

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Collier, Lacey A.                                                                                                     | <b>2. Court or Organization</b><br><br>Northern District of Florida                                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>05/13/2019                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Senior US District Judge                                       | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2018<br>to<br>12/31/2018 |
| <b>7. Chambers or Office Address</b><br><br>100 North Palafox Street<br>Pensacola, FL 32502                                                                                                |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

| <u>POSITION</u>               | <u>NAME OF ORGANIZATION/ENTITY</u>                               |
|-------------------------------|------------------------------------------------------------------|
| 1. Trustee and Director       | Naval Aviation Museum Foundation                                 |
| 2. Member, Board of Directors | Florida State University College of Medicine, West Florida, Inc. |
| 3.                            |                                                                  |
| 4.                            |                                                                  |
| 5.                            |                                                                  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. 24702RAE1                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Buy<br>(add'l)                                  | 02/01/18                | J                               |                                |                                                                   |
| 2. 24702RAE1                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 04/15/18                | K                               |                                |                                                                   |
| 3. 268648AP7                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 06/01/18                | J                               |                                |                                                                   |
| 4. 285512AC3                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 04/04/18                | K                               | A                              |                                                                   |
| 5. 35906AAH1                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Sold                                            | 11/16/18                | J                               |                                |                                                                   |
| 6. 451102BB2                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 01/15/18                | J                               | A                              |                                                                   |
| 7. 577081AZ5                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Buy                                             | 03/29/18                | J                               |                                |                                                                   |
| 8. 577081AZ5                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 06/30/18                | J                               | A                              |                                                                   |
| 9. 87264AAH8                                                                                                                | A                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 01/15/18                | J                               |                                |                                                                   |
| 10. TWX                                                                                                                     |                                         | None                                          |                                                 |                                           | Buy                                             | 05/03/18                | K                               |                                |                                                                   |
| 11. TWX                                                                                                                     |                                         | None                                          |                                                 |                                           | Redeemed                                        | 06/15/18                | K                               | B                              |                                                                   |
| 12. T                                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Spinoff<br>(from line 11)                       | 06/18/18                | J                               |                                |                                                                   |
| 13. T                                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 06/28/18                | J                               |                                |                                                                   |
| 14. AAPL                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 10/26/18                | J                               | A                              |                                                                   |
| 15. ABC                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/04/18                | J                               | A                              |                                                                   |
| 16. ABC                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/30/18                | J                               | A                              |                                                                   |
| 17. ABC                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/05/18                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 | R = Cost (Real Estate Only) | P4 = More than \$50,000,000    | S = Assessment                  | T = Cash Market         |
| (See Column C2)         | Q = Appraisal                    | U = Book Value              | V = Other                      | W = Estimated                   |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|            |   |          |   |   |                |          |   |   |  |
|------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. ABC    | A | Dividend |   |   | Buy<br>(add'l) | 05/24/18 | J |   |  |
| 19. ABC    | A | Dividend |   |   | Sold           | 10/01/18 | J | A |  |
| 20. AET    | A | Dividend |   |   | Buy            | 06/18/18 | J |   |  |
| 21. AET    | A | Dividend |   |   | Sold<br>(part) | 08/14/18 | J | A |  |
| 22. AET    | A | Dividend |   |   | Sold<br>(part) | 08/21/18 | J | A |  |
| 23. AET    | A | Dividend |   |   | Sold           | 11/12/18 | J | A |  |
| 24. AGN    | A | Dividend |   |   | Buy            | 04/20/18 | J |   |  |
| 25. AGN    | A | Dividend |   |   | Sold           | 10/17/18 | J |   |  |
| 26. AIG    | A | Dividend |   |   | Sold           | 05/03/18 | K |   |  |
| 27. ATHN   |   | None     |   |   | Buy            | 06/07/18 | J |   |  |
| 28. ATHN   |   | None     |   |   | Buy<br>(add'l) | 06/15/18 | J |   |  |
| 29. ATHN   |   | None     |   |   | Sold           | 09/18/18 | J |   |  |
| 30. BKLN   | A | Dividend |   |   | Sold           | 03/02/18 | J |   |  |
| 31. BKNG   |   | None     | J | T | Buy            | 08/09/18 | J |   |  |
| 32. BKNG   |   | None     | J | T | Sold<br>(part) | 11/07/18 | J | A |  |
| 33. BRKB   |   | None     | K | T | Sold<br>(part) | 04/05/18 | J | B |  |
| 34. CLNS+D | A | Dividend |   |   | Redeemed       | 07/02/18 | K |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|          |   |          |   |   |                           |          |   |   |  |
|----------|---|----------|---|---|---------------------------|----------|---|---|--|
| 35. CLNY | A | Dividend |   |   | Sold                      | 07/06/18 | J |   |  |
| 36. COL  | A | Dividend |   |   | Buy                       | 04/13/18 | J |   |  |
| 37. COL  | A | Dividend |   |   | Buy<br>(add'l)            | 06/15/18 | J |   |  |
| 38. COL  | A | Dividend |   |   | Redeemed                  | 11/18/18 | K | B |  |
| 39. UTX  |   | None     | J | T | Spinoff<br>(from line 38) | 11/28/18 | J |   |  |
| 40. UTX  |   | None     | J | T | Buy<br>(add'l)            | 12/04/18 | J |   |  |
| 41. COTY | A | Dividend |   |   | Sold<br>(part)            | 02/20/18 | J |   |  |
| 42. COTY | A | Dividend |   |   | Sold                      | 11/16/18 | J |   |  |
| 43. DG   | A | Dividend | K | T | Sold<br>(part)            | 01/18/18 | J | B |  |
| 44. DG   | A | Dividend | K | T | Sold<br>(part)            | 02/21/18 | J | A |  |
| 45. DG   | A | Dividend | K | T | Buy<br>(add'l)            | 03/07/18 | J |   |  |
| 46. DG   | A | Dividend | K | T | Sold<br>(part)            | 05/17/18 | J | B |  |
| 47. DG   | A | Dividend | K | T | Buy<br>(add'l)            | 05/31/18 | J |   |  |
| 48. DG   | A | Dividend | K | T | Sold<br>(part)            | 06/18/18 | J | B |  |
| 49. DIS  | A | Dividend | J | T | Buy<br>(add'l)            | 02/05/18 | J |   |  |
| 50. DIS  | A | Dividend | J | T | Buy<br>(add'l)            | 02/08/18 | J |   |  |
| 51. DIS  | A | Dividend | J | T | Sold<br>(part)            | 11/09/18 | J | B |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. DSKE                                                                                                                  |                                         | None                                          |                                                 |                                           | Buy                                             | 06/26/18                | J                               |                                |                                                                   |
| 53. DSKE                                                                                                                  |                                         | None                                          |                                                 |                                           | Sold                                            | 11/12/18                | J                               |                                |                                                                   |
| 54. GE                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/06/18                | J                               |                                |                                                                   |
| 55. GM                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/16/18                | J                               | A                              |                                                                   |
| 56. GM                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 03/22/18                | J                               | A                              |                                                                   |
| 57. GM                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 05/31/18                | J                               | A                              |                                                                   |
| 58. GM                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/15/18                | J                               | A                              |                                                                   |
| 59. HCP                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/22/18                | J                               |                                |                                                                   |
| 60. IBKR                                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 03/23/18                | J                               | B                              |                                                                   |
| 61. IBKR                                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 04/02/18                | J                               | D                              |                                                                   |
| 62. JNJ                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/05/18                | J                               | A                              |                                                                   |
| 63. KKR+A                                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/20/18                | J                               |                                |                                                                   |
| 64. LMB                                                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 02/06/18                | K                               |                                |                                                                   |
| 65. LMB                                                                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 11/26/18                | J                               |                                |                                                                   |
| 66. LOW                                                                                                                   |                                         | None                                          |                                                 |                                           | Buy                                             | 04/24/18                | J                               |                                |                                                                   |
| 67. LOW                                                                                                                   |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 06/19/18                | J                               | A                              |                                                                   |
| 68. LOW                                                                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 07/02/18                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. MON                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/02/18                | K                               |                                |                                                                   |
| 70. MON                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 04/09/18                | K                               | A                              |                                                                   |
| 71. NSRGY                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 10/26/18                | J                               | A                              |                                                                   |
| 72. NSRGY                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 12/06/18                | J                               | A                              |                                                                   |
| 73. PFE                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 08/08/18                | J                               | A                              |                                                                   |
| 74. PFE                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 10/26/18                | J                               | C                              |                                                                   |
| 75. PG                                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 04/20/18                | J                               |                                |                                                                   |
| 76. PG                                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 12/06/18                | J                               | A                              |                                                                   |
| 77. PG                                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 12/12/18                | J                               | A                              |                                                                   |
| 78. PG                                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/13/18                | J                               | B                              |                                                                   |
| 79. PSX                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/24/18                | J                               | A                              |                                                                   |
| 80. PSX                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/13/18                | J                               | B                              |                                                                   |
| 81. QCOM                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/16/18                | J                               | A                              |                                                                   |
| 82. QCOM                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/14/18                | J                               | A                              |                                                                   |
| 83. QCOM                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/26/18                | J                               |                                |                                                                   |
| 84. RSYS                                                                                                                    |                                         | None                                          |                                                 |                                           | Buy                                             | 07/09/18                | J                               |                                |                                                                   |
| 85. RSYS                                                                                                                    |                                         | None                                          |                                                 |                                           | Buy<br>(add'l)                                  | 10/23/18                | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Collier, Lacey A.

