

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHESNEY, MAXINE M.	2. Court or Organization U.S. DISTRICT CT./NO. DIST. CA	3. Date of Report 04/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 02/26/93	With SF City & Cty Employees' Retirement System for pension payable monthly until death, based on former employment with SF Dist.Atty.
2. 10/29/05	With State of California Judges' Retirement System for retirement benefits payable monthly until death, based on former employment as SF Superior Court Judge
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	San Francisco City & County Employees' Retirement System - Pension	\$15,543.00
2. 2016	California Judges' Retirement System - Pension	\$114,254.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	California Judges' Retirement System - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common Stock - Advanced Polymer		None	J	T					
2. Mutual Fund - Columbia CA Tax - Exempt	B	Interest	M	T					
3. Bond - Calipatria CA Redevel Agency	A	Interest	K	T					
4. Bond - Sequoia CA Hospital District	A	Interest	J	T					
5. Bank Accts. - Wells Fargo	B	Interest	P1	T					
6. Common Stock - Cisco Systems	C	Dividend	L	T					
7. IRA - Raymond James									
8. -Common Stock - Berkshire Hathaway Hldg Co. CL B		None	J	T					
9. -Common Stock - Alphabet Inc.		None	J	T					
10. -Common Stock - American Express	A	Dividend	J	T					
11. -Common Stock - Nordstrom, Inc.	A	Dividend			Sold	11/15/16	J		
12. -Common Stock - Merck & Co.	A	Dividend	J	T					
13. -Common Stock - Johnson & Johnson	A	Dividend	J	T					
14. -Mutual Fund - Dodge & Cox Income	A	Dividend	J	T					
15. -Mutual Fund - FPA New Income	A	Dividend	J	T					
16. -Common Stock - Apple Inc.	A	Dividend	J	T					
17. -Common Stock - Leucadia Nat'l		None			Sold	01/27/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Bond Fund - T. Rowe Price	A	Dividend	J	T					
19. -Common Stock - Verizon	A	Dividend	J	T					
20. -Common Stock - General Electric	A	Dividend	J	T			K		
21. -Common Stock - Facebook, Inc.		None	J	T	Buy	06/29/16	J		
22. -Common Stock - Celgene Corp.		None	J	T	Buy	01/28/16	J		
23. Bond - Cal. Edl. Facs. Rev.	A	Interest	J	T					
24. Bond - Pomona Cal. Redev. Agcy Tax Alloc.	A	Interest	J	T					
25. Bond - Kern Vy Healthcare Dist CA	A	Interest	J	T					
26. Bond - Bell Comnty Redev Agcy CA Tax	A	Interest	J	T					
27. Common Stock - Microsoft	C	Dividend	M	T					
28. Bond - CA Edl Facs	A	Interest	J	T					
29. Common Stock - American Express	A	Dividend	K	T					
30. Common Stock - Berkshire Hathaway CL A		None	M	T					
31. Bond - Valley Sanitary Dist CA	A	Interest	K	T					
32. Common Stock - Berkshire Hathaway CL B		None	N	T					
33. Common Stock - Farmers & Merchants Bank Long Beach	A	Dividend	K	T					
34. Common Stock - Oracle Corp.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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35. Bond - Chula Vista CA Redev Agy	A	Interest			Redeemed	08/01/16	J		
36. Bond - Hercules CA Imp't Bd	A	Interest	J	T					
37. Bond - Mojave Desert & Mtn CA Waste Mgmt	A	Interest	K	T					
38. Bond-Woodland CA Redev Agy	A	Interest	J	T					
39. Bond-Holtville CA Redev Agy	A	Interest	K	T					
40. Bond-Riverbank CA Redev Agy	A	Interest	K	T					
41. Bond - Long Beach CA Fin. Auth.	A	Interest	K	T					
42. Bond - Lancaster CA Fin. Auth.	A	Interest			Redeemed	08/01/16	J	B	
43. Bond - Indep. Cities CA Lease Fin. Auth.	A	Interest			Redeemed	05/16/16	J	A	
44. Bond - CA Stwde Cmnty Rev	A	Interest	K	T					
45. Bond - Banning CA Fin'g Auth	A	Interest	J	T					
46. Bond - Daly City CA Hsg Dev	B	Interest	K	T					
47. Bond - CA Stwde Comntys Dev Auth	A	Interest	K	T					
48. Common Stock - Alphabet Inc.		None	M	T					
49. Bond-CA St. G.O. Unltd.	A	Interest	J	T					
50. Bond-Lake Elsinore CA Pub Fin'g	A	Interest	J	T					
51. Bond-CA St. Ref. G.O. Unltd.	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Bond-Sacto Cty Ca Arpt Sys	A	Interest	J	T					
53. Common Stock-Johnson & Johnson	B	Dividend	L	T					
54. Common Stock - Clorox	A	Dividend	K	T					
55. Common Stock - Merck & Co.	B	Dividend	L	T					
56. Bank Acct. - Provident Credit Union	A	Interest	K	T					
57. Common Stock - Chevron Corp.	B	Dividend	K	T					
58. Common Stock - Conoco Phillips		None			Sold	02/15/16	K		
59. Corp. Bond - Dow Chemical	C	Interest	L	T					
60. Mutual Fund - FPA New Incom	C	Dividend	M	T					
61. Corp. Bond - Time Warner	B	Interest	L	T					
62. Mutual Fund - Dodge & Cox Income	D	Dividend	M	T					
63. Bond - Chula Vista CA Elem Sch Dist	A	Interest	J	T					
64. Bond - Chino CA Redev Agcy	B	Interest	K	T					
65. Bond - Univ CA Regents Med Ctr	A	Interest			Redeemed	08/29/16	K		
66. Corp. Bond - G.E. Cap. Corp.	C	Interest	L	T					
67. Common Stock - Leucadia Nat'l		None			Sold	01/27/16	K		
68. Common Stock - Apple Inc.	B	Dividend	M	T					

