

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Houser, Barbara J.	2. Court or Organization US Bky Ct; No Dst of Tx	3. Date of Report 04/18/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1254 Earle Cabell Federal Bldg 1100 Commerce Street Dallas, TX 75242-1496		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Family Present Interest Trust
2. Fellow	National Bankruptcy Conference
3. Fellow	American College of Bankruptcy
4. Fellow	American Bar Foundation
5. Fellow	Texas Bar Foundation
6. Master	John C. Ford American Inn of Court
7. Member; Member of Board of Directors and Executive Committee of such Board	American Bankruptcy Institute
8. Vice Chair of Board of Directors	Dallas Legal Hospice n/k/a Legal Hospice of Texas
9. Member, Member of Board of Governors	National Conference of Bankruptcy Judges
10. Member, Executive Board	SMU Dedman College of Law

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	

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2. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - 1/ *if you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	February 3-6	San Juan, Puerto Rico	Attend meeting of Executive Committee of Board and attend conference	Transportation, Lodging, and Meals
2.	Center for American and International Law	February 25	New Orleans, LA	Speak at bankruptcy conference	Transportation, Lodging, and Meals
3.	American Bankruptcy Institute	March 6-8	New York City, NY	Judge moot court competition	Transportation, Lodging, and Meals
4.	National Conference of Bankruptcy Judges	March 15	Fort Worth, TX	Attend mid-year meeting of Board of Governors	Transportation

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5.	American College of Bankruptcy	April 1-3	Austin, TX	Attend and speak at educational program	Transportation, Lodging and Meals
6.	American Bankruptcy Institute	April 13-16	Washington DC	Attend ABI Ex. Comm meeting, Board meeting and speak at bankruptcy conference	Transportation, Lodging, and Meals
7.	American Bankruptcy Institute	June 27-28	Washington DC	Attend meeting of Executive Committee of Board	Transportation, Lodging, and Meals
8.	American Bankruptcy Institute	September 7-9	Las Vegas, NV	Attend meeting of Executive Committee of Board	Transportation, Lodging, and Meals
9.	National Conference of Bankruptcy Judges	October 25-29	San Francisco, CA	Attend annual meeting of NCBJ	Transportation, Lodging, and Meals
10.	American Bankruptcy Institute	November 30-December 3	Los Angeles, CA	Attend meeting of Executive Committee and speak at bankruptcy conference	Transportation, Lodging, and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Technical Communications common stock	A	Dividend	J	T					
2. Bank of America (various accounts)	A	Interest	K	T					
3. Wells Fargo (checking account)	A	Interest	J	T					
4. Capital One Checking and saving accounts	B	Interest	M	T					
5. Family Present Interest Trust for Nephew		None							
6. Wal-Mart Stores, Inc. common stock	A	Dividend	K	T					
7. Discover Bank CD	A	Interest			Redeemed	01/12/16	M		
8. Nexity Bank CDs n/k/a Alostark Bank	C	Interest	M	T	Redeemed (part)	10/27/16	M		
9. GMAC Bank (various accounts and CDs) n/ k/a Ally Bank	C	Interest	M	T	Redeemed (part)	03/21/16	J		
10. Am Trust Direct n/k/a New York Community Bank money market	B	Interest	M	T					
11. Patriot Bank CDs	B	Interest			Redeemed	10/13/16	M		
12. Equity Bank CDs n/k/a Triumph Savings Bank n/k/a TBK Bank	B	Interest	M	T					
13. Salem 5 Savings and Checking accounts	A	Interest	M	T					
14. Colorado Federal Savings Bank Money Market	B	Interest	M	T					
15. First National Bank of Omaha Money Market	A	Interest	M	T					
16. Eloan Bank CD	B	Interest	M	T	Open	01/22/16	M		
17. Popular Direct Savings	A	Interest	L	T	Open	11/08/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. SallieMae Bank Money Market	B	Interest	M	T					
19. GE Capital Retail Bank CD n/k/a Synchrony Bank	A	Interest	M	T					
20. GE Capital Retail Bank High Yield Savings n/k/a Synchrony Bank	A	Interest	K	T					
21. GE Capital Retail Bank CD n/k/a Synchrony Bank	A	Interest	L	T					
22. BBVA Compass Bank (various accounts and CD)	B	Interest	M	T					
23. Brokerage account # 1 (H)									
24. Anheuser-Busch (BUD) common stock	C	Dividend	M	T	Buy (add'l)	10/24/16	K		
25. Automatic Data Processing Inc (ADP) common stock	A	Dividend	K	T					
26. Berkshire Hathaway Inc (BRKB) common stock		None	N	T	Buy (add'l)	07/07/16	L		
27. Bristol Myers Squibb (BMY) common stock	B	Dividend	L	T					
28. Coca-Cola (KO) common stock	A	Dividend			Sold	01/12/16	K	D	
29. Davita Inc (DVA) common stock		None	M	T	Buy (add'l)	10/10/16	K		
30. Emerson Electric (EMR) common stock	B	Dividend	K	T					
31. Exxon Mobil (XOM) common stock	B	Dividend			Sold	06/14/16	L	C	
32. Hershey (HSY) common stock	B	Dividend	L	T					
33. Johnson & Johnson (JNJ) common stock	B	Dividend	L	T					
34. Kraft Heinz Co (KHC) common stock	A	Dividend	M	T					

