRUST		None	K	T	Buy	3/7/12	K		
158.					Buy (add'l)	3/21/12	J		
159.					Sold (part)	3/13/12	J	A	
160.					Sold (part)	4/10/12	J	A	
161.					Sold (part)	5/16/12	J	A	
162.					Sold (part)	6/12/12	J	A	
163.					Sold (part)	7/6/12	J	A	
164.					Sold (part)	8/10/12	J	A	
165.					Sold (part)	9/12/12	J	A	
166.					Sold (part)	10/8/12	J	A	
167.					Sold (part)	11/19/12	J	A	
168.					Sold (part)	12/11/12	J	A	
169. UBS BREN SPX 6/12/13		None	K	T	Buy	5/25/12	K		
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 P3 = \$25,000,001 - \$50,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172.									
173.									
174.									
175.									
176. STOCKS:									
177. HESS CORP	A	Dividend			Sold	12/6/12	K	E	
178. AMERICAN ELECTRIC POWER	B	Dividend			Sold	12/06/12	K	E	
179. BOEING	C	Dividend	M	T	Sold (part)	12/6/12	M	G	
180.					Buy (add'l)	12/6/12	M		
181. CHEVRON COPR NEW	A	Dividend	K	T	Sold (part)	12/6/12	K	E	
182.					Buy (add'l)	12/6/12	K		
183. EXXON MOBIL CORP	B	Dividend	L	T	Sold (part)	12/6/12	L	F	
184.					Buy (add'l)	12/6/12	L		
185. LUFKIN INDUSTRIES	B	Dividend	M	T					
186. GREEN DOT CORP		None	N	T	Sold (part)	3/2/12	N	G	
187.					Sold (part)	4/25/12	M	G	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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WIENER, JR., JACQUES L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	5/14/12	M	G	
189.					Sold (part)	5/15/12	K	E	
190.					Sold (part)	5/17/12	M	G	
191.					Sold (part)	5/16/12	M	G	
192.					Sold (part)	5/16/12	J	D	
193.					Sold (part)	7/3/12	N	G	
194.					Sold (part)	7/3/12	L	F	
195.					Donated (part)				
196.					Donated (part)				
197.					Donated (part)				
198.					Donated (part)				
199.					Donated (part)				
200.					Donated (part)				
201.					Donated (part)				
202.									
203.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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WIENER, JR., JACQUES L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

204. 1ST SUMMIT BANCORP OF JOHNSTON, INC (PER ANNUAL REPORT)	B	Dividend	L	W					SEE PART VII
205. GREEN DOT CORP		None			Sold (part)	2/10/12	L	F	SEE PART VII
206.					Sold (part)	3/1/12	L	F	SEE PART VII
207.					Sold (part)	5/11/12	L	F	SEE PART VII
208.					Sold (part)	5/16/12	L	F	SEE PART VII
209.					Sold (part)	5/25/12	L	F	SEE PART VII
210.					Sold (part)	6/8/12	M	G	SEE PART VII
211.					Sold (part)	7/2/12	L	F	SEE PART VII
212.					Sold (part)	7/26/12	L	F	SEE PART VII
213.					Sold (part)	7/30/12	L	F	SEE PART VII
214.					Sold (part)	8/2/12	K	E	SEE PART VII
215.					Sold (part)	8/3/12	L	F	SEE PART VII
216.					Sold (part)	8/7/12	L	F	SEE PART VII
217.					Sold (part)	10/4/12	L	F	SEE PART VII
218.					Sold (part)	10/9/12	L	F	SEE PART VII
219.					Sold (part)	11/2/12	K	E	SEE PART VII

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
220.					Sold (part)	11/12/12	L	F	SEE PART VII
221.					Sold (part)	11/14/12	M	F	SEE PART VII
222.					Sold (part)	11/20/12	L	F	SEE PART VII
223.					Sold (part)	12/3/12	M	G	SEE PART VII
224.					Sold	12/13/12	M	G	SEE PART VII
225.									
226. STOCKS: UNLISTED									
227. COASTAL CLUB		None	M	W					
228. CONTENT DIRECTIONS INC B		None	K	W					
229. CONTENT DIRECTIONS INC C		None	K	W					
230. CONTENT DIRECTIONS INC C		None	J	W					SEE PART VII
231.									
232. INVESTMENTS/PARTNERSHIPS:									
233. WW URBAN PROPERTIES LLC		None	J	W					
234. WW RURAL PROPERTIES LLC	C	Distribution	K	W					
235. CENTRAL INDUSTRIAL COMPLEX		None	J	U					
236. LAMA LIMITED PARTNERSHIP		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

