

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fitzgerald, Michael W.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge; active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 312 North Spring Street, Room 1653 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Bar Association, Los Angeles Chapter
2.	Director	Association of Business Trial Lawyers, Los Angeles Chapter
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Association of Business Trial Lawyers, Los Angeles Chapter	10/03/2013 to 10/06/2013	Dana Point, California	Annual Seminar	Seminar fee; lodging; meals
2. Jessica Tulk and Troy Pollet	12/30/2013 to 01/01/2014	Ojai, California	Wedding Ceremony	Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Cash Accounts	A	Interest	J	T					
2.									
3. Brokerage Account #1 (Pension) (H)									
4. Aberdeen Emerging (ABEMX)	A	Dividend		T	Sold	07/15/13	K	B	
5. Artisan Intl Fund (ARTIX)	A	Dividend	L	T	Sold (part)	04/02/13	J	A	
6. Aston River Road (ARVIX)	C	Dividend	L	T					
7. Blackrock Eqty. Div. (MADVX)	B	Dividend		T	Sold	08/19/13	M	E	
8. Dodge & Cox Intl Stock (DODFX)	B	Dividend	L	T					
9. Fidelity Contra Fund (FCNTX)	D	Dividend	M	T					
10. FMI Large Cap Fund (FMIHX)	D	Dividend	M	T	Sold (part)	04/02/13	J	A	
11. ING Midcap Oppty Fund I (NMCIX)	C	Dividend	K	T					
12. JPMorgan Mid Cap Val (FLMVX)	B	Dividend	K	T					
13. Loomis Sayles Small Cap (LSSIX)	B	Dividend	K	T					
14. Mainstay Marketfield Fund (MFLDX)	A	Dividend	M	T					
15. Oakmark Intl Small Cap (OAKEX)	B	Dividend	L	T					
16. Rivernorth Core Oppty (RNCOX)		None		T	Sold	12/06/13	L	C	
17. Schwab Govt Money Fund (SWGXX)		None	J	T	Sold (part)	01/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	01/25/13	J		
19.					Buy (add'l)	04/04/13	J		
20.					Buy (add'l)	04/23/13	J		
21.					Sold (part)	04/25/13	J		
22.					Sold (part)	07/22/13	J		
23.					Buy (add'l)	07/24/13	J		
24.					Sold (part)	07/25/13	J		
25.					Sold (part)	10/21/13	J		
26.					Buy (add'l)	10/23/13	J		
27.					Sold (part)	10/23/13	J		
28. Stone Ridge Reinsurance (SRRIX)		None	L	T	Buy	12/09/13	L		
29. Touchstone Sm Cap (TSFYX)	B	Dividend	K	T					
30. UBS AB Jersey 2040F (MLPI)	C	Dividend	L	T					
31. Wasatch Emrg Mkt Sm (WAEMX)	A	Dividend	K	T					
32. Wasatch Frontier Emrg Sm (WAFMX)	A	Dividend	K	T	Buy	07/16/13	K		
33. Yacktman Fund Service CL (YACKX)	C	Dividend	M	T	Buy	08/20/13	M		
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Brokerage Account #2 (Personal) (H)									
36. Aberdeen Emerging (ABEMX)	A	Dividend		T	Sold	07/15/13	J	A	
37. Artisan Intl Fund Inv (ARTIX)	A	Dividend	J	T	Sold (part)	08/22/13	J	A	
38. Aston River Road (ARVIX)	A	Dividend	J	T	Sold (part)	08/22/13	J	A	
39. Blackrock Eqty Div (MADVX)	A	Dividend		T	Sold	08/19/13	J	B	
40. Center Coast MLP (CCCNX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
41. Dodge & Cox Intl Stock (DODFX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
42. Fidelity Contra Fund (FCNTX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
43.					Sold (part)	08/22/13	J	B	
44. FMI Large Cap Fund (FMIHX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
45.					Sold (part)	08/22/13	J	B	
46. ING Midcap Oppty Fd I (NMCIX)		None		T	Sold	08/22/13	J	A	
47. JPMorgan Mid Cap Val (FLMVX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
48. Loomis Sayles Sm Cap (LSSIX)		None		T	Sold	08/22/13	J	B	
49. Mainstay Marketfield Fd (MFLDX)	A	Dividend	J	T	Sold (part)	08/22/13	J	A	
50. Oakmark Intl Sm Cap (OAKEX)	A	Dividend	J	T	Sold (part)	02/12/13	J	A	
51.					Sold (part)	08/22/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Rivernorth Core (RNCOX)		None		T	Sold (part)	02/12/13	J	A	
53.					Sold	12/06/13	J	A	
54. Schwab Amt TF MMF (SWFXX)	A	Dividend	K	T	Sold (part)	01/24/13	J		
55.					Buy (add'l)	02/13/13	K		
56.					Sold (part)	02/21/13	J		
57.					Sold (part)	04/24/13	J		
58.					Sold (part)	05/06/13	J		
59.					Sold (part)	07/15/13	J		
60.					Buy (add'l)	07/16/13	J		
61.					Sold (part)	07/17/13	J		
62.					Sold (part)	07/22/13	J		
63.					Buy (add'l)	08/20/13	J		
64.					Sold (part)	08/21/13	J		
65.					Buy (add'l)	08/23/13	K		
66.					Sold (part)	08/28/13	J		
67.					Sold (part)	10/21/13	J		
68.					Buy (add'l)	12/03/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Sold (part)	12/10/13	J		
70. Stone Ridge Reinsurance (SRRIX)		None	J	T	Buy	12/09/13	J		
71. Touchstone Small Cap (TSFYX)	A	Dividend	J	T					
72. Wasatch Emrg Mkt Small (WAEMX)	A	Dividend	J	T	Sold (part)	08/22/13	J	A	
73. Wasatch Frontier Emrg Sm (WAFMX)	A	Dividend	J	T	Buy	07/16/13	J		
74. Yacktman Fund Service CL (YACKX)	A	Dividend	J	T	Buy	08/20/13	J		
75.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael W. Fitzgerald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544