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35. LVMH Moet Hensy Louis Vutn Ord (LVMHF) common stock	A	Dividend			Sold	06/14/16	K		
36. Mc Donalds Corp (MCD) common stock	B	Dividend	L	T					
37. Merck & Co Inc New Com (MRK) common stock	B	Dividend			Sold	06/14/16	L	C	
38. Monsanto (MON) common stock	A	Dividend	K	T					
39. Nestle SA (NSRGY) common stock	B	Dividend	L	T					
40. Pepsico (PEP) common stock	C	Dividend	L	T					
41. Pfizer Inc (PFE) common stock	B	Dividend	K	T					
42. Procter & Gamble (PG) common stock	B	Dividend	L	T					
43. Restaurant Brands Int'l Inc (QSR) common stock	A	Dividend			Sold	06/14/16	K	C	
44. 3 M (MMM) common stock	A	Dividend	L	T					
45. Wal Mart Stores (WMT) common stock	B	Dividend			Sold	06/14/16	K	D	
46. Walt Disney Co Hldg Co (DIS) common stock		None	M	T	Buy	09/16/16	M		
47. Whitewave Foods Corp (WWAV) common stock		None	K	T					
48. Brokerage Account # 2 (H)									
49. Conoco Phillips (COP) common stock	A	Dividend	J	T					
50. Phillips 66 Com (PSX) common stock	A	Dividend	J	T					
51. MS Liquid Asset Fund (money market account)	A	Interest	K	T					

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52. Brokerage Account # 3 (H)									
53. ABBVIE Inc (ABBV) common stock	A	Dividend	K	T	Buy (add'l)	02/22/16	J		
54. Altria Group Inc. (MO) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
55. AMN Elec Power Co (AEP) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
56. Anheuser-Busch (BUD) common stock		None			Sold	02/22/16	K		
57. Astrazeneca (AZN) common stock	B	Dividend			Sold	12/20/16	K		
58. AT&T Inc (T) common stock	A	Dividend	K	T	Buy (add'l)	11/10/16	J		
59. Automatic Data Processing (ADP) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
60. Carnival Corp Paired SHS (CCL) common stock	A	Dividend	J	T	Buy	09/09/16	J		
61. CME Group Inc (CME) common stock	A	Dividend	J	T	Buy	11/15/16	J		
62. Century Link Inc (CTL) common stock	B	Dividend			Sold	11/10/16	K		
63. Coca Cola Co (KO) common stock	A	Dividend	K	T					
64. Conoco Phillips (COP) common stock		None			Sold	02/22/16	J		
65. Digital Realty TR Inc (DLR) common stock	B	Dividend	K	T					
66. Dominion Resources Inc New Va (D) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
67. Eli Lilly & Co (LLY) common stock	A	Dividend			Sold	02/22/16	K	A	
68. Exxon Mobil Corp (XOM) common stock	A	Dividend			Buy	02/22/16	K		

