

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WIENER, JR., JACQUES L.	2. Court or Organization US COURT OF APPEALS 5TH CIRCUIT	3. Date of Report 5/1/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE 5TH CIRCUIT COURT-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address US COURT OF APPEALS BLDG 600 CAMP STREET, ROOM 244 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	SENIOR OFFICER	COUNCIL, LOUISIANA STATE LAW INSTITUTE
2.	TRUSTEE	TRUST #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

FISHER & PHILLIPS, LLP (ATTORNEY/PARTNER)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	SEE PART VIII	SEE PART VIII	\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH:									
2. BANCORPSOUTH ACCOUNT #1	A	Interest	N	T					
3. BANCORPSOUTH ACCOUNT #2	A	Interest	J	T					SEE PART VII
4. BANCORPSOUTH ACCOUNT #3	A	Interest	K	T					
5. REGIONS BANK ACCOUNT #1	A	Interest	K	T					
6. REGIONS BANK ACCOUNT #2	A	Interest	K	T					
7. FEDERATED TAX FREE OBLIG	A	Int./Div.	L	T					
8. MORGAN STANLEY BANK N.A.	A	Int./Div.			Closed	04/07/14	L		
9. JP MORGAN BROKERAGE CASH BALANCE	A	Interest	M	T					
10. JPM TAX FREE RESV SWEEP FUND	A	Int./Div.	M	T					
11.									
12. MUTUAL FUNDS:									
13. JPM SHORT DURATION BOND FD-SEL	C	Dividend	N	T					
14. JPM STRATEGIC INCOME OPP FD	B	Dividend	M	T	Buy (add'l)	06/06/14	K		
15.					Buy (add'l)	09/02/14	J		
16.					Buy (add'l)	12/03/14	J		
17. JPM HIGH YIELD FD-SEL	C	Dividend			Sold	12/03/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DODGE & COX INTERNATIONAL STOCK	C	Dividend	M	T	Buy (add'l)	03/25/14	J		
19. EATON VANCE FLOATING RATE I	B	Dividend			Sold	06/10/14	L	A	
20. MATTHEWS ASIA DIVIDEND INSTITUTIONAL		None			Sold	02/20/14	K	B	
21. ARTISAN INTL VALUE FUND-INV	B	Dividend	K	T					
22. BARC 100% PP CNY		None			Buy (add'l)	01/31/14	J		
23.					Buy (add'l)	02/28/14	J		
24.					Buy (add'l)	03/29/14	J		
25.					Buy (add'l)	04/30/14	J		
26.					Buy (add'l)	05/01/14	J		
27.					Redeemed	05/01/14	J		
28. BBH FD INC	C	Dividend	M	T					
29. DB MARKET PLUS SX5E 3/19/14		None			Redeemed	03/19/14	K	D	
30. DB MARKET PLUS WTI 7/21/15		None	J	T	Buy	05/23/14	J		
31. DOUBLELINE FDS TR	C	Dividend	L	T					
32. GATEWAY FUND-Y	B	Dividend	L	T					
33. ISHARES CORE S&P MID-CAP ETF	A	Dividend	L	T	Buy (add'l)	03/25/14	J		
34. ISHARES RUSSELL 1000 VALUE INDEX	A	Dividend			Sold	12/19/14	K	D	

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35. JPM MANAGED INCOME-INSTL	B	Dividend	O	T	Buy (add'l)	02/11/14	M		
36. JPM MANAGED INCOME-SEL	A	Dividend			Sold	02/11/14	J		
37. JPM SHORT TERM INTERM MUNI BOND-SEL	C	Dividend	N	T	Buy (add'l)	06/06/14	L		
38.					Buy (add'l)	07/18/14	J		
39.					Buy (add'l)	09/02/14	J		
40. JPM TR I TAX AWARE REAL RTRN FD- SEL	B	Dividend	L	T					
41. JPM US EQUITY FD-SEL	D	Dividend	L	T					
42. MFS INTL VALUE-I	B	Dividend	L	T					
43. SPDR S&P 500 ETF TRUST	C	Dividend	M	T	Buy (add'l)	10/09/14	K		
44.					Buy (add'l)	10/09/14	J		
45.					Sold (part)	12/19/14	K	D	
46. MS BREN BRENT		None			Redeemed	08/15/14	K		
47. OPPENHEIMER DEVELOPING MARKET		None			Sold	02/20/14	K	B	
48. PIMCO FDS UNCONSTR BD	B	Dividend	L	T					
49. RIVERPARK/WEDGEWOOD	B	Dividend	L	T					
50. TEMPLETON GLOBAL BOND	A	Dividend			Sold	05/05/14	K		
51. ISHARES MSCI EAFE INDEX FUND	A	Dividend	L	T	Buy	10/09/14	K		

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52.					Buy (add'l)	10/16/14	K		
53. JPM GLBL RES ENH INDEX FD-SEL	B	Dividend	L	T	Buy	02/20/14	K		
54.					Buy (add'l)	12/19/14	L		
55. BLACKROCK HIGH YIELD BOND	B	Dividend	K	T	Buy	12/03/14	K		
56. JPM DAILY RETURN NOTE		None	K	T	Buy	05/06/14	K		
57.					Buy (add'l)	07/11/14	J		
58.					Sold (part)	11/21/14	K		
59.					Buy (add'l)	11/21/14	K		
60. JPM LEV CONT BUFF EQ WT1 12/21/15		None			Buy	09/26/14	J		
61.					Sold	12/08/14	J		
62. PIMCO COMMODITYPL STRAT-P	A	Dividend			Buy	05/02/14	L		
63.					Sold (part)	07/18/14	K		
64.					Sold (part)	09/26/14	J		
65.					Buy (add'l)	09/02/14	J		
66.					Sold	12/03/14	K		
67. VANGUARD FTSE EUROPE ETF	A	Dividend			Buy	03/25/14	K		
68.					Sold	10/16/14	K		

