

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roby, Karen W.	2. Court or Organization Eastern District of Louisiana	3. Date of Report 05/05/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 500 Poydras Street B437 New Orleans, Louisiana 70131		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Immediate Past President	Federal Magistrate Judges Association
2.	Board member	5116 Magazine Street Prep
3.	Board Member	Louisiana Commission on Civics Education
4.	Board Member	St. Paul Episcopal School
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Self-Employed, Attorney
2. 2013	Clerk of Court Orleans Parish
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association	01/17/2013-01/19/2013	Fort Myers, Florida	Leadership Meeting	Meals, Hotel & Transportation
2. Federal Magistrate Judges Association	09/17/2013-9/18/2013	Washington, D.C.	Board Meeting & Congressional Reception	Meals, Hotel & Transportation
3. American Bar Association	09/26/2013-09/28/2013	Chicago, IL	Leadership Meeting	Meals, Hotel & Transportation
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Entergy	Tickets to a Taste of NFL	\$1,400.00
2.	Entergy	Tickets to the AFC-NFC Superbowl XLVI	\$1,950.00
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mutual Fund- Global Gold American Century	A	Dividend			Sold	04/16/13	J	A	Roby
2. Liberty Bank & Trust	A	Int./Div.	J	T					
3. Vanguard Inflation Protected Securities	B	Dividend	J	T					
4. Louisiana Start 529 Plan- Principle Protection Plan	A	Dividend	J	T					
5. Louisiana Start 529 Plan- Principle Protection Plan	A	Dividend	K	T					
6. Fidelity Cash Reserves	A	Int./Div.	J	T					
7. Microsoft Corp.	A	Dividend	J	T					
8. Exxon Mobil Corp	A	Dividend	J	T					
9. IngDirect, Money Market(New name Capitol One-Sharebuilder)	B	Dividend	J	T					
10. Loomis Global Salyes Bond Retail	A	Dividend	K	T					
11. Fareholme Fund	A	Dividend	J	T					
12. Street Tracks Gold (correct name SPDR TRUST GOLD)	A	Dividend	J	T					
13. Suncor Energy	A	Dividend			Sold	08/07/13	J		Money Market
14. (Spider)SPDR Gold Trust ETF	A	Dividend	K	T	Sold (part)	05/14/13	K	D	Money market
15. Amazon	A	Dividend	J	T	Buy	04/17/13	J		
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Last year I listed the (Spider)SPDR GOLD TRUST account that was on line 15 one time to many. It is listed on 12 and 14 which are the accurate accounts. On line 9, the IngDirect Money Market Account name changed to Capitol One Sharebuilder.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen W. Roby**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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