

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grimm, Paul W.	2. Court or Organization U.S. District Court, District of Maryland	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge (active status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Chambers 8B U.S. Courthouse 101 West Lombard Street Baltimore, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Instructor, part-time	Shemer Bar Review (lecturer)
2.	Instructor, part-time	University of Baltimore School of Law (adjunct faculty)
3.	Instructor, part-time	University of Maryland School of Law (adjunct faculty)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Shemer Bar Review, lecturer	\$5,300.00
2. 2011	ABA-MD Discovery Problems Solutions, royalties	\$1,687.27
3. 2011	University of Baltimore School of Law, adjunct faculty	\$7,300.00
4. 2011	University of Maryland School of Law, adjunct faculty	\$3,650.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Dietician- [REDACTED]
2. 2011	Dietician- [REDACTED]
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sedona Conference	4/6/11-4/8/11	L'Auberge del Mar, CA	educational seminar	meals, travel, lodging
2. Sedona Conference	4/27/11-4/29/11	Ft. Lauderdale, FL	educational seminar	meals, travel, lodging
3. IQPC	5/7/11-5/12/11	London, England	educational seminar	meals, travel, lodging
4. ALI-ABA	7/27/11-7/31/11	Santa Fe, NM	educational seminar	meals, travel, lodging
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M&T Bank accounts	A	Interest	L	T					
2. AXA Equitable TSA (Equi-Vest)		None	K	T					
3. Vanguard GNMA Fund IRA	B	Dividend	K	T					
4. Lincoln Multi-Fund (Johns Hopkins Hosp Retirement)		None	J	T					
5. T. Rowe Price Equity Income IRA	A	Dividend	K	T					
6. The Columbia Bank CD	A	Interest	J	T					
7. Fidelity Cash Reserves Roth	A	Dividend	J	T					
8. Fidelity Cash Reserves Roth	A	Dividend	J	T					
9. T. Rowe Price Prime Reserve	A	Dividend	K	T					
10. MD College Investment Plan 529-Portfolio 2012		None	K	T					
11. Exelon Corporation common stock	A	Dividend	J	T					
12. VCSP/College America EuroPacific Growth Fund 529A	A	Dividend	J	T	Redeemed (part)	9/16/11	J		
13. VCSP/College America Growth Fund of America 529A	A	Dividend	J	T	Redeemed (part)	9/16/11	J		
14. VCSP/College America SMALLCAP World Fund 529A	A	Dividend	J	T	Redeemed (part)	9/16/11	J		
15. VCSP/College America Fundamental Investors 529A	A	Dividend	J	T	Redeemed (part)	9/16/11	J		
16. VCSP/College America Capital Income Builder 529A	A	Dividend	J	T	Redeemed (part)	9/16/11	J		
17. VCSP/College America Amer Fds Money Mkt Fd 529A		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. VCSP/College America Amer Fds Money Mkt Fd 529A		None	K	T	Buy (add'l)	9/16/11	K		
19. IRA - RBC									
20. - Calamos Strategic Total Return Fund	A	Dividend	J	T					
21. - Goldman Sachs Tr Equity Growth Strategy	A	Dividend	J	T	Buy (add'l)	1/3/11	J		
22.					Buy (add'l)	12/30/11	J		
23. - Prime MM, RBC Investor Class	A	Dividend	J	T					
24. - Invesco Van Kampen Equity Income C	A	Dividend	K	T	Buy (add'l)	3/21/11	J		
25.					Buy (add'l)	6/20/11	J		
26.					Buy (add'l)	9/19/11	J		
27.					Buy (add'l)	12/12/11	J		
28. - MacQuarie 1st Tr Global Infrastructure Div/Inc	B	Dividend	J	T					
29. - Enervest Diversified Income Trust	A	Dividend	J	T					
30. - Cohen & Steers Infrastructure Fund	B	Dividend	J	T					
31. - John Hancock Tax Advntgd Div/ Inc	B	Dividend	K	T					
32. - AllianceBernstein Global Hi Income Fd II	C	Dividend	K	T					
33. - Countrywide Home Loans Ser [REDACTED]	A	Interest	J	T	Redeemed (part)	3/25/11	J	A	
34.					Redeemed (part)	4/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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Grimm, Paul W.