237. LAMA '89 LIMITED PARTNERSHIP		None	J	W					
238. RENREW MINERALS, LTD	G	Distribution	O	W					
239. TEE ENERGY, CO., LLC	F	Distribution	N	W					
240. JADOBE INVESTMENTS, LLC	E	Distribution			Sold	12/31/12	K		
241. JADOBE INVESTMENTS 1998, LLC	D	Distribution	J	W					
242. JADOBE INVESTMENTS 2000, LLC	F	Distribution	M	W					
243. JASAN, LLC	E	Distribution	M	W					
244. LOUIS ROSEN COMPANY, LLC	D	Distribution	K	W					
245. PROVEN LEGACY, LLC		None	M	W	Buy (add'l)	10/24/12	J		
246. TENFOLD, INC.	B	Distribution	J	W					
247. NEXT IGEN LLC-SERIES A		None	N	W	Buy (add'l)	3/19/12	J		
248.					Buy (add'l)	5/1/12	J		
249.					Buy (add'l)	5/15/12	J		
250.					Buy (add'l)	7/19/12	J		
251.					Buy (add'l)	10/24/12	K		
252.					Buy (add'l)	10/26/12	K		
253. NEXT IGEN LLC-SERIES B		None	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

254. NEXT IGEN LLC-SERIES C		None	K	W	Buy (add'l)	1/4/12	J		
255.					Buy (add'l)	2/14/12	J		
256.					Buy (add'l)	3/2/12	J		
257.					Buy (add'l)	4/3/12	J		
258.					Buy (add'l)	5/3/12	J		
259.					Buy (add'l)	9/25/12	J		
260.					Buy (add'l)	11/19/12	J		
261.					Buy (add'l)	12/28/12	J		
262. NEXT IGEN LLC-SERIES D		None	K	W	Buy (add'l)	3/8/12	J		
263.					Buy (add'l)	3/30/12	J		
264.					Buy (add'l)	4/30/12	J		
265.					Buy (add'l)	12/20/12	J		
266. NEXT IGEN LLC-SERIES E		None	L	W	Buy (add'l)	5/3/12	J		
267.					Buy (add'l)	8/10/12	K		
268.					Buy (add'l)	9/13/12	J		
269. NEXT IGEN LLC-SERIES F		None	K	W	Buy	2/13/12	J		
270.					Buy (add'l)	5/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271.					Buy (add'l)	5/31/12	J		
272.					Buy (add'l)	10/24/12	J		
273.					Buy (add'l)	11/20/12	J		
274.					Buy (add'l)	11/27/12	J		
275. NEXT IGEN LLC-SERIES G		None	K	W	Buy	12/4/12	K		
276. NEXT IGEN LLC-SERIES H		None	L	W	Buy	12/12/12	L		
277. YETA, LLC	G	Distribution	N	W					
278. DEANSAN, LLC		None	N	W	Buy (add'l)	05/08/12	J		
279.					Buy (add'l)	11/19/12	J		
280. BIG PINK, LLC		None	L	W	Buy	06/18/12	L		
281. JPM ACCESS MULTI-STRATEGY FUND	B	Int./Div.	L	W	Buy	4/26/12	K		
282. POWERSHARES DB COMMODITY INDEX		None	L	T					
283.									
284. NOTE RECEIVABLES									
285. ALLAIN PERSONAL LOAN-NOTE RECEIVABLE		None	J	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

RE: V-GIFTS: NONE AS TRUE GIFTS, BUT ON A RECIPROCAL BASIS-I ACCEPT INVITATIONS FOR HUNTING OR FISHING WITH FRIENDS WHO I INVITE TO HUNT OR FISH WITH ME. SOME SUCH RECIPROCAL INVITORS/INVITEE, ARE ATTORNEYS, MANY OF WHOM WERE FRIENDS AND HUNTING OR FISHING PARTNERS BEFORE I BECAME A JUDGE. I NEVERTHELESS RECUSE MYSELF WHEN SUCH FRIENDS ARE REPRESENTING CLIENTS BEFORE THIS COURT.

RE: VII-ASSETS OF TRUST FOR BENEFIT OF FAMILY MEMBER OF WHICH I AM TRUSTEE AS INDICATED IN PART I.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JACQUES L. WIENER, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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