

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEYMOUR, STEPHANIE K.	2. Court or Organization U.S. COURT OF APPEALS	3. Date of Report 05/02/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE--SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Board Member (resigned 3/23/2015)	Oklahoma City University School of Law, Oklahoma City, OK
2. Vice President of Board of Directors	Crested Butte Music Festival, Crested Butte, CO
3. Board Member	Tenth Circuit Historical Society, Denver, CO
4. Advisory Council Member	Community Foundation of Gunnison Valley
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

self-employed - lawyer

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 22

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	05/02/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of Okla. Accts	A	Interest	M	T					
2. Prosperity Bank Accounts	A	Interest	K	T					
3. UBS Accounts	A	Interest	N	T					
4. Rental Property, Mt. Crested Butte, CO (\$245,000, 9/1/92)	E	Rent	P1	R					
5. Lot, Mt. Crested Butte, CO (\$81,000, 8/10/94)		None	O	R					
6. Brokerage #5 (H)									
7. -Cathay Bk CA (CD)	A	Interest			Redeemed	05/05/15	L		
8. -Caval Hill TX Fr MM -Slet (cash equivalent)	A	Int./Div.			Closed	05/29/15	M		
9. -Community West Bk CA (CD)	B	Interest			Redeemed	04/08/15	M		
10. -Four Oaks B&T NC (CD)	B	Interest			Redeemed	03/19/15	M		
11. -GE Capital Fin'l UT (CD)	B	Interest			Redeemed	04/18/15	M		
12. -North Star Bk FL (CD)	A	Interest			Redeemed	05/05/15	L		
13. -1st Rep Bk BofA CA (CD)	A	Interest			Redeemed	03/18/15	L		
14. -1st Rep Bk BofA CA (CD)	A	Interest			Redeemed	03/19/15	L		
15. -Peapack Gladstone Bk	A	Interest			Buy	03/23/15	N		
16.					Redeemed	05/05/15	N		
17. IRA #5 (H)									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 22

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SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Invesco Global Real Estate Fd (ASRYX) (mutual fund)	A	Dividend	J	T	Sold (part)	02/10/15	J	A	
19. -iShares Trust S&P US PFD Stock Index Fund (PFF) (ETF)	A	Dividend	J	T					
20. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/10/15	J		
21. -Loomis Sayles Investment Grade Bd Cl Y (LSHX) (mutual fund)	A	Dividend	J	T					
22. -TCW Emerging Markets Income Fd Cl I (TGEIX) (mutual fund)	B	Dividend	K	T					
23. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)	A	Dividend	K	T					
24. -Invesco Convertible Securities FD CL Y (CNSDX) (mutual fund)	A	Dividend	J	T					
25. -iShares 7-10 Yr Treas Bond ETF (IEF) (ETF)	A	Dividend	J	T	Sold (part)	02/10/15	J	A	
26. -Blackrock Strategic Income I (BSHX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/10/15	J		
27.					Sold (part)	12/22/15	J		
28. -Columbia Income Opportunities FD Class Z (CIOZX) (mutual fund)	A	Dividend	J	T					
29. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	A	Dividend			Sold	04/23/15	K		
30. -Putnam Short Duration Income Fund Y (PSDYX) (mutual fund)	A	Dividend			Sold	02/10/15	J		
31. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)	B	Dividend	K	T					
32. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	A	Dividend	J	T					
33. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)	A	Dividend	K	T					
34. -Mainstay Unconstrained Bd Fd Class I (MSDIX) (mutual fund)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Mainstay Short Duration High Yd Fd Class I (MDHIX) (mutual fund)	A	Dividend	J	T					
36. -Mainstay Incom Builder I (MTOIX) (mutual fund)	B	Dividend	J	T	Buy	02/10/15	K		
37. -Putman Income Fund Class Y (PNCYX) (mutual fund)	A	Dividend	J	T	Buy	02/10/15	K		
38. -Guggenheim Macro Opportunities Fd Cl (GIOIX) (mutual fund)	A	Dividend	J	T	Buy	04/23/15	K		
39. IRA #6 (H)									
40. -Invesco Global Real Estate Income Fd (ASRYX) (mutual fund)	C	Dividend	K	T	Sold (part)	02/10/15	J	A	
41. -iShares Trust S&P US Pfd Stock Index Fund (PFF) (ETF)	C	Dividend	K	T	Sold (part)	02/10/15	J		
42. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	K		
43. -Loomis Sayles Investment Grade Bond (LSHIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J	A	
44. -TCW Emerging Markets Income Fd Class I (TGEIX) (mutual fund)	C	Dividend	L	T					
45. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
46. -Invesco Convertible Securities FD CL Y (CNSDX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
47.					Sold (part)	04/23/15	J	A	
48. -Blackrock Strategic Income I (BSHIX) (mutual fund)	C	Dividend	L	T	Sold (part)	02/10/15	J		
49.					Sold (part)	12/22/15	K		
50. -Columbia Income Opportunities FD (CIOZX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J	A	
51. -Invesco Floating Rate Fund Cl Y (AFRYX) (mutual fund)	A	Dividend			Sold (part)	02/10/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 7 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