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Redeemed (part)	5/25/11	J	A	
36.					Redeemed (part)	6/25/11	J	A	
37.					Redeemed (part)	7/25/11	J	A	
38.					Redeemed (part)	8/25/11	J	A	
39.					Redeemed (part)	9/25/11	J	A	
40.					Redeemed (part)	10/25/11	J	A	
41.					Redeemed (part)	11/25/11	J	A	
42.					Redeemed (part)	12/25/11	J	A	
43.	- CS First Boston Mortgage	A	Interest	J	T	Redeemed (part)	1/25/11	J	A
44.					Redeemed (part)	2/25/11	J	A	
45.					Redeemed (part)	3/25/11	J	A	
46.					Redeemed (part)	4/25/11	J	A	
47.					Redeemed (part)	8/25/11	J	A	
48.					Redeemed (part)	9/25/11	J	A	
49.					Redeemed (part)	10/25/11	J	A	
50.					Redeemed (part)	11/25/11	J	A	
51.					Redeemed (part)	12/25/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

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52. - FHLMC REMIC 3010-YD	A	Interest	J	T					
53. - Banc of America Fndg Corp Ser	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
54.					Redeemed (part)	2/25/11	J	A	
55.					Redeemed (part)	3/25/11	J	A	
56.					Redeemed (part)	6/25/11	J	A	
57.					Redeemed (part)	7/25/11	J	A	
58.					Redeemed (part)	9/25/11	J	A	
59.					Redeemed (part)	10/25/11	J	A	
60.					Redeemed (part)	11/25/11	J	A	
61.					Redeemed (part)	12/25/11	J	A	
62. - Citicorp Mortgage Secs Tr Ser	A	Interest	J	T					
63. - Bank of America Mtge Sec Ser	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
64.					Redeemed (part)	2/25/11	J	A	
65.					Redeemed (part)	3/25/11	J	A	
66.					Redeemed (part)	4/25/11	J	A	
67.					Redeemed (part)	5/25/11	J	A	
68.					Redeemed (part)	6/25/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

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Grimm, Paul W.

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05/11/2012

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69.					Redeemed (part)	7/25/11	J	A	
70.					Redeemed (part)	8/25/11	J	A	
71.					Redeemed (part)	9/25/11	J	A	
72.					Redeemed (part)	10/25/11	J	A	
73.					Redeemed (part)	11/25/11	J	A	
74.					Redeemed (part)	12/25/11	J	A	
75. - Wells Fargo Mtge Bkd Sec	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
76.					Redeemed (part)	2/25/11	J	A	
77.					Redeemed (part)	3/25/11	J	A	
78.					Redeemed (part)	4/25/11	J	A	
79.					Redeemed (part)	5/25/11	J	A	
80.					Redeemed (part)	6/25/11	J	A	
81.					Redeemed (part)	7/25/11	J	A	
82.					Redeemed (part)	8/25/11	J	A	
83.					Redeemed (part)	9/25/11	J	A	
84.					Redeemed (part)	10/25/11	J	A	
85.					Redeemed (part)	11/25/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

