

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Romero, Michael E.	2. Court or Organization Colorado - Bankruptcy Court	3. Date of Report 10/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge - U.S. Bankruptcy Court - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 543 U.S. Custom House 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. member - executive board	Our Courts, Colorado
2. member - board of governors	National Conference of Bankruptcy Judges
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Pendleton, Friedberg, Wilson & Hennessey, P.C. - departed shareholder/director interest in P.C. - no control. Agreement entered into 2003. No payment in 2013
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 10/21/13	Bank of the West - partial beneficiary distribution resulting from death of mother
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bankruptcy Institute	January 2013	Colorado	Bar Conference	tuition waiver
2.	National Conference of Bankruptcy Judges	April 2013	Arizona	Professional Association mid-year meeting	transportation, lodging and food
3.	National Conference of Bankruptcy Judges	October/November 2013	Georgia	Professional Association annual meeting	transportation, lodging and food
4.	National Organization of Life and Health Guaranty Associations	July, 2013	Illinois	Lecture at Professional Association annual meeting	transportation, lodging and food
5.	National Conference of Bankruptcy Judges	September, 2013	Washington, DC	Professional meeting with Congress	lodging and food

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6.	Turnaround Management Association	July, 2013	California	Lecture at Professional Association meeting	transportation, lodging and food
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Cards	J
2.	Bank of America	Credit Card	J
3.	Barclays Bank Delaware	Credit Card	J
4.	Wells Fargo National Bank	Line of Credit	J
5.	Capital One	Credit Card	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Wells Fargo Bank Custodian Traditional IRA - See note in part VIII	B	Interest	K	T					
2. Disney Common (1 share)(y) - See note in part VIII									
3. Wells Fargo National Bank, Accounts	A	Interest	J	T					
4. Northwestern Life Insurance Co. Whole Life #1	C	Interest	L	T					
5. Northwestern Life Insurance Co. Whole Life #2	A	Interest	K	T					
6. Trust #1: Spouse is Successor TrusteeSee note in part VIII (H)(x)	E	Interest	N	T					
7. -Undeveloped real property in Gunnison Co, Colorado (x)			L	S					
8. -former residence of deceased mother (x)			N	S					
9. -AT&T stock (x)			K	W					
10. -TIAA-CREF Growth and Income Fund (x)					Redeemed	11/21/13	L	E	
11. -BankWest Investment Services/Nationwide Platinum V #1 (x)					Redeemed	10/18/13	M	E	
12. -BankWest Investment Services/Nationwide Platinum V #2 (x)					Redeemed	10/18/13	L	E	
13. -BankWest Investment Services/Nationw. Best of Am. All-American (x)					Redeemed	10/18/13	K	E	
14. -Wells Fargo accounts*(see comment in section VIII)(x)			N	T					
15. Deceased mother's estate - Spouse is Representative (H)(x)									
16. -Jeep Liberty (2003) (x)			J	U					
17. -jewelry (x)			J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Steinway piano (x)			K	W					
19. -Lexix sedan (1996) (x)					Donated				
20. -Undeveloped land in Latimer Co, OK (x)			J	W					
21. -oil royalty from Mustang Fuel from line 20 (x)	A	Royalty							
22. -deposit accounts in Gunnison Savings and Loan (x)					Closed	10/09/13	J	A	
23. -accounts in Bank of the West (w)					Closed	10/17/13	K	A	
24. -Wells Fargo accounts (x)			J	T					
25.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.1 [REDACTED] account. Total investment in American Balanced Fund Inc. Class A. no transactions

VII.2 Disney stock (1 share) exempt from reporting (no transactions - total value approx. \$76 on December 31, 2013/No dividend in 2013)

VII.6 [REDACTED] No distributions to beneficiaries in 2013

VII.7 Actual value from Gunison County tax records for tax year 2013 was \$61,600

VII.8 Actual value from Gunison County tax records for tax year 2013 was \$470,120

VII.14 redemptions noted in lines 10, 11, 12, 13 comprise total in these accounts.

VII.15 [REDACTED] One partial distribution to beneficiaries from closure of bank account in 2013 (VII.23) and noted in Part III.B. One charitable donation noted in line 19

VII.23 funds from account closure noted in line 22 were deposited in this account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael E. Romero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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