

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hamilton, Jean C.	2. Court or Organization US District Court, EDMO	3. Date of Report 4/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 111 South Tenth Street St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Hillcrest Subdivision
2.	Board Member	Care and Counseling
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Missouri Judges Retirement System - pension	\$34,795.00
2. 2016	IRA Distribution	\$3,368.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	David A. Blanton	Jan. 2016	New York	vacation	Lodging, partial food & partial transportation
2.	David A. Blanton	Feb. 2016	Florida, Bahamas	vacation	Lodging, partial food & partial transportation
3.	David A. Blanton	April 2016	Kansas City, MO	vacation	Lodging, partial food, transportation
4.	David A. Blanton	May - June 2016	Ireland, England	vacation	Lodging, partial food & transportation
5.	David A. Blanton	Nov. 2016	New York	vacation	Lodging, partial food, partial transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David A. Blanton	apparel	\$438.00
2.	David A. Blanton	jewelry	\$12,700.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank -2 accts.	A	Interest	M	T					
2. Bank of America 1 acct.	A	Interest	K	T					
3. IRA - TD Ameritrade									
4. a. DFA International Core Equity PFTL	A	Dividend	J	T					
5. b. DFA US Vector Equity	A	Dividend	J	T					
6. c. DFA US Core Equity 2	A	Dividend	K	T	Sold (part)	10/14/16	J	C	
7. d. DFA Emerging Markets Core Equity	A	Dividend	J	T					
8. e. DFA Int. Sm. Cap Value Port	A	Dividend	J	T					
9. f. DFA International III	A	Dividend	J	T					
10. g. DFA US Lg III	A	Dividend	J	T					
11. h. Vanguard GNMA Fund Admiral	A	Dividend	J	T					
12. i. Vanguard Short Term Invest. Grade Admiral	A	Dividend	J	T					
13. j. DFA 5 year Global Fixed PTF	A	Dividend	J	T	Buy	7/20/16	J		
14. Brokerage Account #3									
15. a. 3M (Minn. Mining & Mfg) - com.	C	Dividend	M	T					
16. b. Coca Cola - com.	A	Dividend			Sold	10/26/16	K	E	
17. c. Home Depot - com.	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. d. IBM - com.	C	Dividend	M	T					
19. e. Pfizer - com.	B	Dividend	J	T	Donated (part)				
20. f. Procter & Gamble	B	Dividend	L	T					
21. g. Walt Disney	A	Dividend	K	T	Sold (part)	10/26/16	K	E	
22. h. American International Group warrant	A	Dividend	J	T					
23. i. AT & T - com.	C	Dividend	L	T					
24. j. Kraft Heinz - com.	A	Dividend	K	T					
25. k. Mondelez Int'l. - com.	A	Dividend	K	T	Sold (part)	10/26/16	K	D	
26. l. Philip Morris Int. - com.	D	Dividend	M	T					
27. m. St. Louis MO Ind'l Dev. Auth. Rev. Bonds 12/1/20	A	Interest			Matured	12/1/16	K	E	
28. n. DFA Emerging Markets PTF	A	Dividend	K	T	Buy	1/15/16	K		
29. o. DFA International III	A	Dividend			Buy	1/15/16	M		
30.					Sold	2/16/16	M		
31. p. DFA US Core Equity I	A	Dividend			Buy	1/15/16	O		
32.					Sold	2/1/16	O	A	
33. q. Dreyfus Tax Exempt Bond Fund com.	B	Dividend	L	T					
34. r. DFA Int'l Core Equity PTF	C	Dividend	M	T	Buy	2/16/16	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	10/14/16	J		
36.					Buy (add'l)	10/26/16	K		
37.					Buy (add'l)	12/30/16	J		
38. s. DFA US Vector Equity	D	Dividend	M	T	Buy	2/16/16	L		
39.					Buy (add'l)	10/26/16	J		
40. t. DFA Tax Mgd. Int. Value Fund	B	Dividend	L	T	Buy	2/16/16	K		
41.					Buy (add'l)	10/26/16	J		
42. u. DFA Int. Small Cap Value Port	B	Dividend	K	T	Buy	2/17/16	J		
43. v. DFA Int. Small PTF	B	Dividend	K	T	Buy	10/26/16	J		
44. w. DFA Tax Managed US Marketwide Val. II	D	Dividend	M	T	Buy	2/16/16	M		
45.					Buy (add'l)	10/26/16	K		
46. x. DFA 5 year Global Fixed PTF	B	Dividend	L	T	Buy	10/28/16	J		
47.					Buy (add'l)	12/2/16	J		
48.					Buy (add'l)	12/30/16	J		
49. y. Vanguard GNMA Fund Admiral	B	Dividend	L	T	Buy	7/20/16	J		
50.					Buy (add'l)	10/28/16	J		
51.					Buy (add'l)	12/2/16	J		

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52.					Buy (add'l)	12/30/16	J		
53. z. Vanguard Short Term Investment Grade Admiral	B	Dividend	M	T	Buy	4/14/16	J		
54.					Buy (add'l)	10/28/16	K		
55.					Buy (add'l)	12/2/16	J		
56.					Buy (add'l)	12/30/16	J		
57. aa. DFA US Core Equity 2	D	Dividend	O	T	Buy	2/16/16	N		
58.					Buy (add'l)	10/26/16	L		
59.					Buy (add'l)	10/28/16	J		
60. bb. DFA TA U.S. Core Equity 2	A	Dividend	L	T	Buy	10/26/16	L		
61.					Buy (add'l)	10/28/16	J		
62. cc. DFA Emerging Markets Core Equity I	A	Dividend	J	T	Buy	10/26/16	J		
63. DFA Emerging Markets Core Equity I	A	Dividend			Buy	10/29/15	K		
64.					Sold	1/15/16	K	A	
65. DFA T.A. World Ex US Core Equity PTF	A	Dividend			Buy	12/18/15	M		
66.					Sold	1/15/16	M	A	
67. DFA US Lg III	A	Dividend			Buy	12/18/15	M		
68.					Sold	1/15/16	M	A	

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69. DFA T.A. US Core Equity 2	A	Dividend			Buy	12/18/15	O		
70.					Buy (add'l)	12/22/15	K		
71.					Buy (add'l)	12/28/15	L		
72.					Sold	1/15/16	O	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VIII : Investments

The purchase of investments listed in lines 63, 65, 67, and 69 were inadvertently omitted from the 2015 disclosure. Each investment was sold on 1/15/16.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jean C. Hamilton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544