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86.					Redeemed (part)	12/25/11	J	A	
87.	- Alternative Loan Tr	Interest	J	T	Redeemed (part)	1/25/11	J	A	
88.					Redeemed (part)	2/25/11	J	A	
89.					Redeemed (part)	3/25/11	J	A	
90.					Redeemed (part)	4/25/11	J	A	
91.					Redeemed (part)	5/25/11	J	A	
92.					Redeemed (part)	6/25/11	J	A	
93.					Redeemed (part)	7/25/11	J	A	
94.					Redeemed (part)	8/25/11	J	A	
95.					Redeemed (part)	9/25/11	J	A	
96.					Redeemed (part)	10/25/11	J	A	
97.					Redeemed (part)	11/25/11	J	A	
98.					Redeemed (part)	12/25/11	J	A	
99.	- CWalt Inc Mtge PC	Interest	J	T	Redeemed (part)	1/25/11	J	A	
100.					Redeemed (part)	2/25/11	J	A	
101.					Redeemed (part)	3/25/11	J	A	
102.					Redeemed (part)	4/25/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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103.					Redeemed (part)	5/25/11	J	A	
104.					Redeemed (part)	6/25/11	J	A	
105.					Redeemed (part)	7/25/11	J	A	
106.					Redeemed (part)	8/25/11	J	A	
107.					Redeemed (part)	9/25/11	J	A	
108.					Redeemed (part)	10/25/11	J	A	
109.					Redeemed (part)	11/25/11	J	A	
110.					Redeemed (part)	12/25/11	J	A	
111. - Countrywide Home Loan Alt Loan	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
112.					Redeemed (part)	2/25/11	J	A	
113.					Redeemed (part)	3/25/11	J	A	
114.					Redeemed (part)	4/25/11	J	A	
115.					Redeemed (part)	5/25/11	J	A	
116.					Redeemed (part)	6/25/11	J	A	
117.					Redeemed (part)	7/25/11	J	A	
118.					Redeemed (part)	8/25/11	J	A	
119.					Redeemed (part)	9/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Redeemed (part)	10/25/11	J	A	
121.					Redeemed (part)	11/25/11	J	A	
122.					Redeemed (part)	12/25/11	J	A	
123. - Mastr Alt Loan Trust Ser [REDACTED]	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
124.					Redeemed (part)	2/25/11	J	A	
125.					Redeemed (part)	3/25/11	J	A	
126.					Redeemed (part)	4/25/11	J	A	
127.					Redeemed (part)	5/25/11	J	A	
128.					Redeemed (part)	6/25/11	J	A	
129.					Redeemed (part)	7/25/11	J	A	
130.					Redeemed (part)	9/25/11	J	A	
131.					Redeemed (part)	10/25/11	J	A	
132.					Redeemed (part)	11/25/11	J	A	
133.					Redeemed (part)	12/25/11	J	A	
134. - Mastr Alt Loan Trust Ser [REDACTED]	B	Interest	K	T	Redeemed (part)	1/25/11	J	A	
135.					Redeemed (part)	2/25/11	J	A	
136.					Redeemed (part)	3/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Redeemed (part)	4/25/11	J	A	
138.					Redeemed (part)	5/25/11	J	A	
139.					Redeemed (part)	6/25/11	J	A	
140.					Redeemed (part)	7/25/11	J	A	
141.					Redeemed (part)	8/25/11	J	A	
142.					Redeemed (part)	9/25/11	J	A	
143.					Redeemed (part)	10/25/11	J	A	
144.					Redeemed (part)	11/25/11	J	A	
145.					Redeemed (part)	12/25/11	J	A	
146. - Alternative Loan Trust	B	Interest	K	T	Redeemed (part)	1/25/11	J	A	
147.					Redeemed (part)	2/25/11	J	A	
148.					Redeemed (part)	3/25/11	J	A	
149.					Redeemed (part)	4/25/11	J	A	
150.					Redeemed (part)	5/25/11	J	A	
151.					Redeemed (part)	6/25/11	J	A	
152.					Redeemed (part)	7/25/11	J	A	
153.					Redeemed (part)	8/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Redeemed (part)	9/25/11	J	A	
155.					Redeemed (part)	10/25/11	J	A	
156.					Redeemed (part)	11/25/11	J	A	
157.					Redeemed (part)	12/25/11	J	A	
158. - Banc Amer Funding Corp	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
159.					Redeemed (part)	2/25/11	J	A	
160.					Redeemed (part)	3/25/11	J	A	
161.					Redeemed (part)	4/25/11	J	A	
162.					Redeemed (part)	5/25/11	J	A	
163.					Redeemed (part)	6/25/11	J	A	
164.					Redeemed (part)	7/25/11	J	A	
165.					Redeemed (part)	8/25/11	J	A	
166.					Redeemed (part)	9/25/11	J	A	
167.					Redeemed (part)	10/25/11	J	A	
168.					Redeemed (part)	11/25/11	J	A	
169.					Redeemed (part)	12/25/11	J	A	
170. - Alternative Loan Trust	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Redeemed (part)	2/25/11	J	A	