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52.					Sold	04/23/15	K		
53. -Putnam Short Duration Income FD Cl Y (PSDYX) (mutual fund)	A	Dividend			Sold	02/10/15	K		
54. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)	C	Dividend	L	T	Sold (part)	02/10/15	J		
55. -Blackrock Multi-Asset Income Portfolio Instl (BHICX) (mutual fund)	C	Dividend	K	T	Sold (part)	02/10/15	J	A	
56. -iShares 7-10 Yr Treas Bd (IEF) (ETF)	A	Dividend	K	T	Sold (part)	02/10/15	J	A	
57. -Lord Abnett Short Duration Inc Fd (LDLFX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
58. -Mainstay Unconstrained Bd Fd Cl I (MSDIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
59. -Mainstay Short Duration High Yield Fd Cl (MSHIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		See comment 1
60. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	K	T	Buy	04/23/15	K		
61. -Mainstay Incom Building I (MTOIX) (mutual fund)	C	Dividend	K	T	Buy	02/10/15	K		
62. -Putnam Income Fund Class Y (PNCYX) (mutual fund)	B	Dividend	K	T	Buy	02/10/15	K		
63. Brokerage #9 (H)									
64. -BOK Financial Corp. New (BOKF) (common)	D	Dividend	N	T					
65. -Jackson Nat'l Life Ins Co Perspective L Series Class L		None	N	T					
66. -Lincoln Nat'l Choice Plus Assurance L Share		None	O	T					
67. -Lincoln Nat'l Choice Plus Assur L Share		None	O	T					
68. -Ohio Nat'l Oncore Lite II Class L		None	N	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

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69. Brokerage #10 (H)									
70. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend	L	T	Buy (add'l)	04/22/15	J		
71.					Buy (add'l)	06/12/15	J		
72.					Sold (part)	09/01/15	J	A	
73. -Health Care Select Sector SPDR Fund (XLV) (ETF)	A	Dividend			Sold (part)	04/22/15	J		
74.					Buy (add'l)	06/12/15	J		
75.					Sold	09/01/15	K	B	
76. -iShares Trust S&P Growth Index Fund (IVW) (ETF)	A	Dividend			Buy (add'l)	06/12/15	J		
77.					Sold	09/01/15	L	A	
78. -Vanguard Total Stock Market (VTI) (ETF)	C	Dividend	M	T	Sold (part)	02/10/15	M	C	
79.					Sold (part)	04/22/15	L	D	
80.					Buy (add'l)	04/22/15	L		
81.					Sold (part)	09/01/15	M	D	
82. -Powershares QQQ Trust (QQQ) (ETF)	B	Dividend	M	T	Sold (part)	02/10/15	J		
83.					Sold (part)	04/22/15	J		
84.					Buy (add'l)	06/12/15	K		
85.					Sold (part)	09/01/15	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 22

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86.					Buy (add'l)	11/25/15	L		
87.	-Fidelity Advisor Biotechnology FD (FBTIX) (mutual fund)	B	Dividend		Sold (part)	02/10/15	J		
88.					Sold (part)	04/22/15	J		
89.					Buy (add'l)	06/12/15	J		
90.					Sold	10/13/15	K		
91.	-Financial Sector SPDR Trust (XLF) (ETF)	A	Dividend		Sold	04/22/15	L		
92.	-Consumer Sector SPDR Trust Shs Discretionary (XLY) (ETF)	B	Dividend	L	T	Sold (part)	02/10/15	J	A
93.					Buy (add'l)	06/12/15	J		
94.	-iShares 7-10 Year Treas Bond (IEF) (ETF)	B	Dividend	L	T	Buy	02/10/15	L	
95.					Buy (add'l)	04/22/15	J		
96.					Buy (add'l)	06/12/15	J		
97.					Sold (part)	09/01/15	J		
98.	-Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	A	Dividend		Buy	02/10/15	L		
99.					Sold	04/22/15	L	A	
100.	-Invesco Equally-Weighted S&P 500 (VADDX) (mutual fund)	C	Dividend	M	T	Buy	02/10/15	M	
101.					Buy (add'l)	04/22/15	J		
102.					Buy (add'l)	06/12/15	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 22

