

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lefkow, Joan H.	2. Court or Organization U.S. District Court, NDIL	3. Date of Report 03/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 South Dearborn Street Room 2286 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Law Board (Advisory)	Northwestern Pritzker School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Lefkow, Joan H.

Date of Report

03/14/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Lefkow, Joan H.

Date of Report

03/14/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Lefkow, Joan H.

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03/14/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Employees Credit Union Cash Account	A	Interest	K	T					
2. SEI Private Trust (IRA) (H)									
3. - Global Managed Vol Fund (SVTAX)		None			Sold	08/08/17	K	C	
4. - Multi-Asset Accum Fund (SAAAX)		None			Sold	08/08/17	K	B	
5. - Multi-Asset Infl Fund (SIFAX)		None			Sold	08/08/17	J	A	
6. - Multi-Asset Cap Stab Fund (SCLAX)		None			Sold	08/08/17	K	A	
7. - U.S. Managed Volatility Fund (SVOAX)	A	Dividend			Sold	08/08/17	J	A	
8. - Multi-Strategy Alt Fund (SMSAX)		None			Sold	08/08/17	J	A	
9. - High Yield Bond Fund (SHYAX)	A	Dividend			Sold	08/08/17	J	A	
10. - Short-Duration Govt Fund (TCSGX)	A	Dividend			Sold	08/08/17	J		
11. - Emerging Mkts Debt Fund (SITEX)		None			Sold	08/08/17	J	A	
12. - Real Return Fund (SRAAX)	A	Dividend			Sold	08/08/17	J		
13. - U.S. Fixed Income Fund (SUFAX)	A	Dividend			Sold	08/08/17	J	A	
14. - Multi-Asset Income Fund (SIOAX)	A	Dividend			Sold	08/08/17	J	A	
15. - Enhanced Income Fund (SEEAX)	A	Dividend			Sold	08/08/17	J	A	
16. - Large Cap Fund (SLGAX)	A	Dividend			Sold	08/08/17	J	A	
17. - Government Fund (SEOXX) - Cash Account	A	Interest			Sold	08/08/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Lefkow, Joan H.

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03/14/2019

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18. Northwestern Mutual Life Insurance (Whole Life)	C	Dividend	K	T	Redeemed (part)	04/11/17	K		
19. Schwab One Trust Cash Acct (A)	A	Interest			Sold	06/12/17	K		
20. Joan H Lefkow IRA NFS (H)									
21. - FIDELITY GOVERNMENT CASH RESERVES	A	Interest	J	T	Open	08/23/17	M		
22. - DOUBLELINE CORE FIXED INCOM CL I	A	Dividend	J	T	Buy	09/13/17	J		
23. - FIRST EAGLE GLOBAL CLASS I	A	Dividend	J	T	Buy	09/13/17	J		
24. - GUGGENHEIM FLOATING RATE STRTGS FD INSTL	A	Dividend	J	T	Buy	09/13/17	J		
25. - HARTFORD WORLD BOND FUND CL I	A	Dividend	J	T	Buy	09/13/17	J		
26. - LORD ABBETT SHORT DURATION INCOME CL F	A	Dividend	J	T	Buy	09/13/17	J		
27. - MFS VALUE FUND CLASS I	A	Dividend	J	T	Buy	09/13/17	J		
28. - MONETTA YOUNG INVESTORS FD	A	Dividend	J	T	Buy	09/13/17	J		
29. - OAKMARK INTL ADVISOR FUND	A	Dividend	J	T	Buy	09/13/17	J		
30. - PARNASSUS CORE EQUITY INSTL	A	Dividend	J	T	Buy	09/13/17	J		
31. - PIMCO EQS LONG/SHORT FUND INSTL		None	J	T	Buy	09/13/17	J		
32. - PIMCO INCOME FUND INSTITUTIONAL FUND	A	Dividend	K	T	Buy	09/13/17	K		
33. - PRINCIPAL GLOBAL DIVERSIFIED INC INST	A	Dividend	J	T	Buy	09/13/17	J		
34. - RESOURCE REAL ESTATE DIVERSIFIED INCW	A	Dividend	K	T	Buy	09/13/17	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. - VANGUARD/WELLESLEY INCOME INVESTOR	A	Dividend	J	T	Buy	09/13/17	J		
36. Lefkow Family Trust NFS (H)									
37. - FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	L	T	Open	06/12/17	L		
38.					Open	12/18/17	J		
39.					Open	06/15/17	K		
40.					Open	07/05/17	J		
41.					Open	08/07/17	J		
42.					Open	09/05/17	J		
43.					Open	10/05/17	J		
44.					Open	11/06/17	J		
45.					Open	12/05/17	J		
46. - HINES GLOBAL INCOME TRUST INC CL IX	A	Dividend	K	T	Buy	08/16/17	K		
47. - SHOPOFF COMMERCIAL GROWTH & INCOME FUND III LP		None	K	T	Buy	08/15/17	K		
48.									
49.									
50.									
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Lefkow, Joan H.

Date of Report

03/14/2019

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52.									
53.									
54.									
55.									
56.									
57.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lefkow, Joan H.

Date of Report

03/14/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lefkow, Joan H.

Date of Report

03/14/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joan H. Lefkow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544