

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fish, Allen J.	2. Court or Organization United States District Court, Northern District of Texas	3. Date of Report 05/14/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 1100 Commerce Street Suite 1404 Dallas, Texas 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2014	SMU Dedman School of Law - teaching for spring and fall terms
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	SMU Dedman School of Law - teaching	\$80,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Texas Federal Credit Union Accounts, Dallas, TX	A	Dividend	M	T					
2. IRA #1									
3. -Vanguard Total Stock Mkt Index Fund (VTSMX)	A	Dividend	J	T					
4. -Vanguard Inflation Protected Securities Fund (VIPSX)	A	Dividend	J	T					
5. IRA #2									
6. -Vanguard Total Stock Mkt VIPERS (VTI)	A	Dividend	J	T					
7. -iShares TIPS Bond Fund (TIP)	A	Dividend	J	T					
8. -iShares EAFE Index Trust (EFA)	A	Dividend	J	T					
9. -Vanguard REIT VIPERS (VNQ)	A	Dividend	J	T					
10. -SPDR Select Sector-Energy (XLE)	A	Dividend	J	T					
11. -American Century Int'l Bond Fund (BEGBX)	A	Dividend	J	T	Sold (part)	04/17/14	J	A	
12. -iShares Russell 1000 Value Trust (IWD)	A	Dividend	J	T	Sold (part)	04/17/14	J	A	
13. -iShares Russell 2000 Value Trust (IWN)	A	Dividend	J	T	Sold (part)	04/17/14	J	A	
14. -iShares EAFE Value Index Trust (EFV)	A	Dividend	J	T	Sold (part)	04/17/14	J	A	
15. -Vanguard Emerging Markets VIPERS (VWO)	A	Dividend	J	T	Sold (part)	04/17/14	J	A	
16. -SPDR Gold Trust Gold Shares (GLD)		None			Sold	04/17/14	J	A	
17. -Berkshire Hathaway Class B (BRK/B)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #3									
19. -Vanguard Total Stock Mkt VIPERS (VTI)	A	Dividend			Sold	04/02/14	J	A	
20. -iShares TIPS Bond Fund (TIP)	A	Dividend			Sold	04/02/14	J	A	
21. -iShares EAFE Index Trust (EFA)	A	Dividend			Sold	04/02/14	J	A	
22. -Vanguard REIT VIPERS (VNQ)	A	Dividend			Sold	04/02/14	J	A	
23. -SPDR Select Sector-Energy (XLE)	A	Dividend			Sold	04/02/14	J	A	
24. -iShares FTSE Xinhua China 25 (FXI)	A	Dividend			Sold	04/02/14	J	A	
25. -Berkshire Hathaway Class B (BRK/B)		None	J	T	Buy	04/03/14	J		
26. Exxon Mobil Common Stock (XOM)	B	Dividend	K	T					
27. Voya Financial, Inc. deferred annuity	B	Interest	K	T					
28. Athene Life & Annuity Company deferred annuity	D	Interest	M	T					
29. Charles Schwab Bank Accounts	A	Interest	L	T					
30. Oxford Life Insurance Company deferred annuity	C	Interest	M	T					
31. IRA #4									
32. -Schwab Money Market Fund	A	Dividend	J	T	Buy	07/09/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The items reported in paragraph VII(1) and (29) are credit union and bank accounts. Detailed transactional data are not being provided in accordance with the instructions.
2. The proceeds of the sales reported in paragraphs VII(11), (12), (13), (14), (15), and (16) were paid from this account as a minimum distribution required by the Internal Revenue Code.
3. The item reported in paragraph VII(25) was purchased with the proceeds of the sales reported in paragraphs VII(19), (20), (21), (22), (23), and (24).
4. The names of the issuers of the deferred annuities reported in paragraphs VII(27) and (28) were changed after the previous annual report: ING USA Annuity and Life Insurance Company became Voya Financial, Inc., and Aviva Life & Annuity Company became Athene Life & Annuity Company.
5. As noted in the previous annual report, the securities in the account designated as IRA #1 were sold and the proceeds used to purchase a deferred annuity issued by Oxford Life Insurance Company. Because that account (IRA #1) no longer exists, the remaining IRA accounts (designated #2, #3, and #4 in the previous annual report), have been renumbered #1, #2, and #3 in this report.
6. The item reported in paragraph VII(32) was purchased with an annual IRA contribution for 2014.
7. The item reported in paragraph VII(1) has a larger gross value at the end of the reporting period than the corresponding entry in the previous annual report due to a lump sum settlement of a claim for backpay and interest arising out of a lawsuit styled Barker v. United States.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Allen J. Fish**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544