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69. Bond Fund - T. Rowe Price	A	Dividend	K	T					
70. Corp. Bond - Hewlett-Packard	B	Interest	L	T					
71. Corp. Bond - Pitney Bowes	B	Interest	L	T					
72. Corp. Bond - CSX Corp.	B	Interest	L	T					
73. Corp. Bond - Equity Res. Props (ERP)	B	Interest			Redeemed	03/15/16	L		
74. Corp. Bond - Anheuser Busch	B	Interest	L	T					
75. Corp. Bond - Internat'l Paper	B	Interest	L	T					
76. Corp. Bond - Wells Fargo	C	Interest	L	T					
77. Bank Deposit Acct. - Raymond James	A	Interest	N	T					
78. Common Stock - Phillips 66	A	Dividend	K	T					
79. Money Mkt Acct - Hilltop Securities	A	Interest	L	T					
80. Common Stock - Nordstrom, Inc.	A	Dividend			Sold	11/15/16	K		
81. Corp. Bond - Bank of America	B	Interest	K	T					
82. Corp. Bond - Bank of America	A	Interest	K	T					
83. Bond - Rowland CA Uni Sch. Dist.	A	Interest	J	T					
84. Bond - Dept. of Vets Affairs Home Purch. Rev. A	A	Interest	J	T					
85. Bond - San Geronio Mem. Healthcare	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Bond - Chula Vista CA Partn	A	Interest	J	T					
87. Common Stock - Gilead Sciences	B	Dividend	L	T					
88. Common Stock - Verizon	B	Dividend	L	T					
89. Common Stock - Walt Disney Co.	A	Dividend	L	T					
90. Common Stock - Abbvie, Inc.	B	Dividend	K	T					
91. Bond - CA Stwide Comnty Dev Auth	A	Interest	J	T					
92. Bond - Mt. San Jacinto CA Cmnty G.O.	A	Interest	J	T					
93. Bond - Rio CA Elem Sch Dist G.O.	A	Interest	J	T					
94. Bond - Bakersfield CA City Sch Dist	A	Interest	J	T					
95. Bond - Merced CA Sch Dist G.O.	A	Interest	J	T					
96. Common Stock - General Electric		None	K	T					
97. Common Stock - Kinder Morgan Inc	A	Dividend	J	T					
98. REIT - Iron Mtn Inc	B	Dividend	K	T					
99. Common Stock - Facebook, Inc.		None	K	T	Buy	06/29/16	K		
100. Common Stock - Celgene Corp.		None	K	T	Buy	01/28/16	K		
101. Common Stock - At&T, Inc.		None	K	T	Buy	04/25/16	K		
102. Corp. Bond - Acvance Auto Parts	B	Interest	L	T	Buy	05/03/16	L		

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103. Corp. Bond - Coming, Inc.	B	Interest	L	T	Buy	05/03/16	L		
104. Corp. Bond - Arrow Electronics, Inc.	A	Interest	K	T	Buy	04/25/16	K		
105. Corp. Bond - American Express Co.	B	Interest	K	T	Buy	03/14/16	K		
106. Corp. Bond - Autozone, Inc.	B	Interest	L	T	Buy	03/14/16	L		
107. Corp. Bond - ConocoPhillips	A	Interest	K	T	Buy	03/14/16	K		
108. Corp. Bond - Kinder Morgan, Inc.	A	Interest	K	T	Buy	03/14/16	K		
109. Bond - CA Levee Area Pub. Fin.		None	J	T	Buy	12/14/16	J		
110. Bond - Amador Water Agy CA Water Rev.		None	J	T	Buy	11/17/16	J		
111. Bond - Stockton CA Redev. Agy Successor		None	J	T	Buy	11/03/16	J		
112. Bond - Washington. TWP CA Health G.O. Ref.	A	Interest	J	T	Buy	08/15/16	J		
113. Bond - W. Contr Costa CA Unif. Sch. Dist	A	Interest	J	T	Buy	03/17/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Date of Report

04/27/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MAXINE M. CHESNEY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544