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	09/01/15	L		
104.					Sold	10/13/15	L		
105.					Buy	11/25/15	M		
106. -Blackrock Global Long/Short Credit Fd (BGCIX) (mutual fund)	C	Dividend	L	T	Buy	02/10/15	L		
107.					Buy (add'l)	04/23/15	J		
108.					Buy (add'l)	06/12/15	J		
109.					Sold (part)	09/01/15	J		
110. -Columbia Income Opportunities Fund (CIOZX) (mutual fund)	C	Dividend	L	T	Buy	02/10/15	L		
111.					Buy (add'l)	06/12/15	J		
112.					Sold (part)	09/01/15	J		
113. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)	C	Dividend	L	T	Buy	02/10/15	L		
114.					Buy (add'l)	04/22/15	J		
115.					Buy (add'l)	06/12/15	J		
116.					Sold (part)	09/01/15	J		
117. -Putnam Short Duration Income Fd Y (PSDYX) (mutual fund)	A	Dividend	M	T	Buy	10/13/15	M		
118. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	C	Dividend	L	T	Buy	04/22/15	L		
119.					Buy (add'l)	06/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	09/01/15	J		
121. -Davis Financial Fund Class Y (DVFYX) (mutual fund)	B	Dividend	L	T	Buy	11/25/15	L		
122. -iShares Short Treas Bond (SHV) (ETF)	A	Dividend	M	T	Buy	10/13/15	M		
123. -Vanguard Intl Equity Index Fd Inc FSTE (VEU) (ETF)	B	Dividend			Buy	04/22/15	M		
124.					Buy (add'l)	06/12/15	K		
125.					Sold (part)	08/19/15	L		
126.					Sold	08/28/15	L		
127. IRA #7 (H)									
128. -AFLAC Inc (AFL) (common)	A	Dividend			Sold	07/16/15	K	A	
129. -Boeing Company (BA) (common)	A	Dividend	K	T	Sold (part)	02/10/15	J	A	
130. -Colgate Palmolive Co. (CL) (common)	A	Dividend	K	T					
131. -Diageo Plc New GB Spon ADR (DEO) (common)	A	Dividend	K	T					
132. -Illinois Tool Works Inc (ITW) (common)	A	Dividend	K	T					
133. -Intel Corp (INTC) (common)	A	Dividend	K	T	Buy (add'l)	07/16/15	J		
134. -Johnson & Johnson Corn (JNJ) (common)	A	Dividend			Buy (add'l)	02/10/15	J		
135.					Sold	08/25/15	K		
136. -Medtronic Inc (MDT) (common)	C	Dividend	K	T	Sold (part)	07/16/15	J		See comment 2