172.					Redeemed (part)	3/25/11	J	A	
173.					Redeemed (part)	4/25/11	J	A	
174.					Redeemed (part)	5/25/11	J	A	
175.					Redeemed (part)	6/25/11	J	A	
176.					Redeemed (part)	7/25/11	J	A	
177.					Redeemed (part)	8/25/11	J	A	
178.					Redeemed (part)	9/25/11	J	A	
179.					Redeemed (part)	10/25/11	J	A	
180.					Redeemed (part)	11/25/11	J	A	
181.					Redeemed (part)	12/25/11	J	A	
182. - 1st Horizon Alt Mtge Ser	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
183.					Redeemed (part)	2/25/11	J	A	
184.					Redeemed (part)	3/25/11	J	A	
185.					Redeemed (part)	4/25/11	J	A	
186.					Redeemed (part)	5/25/11	J	A	
187.					Redeemed (part)	6/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Redeemed (part)	7/25/11	J	A	
189.					Redeemed (part)	8/25/11	J	A	
190.					Redeemed (part)	9/25/11	J	A	
191.					Redeemed (part)	10/25/11	J	A	
192.					Redeemed (part)	11/25/11	J	A	
193.					Redeemed (part)	12/25/11	J	A	
194. - Banc Amer Mtg Sec	A	Interest	J	T	Redeemed (part)	1/25/11	J	A	
195.					Redeemed (part)	2/25/11	J	A	
196.					Redeemed (part)	3/25/11	J	A	
197.					Redeemed (part)	4/25/11	J	A	
198.					Redeemed (part)	5/25/11	J	A	
199.					Redeemed (part)	6/25/11	J	A	
200.					Redeemed (part)	7/25/11	J	A	
201.					Redeemed (part)	8/25/11	J	A	
202.					Redeemed (part)	9/25/11	J	A	
203.					Redeemed (part)	10/25/11	J	A	
204.					Redeemed (part)	11/25/11	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Redeemed (part)	12/25/11	J	A	
206. - Banc of Amer Alt Ln Tr	A	Interest	J	T	Buy	2/25/11	J		
207.					Redeemed (part)	3/25/11	J	A	
208.					Redeemed (part)	4/25/11	J	A	
209.					Redeemed (part)	5/25/11	J	A	
210.					Redeemed (part)	6/25/11	J	A	
211.					Redeemed (part)	7/25/11	J	A	
212.					Redeemed (part)	8/25/11	J	A	
213.					Redeemed (part)	9/25/11	J	A	
214.					Redeemed (part)	10/25/11	J	A	
215.					Redeemed (part)	11/25/11	J	A	
216.					Redeemed (part)	12/25/11	J	A	
217. - Wells Fargo Mtge Bkd Sec	A	Interest	J	T	Buy	2/25/11	J		
218.					Redeemed (part)	8/25/11	J	A	
219.					Redeemed (part)	9/25/11	J	A	
220.					Redeemed (part)	10/25/11	J	A	
221.					Redeemed (part)	11/25/11	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Redeemed (part)	12/25/11	J	A	
223. - Mastr Alt Loan Tr	A	Interest	J	T	Buy	4/25/11	J		
224.					Redeemed (part)	5/25/11	J	A	
225.					Redeemed (part)	6/25/11	J	A	
226.					Redeemed (part)	7/25/11	J	A	
227.					Redeemed (part)	8/25/11	J	A	
228.					Redeemed (part)	9/25/11	J	A	
229.					Redeemed (part)	10/25/11	J	A	
230.					Redeemed (part)	11/25/11	J	A	
231.					Redeemed (part)	12/25/11	J	A	
232. - Mastr Alt Loan Tr	A	Interest	J	T	Buy	6/27/11	J		
233.					Redeemed (part)	8/25/11	J		
234.					Redeemed (part)	9/25/11	J		
235.					Redeemed (part)	10/25/11	J		
236.					Redeemed (part)	11/25/11	J		
237.					Redeemed (part)	12/25/11	J		
238. - Alternative Loan Tr	A	Interest	J	T	Buy	7/26/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Redeemed (part)	8/25/11	J		
240.					Redeemed (part)	9/25/11	J		
241.					Redeemed (part)	10/25/11	J		
242.					Redeemed (part)	11/25/11	J		
243.					Redeemed (part)	12/25/11	J		
244. - CSFB Mtge Bkd	A	Interest	J	T	Buy	10/25/11	J		
245.					Redeemed (part)	11/25/11	J	A	
246.					Redeemed (part)	12/25/11	J	A	
247. - Bear Stearns Asset Bk Sec Tr	A	Interest	J	T	Buy	11/25/11	J		
248.					Redeemed (part)	12/25/11	J	A	
249. -CitiMortgage Alt Loan Tr	A	Interest			Redeemed (part)	1/25/11	J	A	
250.					Sold	2/9/11	J	A	
251.					Redeemed	2/25/11	J	A	
252. M&T Bank CD (Y)									
253.									
254.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 252: M&T Bank CD has been combined with other M&T Bank savings accounts (line 1), per the instructions.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Grimm, Paul W.

Date of Report

05/11/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Paul W. Grimm

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544