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69.									
70. STOCKS:									
71. BOEING	C	Dividend	M	T					
72. CHEVRON COPR NEW	A	Dividend	K	T					
73. EXXON MOBIL CORP	C	Dividend	L	T					
74. GREEN DOT CORP		None			Donated				
75. 1ST SUMMIT BANCORP OF JOHNSTON, INC (Y) (PER ANNUAL REPORT)	C	Dividend			Distributed	12/31/14	M		SEE PART VII
76.									
77. STOCKS: UNLISTED									
78. COASTAL CLUB		None	M	W					
79.									
80. INVESTMENTS/PARTNERSHIPS:									
81. WW URBAN PROPERTIES LLC		None	J	W					
82. WW RURAL PROPERTIES LLC		None	K	W					
83. CENTRAL INDUSTRIAL COMPLEX		None	J	U					
84. LAMA LIMITED PARTNERSHIP		None	J	W					

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85. LAMA '89 LIMITED PARTNERSHIP		None	J	W					
86. RENREW MINERALS, LTD	G	Distribution	P1	W					
87. TEE ENERGY, CO., LLC		None	N	W					
88. JADOBE INVESTMENTS 1998, LLC		None			Sold	12/31/14	K		
89. JADOBE INVESTMENTS 2000, LLC	E	Distribution	M	W					
90. JASAN, LLC	E	Distribution	M	W					
91. PROVEN LEGACY, LLC		None	M	W					
92. TENFOLD, INC.	B	Distribution	J	W					
93. NEXT IGEN LLC-SERIES A	F	Distribution	M	W					
94. NEXT IGEN LLC-SERIES B		None	K	W					
95. NEXT IGEN LLC-SERIES C	C	Distribution	L	W	Buy (add'l)	02/04/14	J		
96.					Buy (add'l)	05/16/14	J		
97.					Buy (add'l)	07/16/14	J		
98.					Buy (add'l)	08/11/14	J		
99. NEXT IGEN LLC-SERIES D		None	L	W	Buy (add'l)	01/08/14	J		
100.					Buy (add'l)	03/28/14	J		
101.					Buy (add'l)	06/12/14	J		

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102.					Buy (add'l)	09/02/14	J		
103. NEXT IGEN LLC-SERIES E	F	Distribution	J	W					
104. NEXT IGEN LLC-SERIES F		None	K	W	Buy (add'l)	01/28/14	J		
105.					Buy (add'l)	03/18/14	J		
106.					Buy (add'l)	06/27/14	J		
107.					Buy (add'l)	10/31/14	J		
108. NEXT IGEN LLC-SERIES G		None	L	W					
109. NEXT IGEN LLC-SERIES H		None	L	W					
110. YETA, LLC		None	N	W	Buy (add'l)	03/05/14	J		
111. DEANSAN, LLC		None	M	W	Buy (add'l)	03/18/14	J		
112.					Buy (add'l)	09/23/14	J		
113. BIG PINK, LLC		None	J	W					
114. JPM ACCESS MULTI-STRATEGY FUND		None	L	W					
115. POWERSHARES DB COMMODITY INDEX		None	J	T	Buy (add'l)	12/03/14	J		
116.					Sold (part)	05/02/14	K		
117.					Sold (part)	05/02/14	J	A	
118. LOUIS ROSEN WW, LLC	D	Distribution	K	W					

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WIENER, JR., JACQUES L.

Date of Report

5/1/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. YETA GAIN, LLC		None	J	W					
120. NEXT IGEN LLC-SERIES L		None	L	W	Buy	05/30/14	M		
121.									
122. NOTE RECEIVABLES									
123. ALLAIN PERSONAL LOAN-NOTE RECEIVABLE		None	J	U					
124. SPRINGMAN PERSONAL LOAN-NOTE RECEIVABLE	A	Interest	K	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

RE: V-GIFTS: NONE AS TRUE GIFTS, BUT ON A RECIPROCAL BASIS-I ACCEPT INVITATIONS FOR HUNTING OR FISHING WITH FRIENDS WHO I INVITE TO HUNT OR FISH WITH ME. SOME SUCH RECIPROCAL INVITORS/INVITEE, ARE ATTORNEYS, MANY OF WHOM WERE FRIENDS AND HUNTING OR FISHING PARTNERS BEFORE I BECAME A JUDGE. I NEVERTHELESS RECUSE MYSELF WHEN SUCH FRIENDS ARE REPRESENTING CLIENTS BEFORE THIS COURT.

RE: VII-ASSETS OF TRUST FOR BENEFIT OF [REDACTED] MEMBER OF WHICH I AM TRUSTEE AS INDICATED IN PART I. 1ST SUMMIT BANCROP OF HOHNSTON, INC STOCK DISTRIBUTED TO BENFICIARIES.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACQUES L. WIENER, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544