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Microsoft (MSFT) (common)	A	Dividend	K	T	Buy (add'l)	02/10/15	K		
138.					Sold (part)	07/16/15	J	A	
139. -Nextera Energy Inc Com (NEE) (common)	A	Dividend	K	T					
140. -Nordstrom Inc (JWN) (common)	B	Dividend			Sold	12/01/15	J		
141. -Eversource Energy (ES) (common) (formerly Northeast Utilities)	A	Dividend			Sold (part)	02/10/15	J	A	
142.					Sold	07/16/15	K		
143. -Novartis AG Spon ADR (NVS) (common)	A	Dividend	K	T	Sold (part)	02/10/15	J	A	
144. -Occidental Petroleum Crp (OXY) (common)	A	Dividend	K	T	Buy (add'l)	02/10/15	J		
145.					Buy (add'l)	07/16/15	J		
146. -Pearson PLC Spon ADR (PSO) (common)	A	Dividend			Sold (part)	02/10/15	J		
147.					Sold	06/12/15	K	B	
148. -Schlumberger LTD (SLB) (common)	A	Dividend	J	T	Buy (add'l)	02/10/15	J		
149. -Stanley Black & Decker Inc (SWK) (common)	A	Dividend			Sold	03/16/15	K	A	
150. -Starbucks Corp (SBUX) (common)	A	Dividend	K	T	Sold (part)	02/10/15	J	A	
151.					Sold (part)	07/16/15	J	A	
152. -Toronto Dominion Bk New Canada (TD) (common)	A	Dividend	K	T	Buy (add'l)	02/10/15	J		
153.					Buy (add'l)	07/16/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -United Parcel Service Inc CL B (UPS) (common)		None			Sold	02/10/15	K		
155. -Untd Technologies Corp (UTX) (common)	A	Dividend	K	T	Sold (part)	02/10/15	J	A	
156.					Buy (add'l)	07/16/15	J		
157. -Yum! Brands Inc (YUM) (common)	A	Dividend			Sold (part)	07/16/15	J		
158.					Sold	10/13/15	J		
159. -Apple Inc (AAPL) (common)	A	Dividend	J	T	Sold (part)	07/16/15	J	A	
160. - Allergan (AGN) (common) (formerly Actavis PLC DE)		None	K	T	Sold (part)	07/16/15	J	B	
161. -Dominion Resources Inc VA (D) (common)	A	Dividend	K	T	Buy (add'l)	07/16/15	J		
162. -Emerson Electric Co (EMR) (common)		None			Sold	02/10/15	K		
163. -Invesco Ltd (IVZ) (common)	A	Dividend	K	T					
164. -O Reilly Automotive Inc (ORLY) (common)		None	K	T	Sold (part)	02/10/15	J	A	
165.					Sold (part)	07/16/15	J	A	
166. -Price T Rowe Group Inc (TROW) (common)	A	Dividend			Sold	10/13/15	K		
167. -Qualcomm Inc (QCOM) (common)	A	Dividend			Buy (add'l)	02/10/15	J		
168.					Buy (add'l)	07/16/15	J		
169.					Sold	08/10/15	K		
170. -Roche Hldg Ltd Spons ADR (RHHBY) (common)		None			Sold	02/10/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Travelers Cos Inc (TRV) (common)	A	Dividend	K	T					
172. -Union Pacific Corp (UNP) (common)	A	Dividend	K	T	Buy (add'l)	07/16/15	J		
173. -Accenture PLC Ireland CL (CAN) (common)		None	K	T	Buy	12/01/15	K		
174. -Ameriprise Financial Inc DE (AMP) (common)	A	Dividend			Buy	04/24/15	K		
175.					Sold	12/01/15	K		
176. -Anadarko Petroleum Corp DE (APC) (common)	A	Dividend	J	T	Buy	12/01/15	K		
177. -Biomarin Pharmaceutical Inc (BMRN) (common)		None	J	T	Buy	02/10/15	K		
178.					Sold (part)	07/16/15	J	C	
179. -Crane Co DE (CR) (common)	A	Dividend			Buy	02/10/15	K		
180.					Buy (add'l)	07/16/15	J		
181.					Sold	12/01/15	K		
182. -CVS Health Corp DE (CVS) (common)		None	K	T	Buy	12/01/15	K		
183. -Frontier Communications Corp (FTR) (common)	A	Dividend	J	T	Buy	12/01/15	J		
184. -Home Depot Inc DE (HD) (common)	A	Dividend	K	T	Buy	08/25/15	K		
185. -Johnson Controls Inc DE (JCI) (common)	A	Dividend	J	T	Buy	07/16/15	K		
186. -McDonalds Corp DE (MCD) (common)		None	K	T	Buy	12/01/15	K		
187. -Marsh & McLennan Cos. Inc (MMC) (common)		None	K	T	Buy	12/01/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Pepsico Inc De (PEP) (common)	A	Dividend	K	T	Buy	07/16/15	K		
189. -Rockwell Automation Inc (ROK) (common)	A	Dividend	K	T	Buy	02/10/15	K		
190.					Sold (part)	07/16/15	J	A	
191. -Texas Instruments (TXN) (common)	A	Dividend	K	T	Buy	08/12/15	K		
192. -VF Corp DE (VFC) (common)	A	Dividend	K	T	Buy	02/10/15	K		
193. -Verastem Inc. De (VSTM) (common)		None			Buy	04/24/15	J		
194.					Buy (add'l)	04/27/15	J		
195.					Sold	09/28/15	J		
196. Brokerage #12 (H)									
197. -Invesco Global Real Estate Income Fd (ASRYX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
198. -Invesco Convertible Securities Fund (CNSDX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/10/15	J		
199. -JP Morgan Chase & Co 5.250% (corp bond)	A	Interest			Redeemed	05/01/15	J		
200. -Goldman Sachs Grp Inc 3.625% (corp bond)	A	Interest	K	T					
201. -Genl Elec Co. 5.250% (corp bond)	A	Interest	K	T					
202. -Petrobras Intl Fin 5.875% (corp bond)	A	Interest	J	T					
203. -iShares Trust S&P U S PFD Stock Index (PFF) (ETF)	B	Dividend	K	T	Sold (part)	02/10/15	J		
204. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Columbia Income Opportunities Fund (CIOZX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
206. -Double Line Total Return Fd Instl (DBLTX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	K		
207. -Invesco High Yield Municipal Fund Cl Y (ACTDX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
