

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mueller, Kimberly J.	2. Court or Organization Eastern District of California	3. Date of Report 05/05/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U S District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 501 I Street Sacramento, CA 95814-2322		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors, ex-officio member (January 1, 2013 to present)	Eastern District Historical Society
2. Board of Directors, ex-officio member (Fall 2012 - present)	Sacramento Federal Judicial Library and Learning Center
3. Board of Directors, member (March 2015 - April 2019)	Federal Judicial Center
4. Board of Directors, member (Fall 2012 - March 2019)	Bethel College-North Newton,Kansas
5. Board of Directors, member (November 2015 - present)	Grantland L.Johnson Institute of Leadership Development

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2018

_____, employee (salary)

2. 2018

Ameritas Life Insurance Corp- distribution form 1099-R

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mark & Margie Friedman	Kings Basketball Game Tickets	~\$2,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		Real Estate Mortgage-Twin Oaks 17.9% TIC interest	N
2.	Spring Valley Ranch	Personal Loan	K
3.		Real Estate Mortgage-Twin Oaks 45% TIC interest	O
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common Stock, [REDACTED] [REDACTED] - See Part VIII		None	N	V					
2. Partner in Spring Valley Ranch	D	Distribution	M	W					
3. Bank accounts, Golden One	A	Interest	M	T					
4. (905) Calvert Social Investment Balance - A	A	Interest	J	T					
5. Manulife 401 (k) (no control)	C	Interest	M	T					
6. River City Bank accounts	A	Interest	J	T					
7. 1 Stephens Motor Yacht		None	K	W					
8. Real Estate, Sacramento, CA - 3/14/14 See Part VIII	F	Rent	O	R					
9. Real Estate, Sacramento, CA 1/27/16 See Part VIII	G	Rent	P1	R					
10. Real Estate, Sacramento, CA 6/30/17 See Part VIII	E	Rent	O	R					
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A. Non-Investment Income. Non-reportable non-investment income was earned during the reporting period (salary from the U S Government for services as a United States District Judge)

Part VII, Investments and Trusts. Line 1 Re: Common Stock, [REDACTED]. The valuation was based on the per share value resulting from the federal estate tax examination of the related shareholder who died in 1998, which is the most recent valuation available.

Part VII, Investments and Trusts. Line 9 Re: Investment in real estate, Sacramento, CA. A 22.65% interest in the commercial rental property located on Lathrop Way was acquired on 3/14/2014 for \$884,639 cash- funds were in a qualified exchange intermediary account. Seller - [REDACTED].

Part VII, Investments and Trusts. Line 10 Re: Investment in real estate, Sacramento, CA. A 45% interest in the commercial rental property located on Lathrop Way was acquired on 1/27/2016 for \$1,759,369 (cash of \$1,127,666 from a qualified exchange intermediary account plus mortgage assumption of \$631,703). Seller - [REDACTED].

Part VII, Investments and Trusts. Line 11 Re: Investment in real estate, Sacramento, CA. A 17.9% interest in the commercial rental property located on Lathrop Way was acquired on 6/30/2017 for \$700,000 (cash of \$347,342 from a qualified exchange intermediary account plus mortgage assumption of \$352,658). Plus closing costs of \$825. Seller - [REDACTED].

Part I - Positions. While the Eastern District Historical Society and the Grantland Johnson Institute do not meet regularly at this time, this position is left on as I continue to be related to the Board.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimberly J. Mueller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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