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69.					Sold	08/01/16	K	B	
70. General Electric (GE) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
71. Intel Corp (INTC) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
72. International Business Machines (IBM) common stock		None	K	T	Buy	11/10/16	K		
73. Kimberly Clark (KMB) common stock	A	Dividend	K	T	Buy (add'l)	05/02/16	J		
74. Kraft Foods Group Inc (KRFT) common stock	A	Dividend	K	T	Buy (add'l)	02/22/16	J		
75. Lockheed Martin Corp	A	Dividend	K	T	Sold (part)	11/10/16	J	B	
76. McDonalds Corp (MCD) common stock	A	Dividend	K	T	Buy (add'l)	02/22/16	J		
77. Metlife Inc. (MET) common stock		None	K	T	Buy	11/10/16	K		
78. Occidental Pete Corp Cal (OXY) common stock	A	Dividend			Sold	12/20/16	K		
79. Paccar Inc (PCAR) common stock	B	Dividend	K	T	Sold (part)	11/10/16	J	B	
80. Pfizer Inc (PFE) common stock	A	Dividend	K	T	Buy	02/22/16	K		
81.					Buy (add'l)	11/10/16	J		
82. Philip Morris Intl Inc (PM) common stock	A	Dividend	K	T	Buy (add'l)	11/10/16	J		
83. PPL Corporation (PPL) common stock	A	Dividend	K	T	Buy (add'l)	02/22/16	J		
84.					Buy (add'l)	11/10/16	J		
85. Procter & Gamble Co common stock	A	Dividend	K	T	Sold (part)	11/10/16	J	A	

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86. Royal Dutch Shel PIC Spons ADR B (RDSB) common stock	A	Dividend	K	T	Buy	08/01/16	K		
87.									
88. Spectra Energy Corp (SE) common stock	B	Dividend	K	T	Sold (part)	11/10/16	J	B	
89. Thomson Reuters Corp (TRI) common stock	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
90. Toronto Dominion Bank (TD) common stock	A	Dividend	J	T	Buy (add'l)	02/22/16	J		
91.					Sold (part)	11/10/16	J	A	
92. Ventas Inc (VTR) common stock	B	Dividend	K	T	Buy (add'l)	02/22/16	J		
93.					Sold (part)	11/10/16	J		
94. Verizon Comm (VZ) common stock	B	Dividend	K	T	Buy (add'l)	05/02/16	J		
95.					Sold (part)	11/10/16	J		
96. First TR Utilities Alphadex Fund (FXU)	A	Dividend	K	T					
97. First TR Financials Alphadex Fund (FXO)	A	Dividend	J	T					
98. First TR Industrial PRDCR Durables Alphadex Fund (FXR)	A	Dividend			Donated				
99. Ishares MSCI Sweden (EWD)		None			Sold	02/22/16	J		
100. Ishares US Telecommunications ETF (IYZ)	A	Dividend	K	T					
101. IShares MSCI EAFE Index Fund (EFA)	B	Dividend	L	T					
102. Ishares MSCI Emerging Mkts (EEM)	A	Dividend			Sold	12/20/16	K		

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Houser, Barbara J.

Date of Report

04/18/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. IShares Trust Dow Jones US Real Estate Index Fund (IYR)	A	Dividend	K	T					
104. Ishares MSCI United Kingdom (EWU)		None			Sold	02/22/16	J		
105. Sector SPDR Energy (XLE)	A	Dividend			Sold	11/10/16	J		
106. SPDR S P World Ex US (GWL)		None			Sold	02/22/16	K	A	
107. SPDR Series Trust shares (XTL)	A	Dividend	K	T					
108. Vanguard Small Cap Value ETF (VBR)	B	Dividend	L	T					
109. Vanguard Small Cap Growth ETF (VBK)	A	Dividend	L	T					
110. Vanguard Value ETF (VTI)	C	Dividend	M	T					
111. Vanguard Growth ETF (VUG)	C	Dividend	M	T					
112. Vanguard Information Tech ETF (VGT)	A	Dividend	K	T					
113. Wisdomtree Trust Japan Hedged Equity FD (DXJ)		None			Sold	02/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parat VII, line 5 - This trust has no assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara J. Houser**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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