208. -Thornburg Limited Term Municipal Fd Cl I (LTMIX) (mutual fund)	A	Dividend	K	T	Sold (part)	02/10/15	J		
209. -Virtus Multi-Sector Short Term Bd (PIMSX) (mutual fund)	B	Dividend	K	T	Buy	02/10/15	K		See comment 3
210. -Blackrock Multi-Asset Income Portfolio Instl (BHICX) (mutual fund)	C	Dividend	K	T	Sold (part)	02/10/15	J		
211. -Blackrock Strategic Municipal Opportunities (MAMTX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
212. -iShares 7-10 yr Treas Bd (IEF) (ETF)	A	Dividend	K	T	Sold (part)	02/10/15	J	A	
213. -Mainstay Short Duration High Yd Fd (MDHIX) (mutual fund)	B	Dividend	K	T	Sold (part)	02/10/15	J		
214. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	A	Dividend			Buy	02/10/15	K		
215.					Sold	04/23/15	K		
216. -Blackrock Global Long/Short Credit Fund I (BGCIX) (mutual fund)	C	Dividend	L	T	Buy	02/10/15	L		
217. -Lord Abbett Short Duration Income Fund (LDLFX) (mutual fund)	B	Dividend	K	T	Buy	02/10/15	K		
218. -Mainstay Tax Free Bond Cl I (MTBIX) (mutual fund)	B	Dividend	L	T	Buy	02/10/15	K		
219. -Mainstay Unconstrained Bond Fund Class I (MSDLX) (mutual fund)	B	Dividend	L	T	Buy	02/10/15	K		
220. -Mainstay Incom Builder I (MTOIX) (mutual fund)	A	Dividend	K	T	Buy	02/10/15	K		
221. -Loomis Sayles Investment Grade Bond Class Y (LSIIX) (mutual fund)	A	Dividend	K	T	Buy	02/10/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -Guggenheim Macro Opportunities Fund Class (GIOX) (mutual fund)	B	Dividend	K	T	Buy	04/23/15	K		
223. -TCW Emerging Markets Income Fund Class I (TGEIX) (mutual fund)	B	Dividend	K	T	Buy	02/10/15	K		
224.					Sold (part)	04/23/15	J		
225. Brokerage Account #13 (H)									
226. -Apple Inc (AAP) (common)	A	Dividend	J	T					
227. -AFLAC Inc (AFL) (common)	A	Dividend			Sold	07/16/15	J	A	
228. -Boeing Company DE (BA) (common)	A	Dividend	J	T					
229. -Colgate Palmolive Co (CL) (common)	A	Dividend	J	T					
230. -Emerson Electric Co (EMR) (common)		None			Sold	02/10/15	J		
231. -Intel Corp (INTC) (common)	A	Dividend	J	T					
232. -Illinois Tool Works Inc (ITW) (common)	A	Dividend	J	T					
233. -Johnson & Johnson Com (JNJ) (common)	A	Dividend			Sold	08/25/15	J		
234. -Nordstrom Inc (JWN) (common)	A	Dividend			Sold	12/01/15	J		
235. -Medtronic Inc (MDT) (common)	B	Dividend	J	T					See comment 2
236. -Microsoft Corp (MSFT) (common)	A	Dividend	J	T					
237. -Nextera Energy Inc Com (NEE) (common)	A	Dividend	J	T					
238. -Eversource Energy Com (ES) (common) (formerly Northeast Utilities)	A	Dividend			Sold	07/16/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Novartis AG Spon ADR (NVS) (common)	A	Dividend	J	T					
240. -Occidental Petroleum Crp (OXY) (common)	A	Dividend	J	T					
241. -Pearson PLC Spon ADR (PSO) (common)	A	Dividend			Sold	06/12/15	J	A	
242. -Starbucks Corp (SBUX) (common)	A	Dividend	J	T	Sold (part)	07/16/15	J	A	
243. -Schlumberger LTD (SLB) (common)	A	Dividend	J	T					
244. -Stanley Black & Decker Inc Com (SWK) (common)	A	Dividend			Sold	03/16/15	J		
245. -United Parcel Service Inc (UPS) (common)		None			Sold	02/10/15	J		
246. -Untd Technologies Corp (UTX) (common)	A	Dividend	J	T					
247. -Allergan PLC (AGN) (common) (formerly Actavis Plc)	A	Dividend	J	T	Sold (part)	07/16/15	J		
248. -Dominion Resources Inc VA (D) (common)	A	Dividend	J	T					
249. -Invesco Ltd (IVZ) (common)	A	Dividend	J	T					
250. -O Reilly Automotive Inc (ORLY) (common)		None	J	T					
251. -Qualcomm Inc De (QCOM) (common)	A	Dividend			Sold	08/10/15	J		
252. -Price T Rowe Group Inc De (TROW) (common)	A	Dividend			Sold	10/13/15	J		
253. -Travelers Cos Inc (TRV) (common)	A	Dividend	J	T					
254. -Union Pacific Corp De (UNP) (common)	A	Dividend	J	T	Buy (add'l)	07/16/15	J		
255. -Yum! Brands Inc De (YUM) (common)	A	Dividend	J	T	Sold (part)	07/16/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 19 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256.					Sold (part)	10/13/15	J	A	
257. -Accenture PLC Ireland CL A (ACN) (common)		None	J	T	Buy	12/01/15	J		
258. -Ameriprise Financial Inc. (AMP) (common)		None			Buy	04/24/15	J		
259.					Sold	12/01/15	J		
260. -Anadarko Petroleum Corp. (APC) (common)	A	Dividend	J	T	Buy	12/01/15	J		
261. -Biomarin Pharmaceutical Inc (BMRN) (common)		None	J	T	Buy	02/10/15	J		
262.					Sold (part)	07/16/15	J	B	
263. -Crane Co De (CR) (common)	A	Dividend			Buy	02/10/15	J		
264.					Buy (add'l)	07/16/15	J		
265.					Sold	12/01/15	J		
266. -CVS Health Corp DE (CVS) (common)		None	J	T	Buy	12/01/15	J		
267. -Diageo Plc New (DEO) (common)	A	Dividend	J	T	Buy	02/10/15	J		
268. -Frontier Communications Corp (FTR) (common)	A	Dividend	J	T	Buy	12/01/15	J		
269. -Home Depot Inc (HD) (common)	A	Dividend	J	T	Buy	08/25/15	J		
270. -Johnson Controls Inc (JCI) (common)	A	Dividend	J	T	Buy	07/16/15	J		
271. -Marsh & McLennan Cos. Inc (MMC) (common)		None	J	T	Buy	12/01/15	J		
272. -McDonalds Corp (MCD) (common)		None	J	T	Buy	12/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 20 of 22

