

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keyes, Jeffrey J.	2. Court or Organization U.S. Distric Court; District of Minnesota	3. Date of Report 05/12/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-- Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 316 North Robert Street St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	CLK Partnership
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011	Briggs and Morgan, P.A. Profit Sharing 401(k) Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

University of Minnesota -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amgen, Inc	A	Dividend	K	T					
2. Apogee Enterprises	A	Dividend	J	T					
3. AT&T Inc Com	B	Dividend	K	T					
4. Bank of America Com	A	Dividend	J	T					
5. Citigroup Inc Com	A	Dividend	J	T					
6. Comcast Corp CL A	A	Dividend	J	T					
7. Du Pont	B	Dividend	K	T					
8. Fairpoint Communications Inc (Y)									
9. General Electric Co Com	A	Dividend	K	T					
10. Hewlett Packard Co Com	A	Dividend	K	T					
11. Intel Corp Com	A	Dividend	J	T					
12. IBM Corp Com	B	Dividend	M	T					
13. Johnson & Johnson Com	B	Dividend	K	T					
14. Medtronic Inc	A	Dividend	K	T					
15. Microsoft Corp Com	A	Dividend	K	T					
16. Nextra Energy Inc Com (formerly named Florida Power & Light)	A	Dividend	K	T					
17. Pepsico Inc	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pfizer Inc Com	A	Dividend	K	T					
19. Procter & Gabel Co	B	Dividend	K	T					
20. Target Corp	A	Dividend	K	T					
21. Verizon Communications COM	B	Dividend	K	T					
22. Wells Fargo & Co New Com	A	Dividend	J	T					
23. Xcel Energy Inc	B	Dividend	K	T					
24. 3M Co Com	B	Dividend	L	T					
25. Fidelity Contra Fund	A	Dividend	K	T					
26. Vanguard 500 Index Fund Investor Shares	A	Dividend	K	T					
27. Federated Capital Reserves	A	Dividend	J	T					
28. Dodge & Cox Income Fund	C	Dividend	M	T					
29. Dodge & Cox International Fund	B	Dividend	L	T					
30. Growth Fund of America Class F	A	Dividend	L	T					
31. Jensen Quality Growth Fund Class J (Jensen Portfolio Class J)	A	Dividend	K	T					
32. Artio International (Julius Baer Internat	A	Dividend	K	T					
33. Stratton Small-Cap Value Fund		None	K	T					
34. Thornburg International Value	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. US Bank Savings Account	A	Interest	J	T					
36. US Bank Savings Account	A	Interest	K	T					
37. US Bank Checkings Account		None	J	T					
38. Fidelity Blue Chip Growth		None	M	T					
39. JP Morgan Stable Value		None	O	T					
40. PIMCO Total Return		None	N	T					
41. American Century Strategic Alloc		None	M	T					
42. Davis New York Venture-A		None	K	T					
43. Hartford Mid Cap HLS-IA		None	K	T					
44. MainStay LargeCap Growth (moved from Marisco Growth by Trust		None	N	T					
45. American Funds EuroPacific Growth-R4		None	L	T					
46. Condominium Residence-- Bonita Springs, FL -- 50% Ownership	A	Rent	O	W					
47. Euro-Pacific Growth Fund - 529A	A	Dividend	J	T					
48. Fundamental Investors - 529A	A	Dividend	K	T					
49. American Balances Fund - 529A	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Filer and spouse own 50% of CLK Partnership which owns as its sole asset the condominium residence set forth on line 52 of Part VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffrey J. Keyes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544