Name of Person Reporting

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Date of Report

05/02/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. -Pepsico Inc (PEP) (common)	A	Dividend	J	T	Buy	07/16/15	J		
274. -Rockwell Automation Inc New (ROK) (common)	A	Dividend	J	T	Buy	02/10/15	J		
275. -Texas Instruments (TXN) (common)	A	Dividend	J	T	Buy	08/12/15	J		
276. -Toronto Dominion Bk (TD) (common)	A	Dividend	J	T	Buy	02/10/15	J		
277. -Verastem Inc (VSTM) (common)		None			Buy	04/24/15	J		
278.					Buy (add'l)	04/27/15	J		
279.					Sold	09/28/15	J		
280. -VF Corp (VFC) (common)	A	Dividend	J	T	Buy	02/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 21 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

COMMENTS FOR PART VII:

COMMENT 1, Line 59 -- market code changed to MSHIX to correct inadvertent typographical error

COMMENT 2, Lines 136 and 235 -- A stock exchange from the purchase of another company by Medtronic, Inc, the parent company, resulted in a more than usual income in 2015 for this holding.

COMMENT 5, Line 209 -- The acquisition of this asset in 2015 is reported on Line 209 as "Buy" because the Financial Disclosure Report for calendar year 2014, Line 343, should have listed the this asset as "Sold" on 12/30/14 and not "Sold (part)". The value code K reported for the sale of this asset on the 2014 report is correct.

FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

SEYMOUR, STEPHANIE K.

Date of Report

05/02/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ STEPHANIE K. SEYMOUR**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544