Date of Report

05/13/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. RSYS                                                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 12/07/18                | J                               | B                              |                                                                   |
| 87. SAVE                                                                                                                    |                                         | None                                          |                                                 |                                           | Buy                                             | 01/25/18                | J                               |                                |                                                                   |
| 88. SAVE                                                                                                                    |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 08/22/18                | J                               | A                              |                                                                   |
| 89. SAVE                                                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 09/28/18                | J                               | B                              |                                                                   |
| 90. SBUX                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 04/10/18                | J                               | A                              |                                                                   |
| 91. SBUX                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 11/30/18                | J                               | A                              |                                                                   |
| 92. TOTL                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/10/18                | J                               |                                |                                                                   |
| 93. TOTL                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 03/01/18                | J                               |                                |                                                                   |
| 94. TOTL                                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/24/18                | K                               |                                |                                                                   |
| 95. UPS                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 02/24/18                | K                               |                                |                                                                   |
| 96. UPS                                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/17/18                | K                               | A                              |                                                                   |
| 97. UPS                                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/19/18                | J                               |                                |                                                                   |
| 98. WFC+J                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 07/16/18                | J                               |                                |                                                                   |
| 99. WFC+J                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Redeemed                                        | 09/17/18                | J                               |                                |                                                                   |
| 100. WMT                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 05/17/18                | J                               |                                |                                                                   |
| 101. WMT                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 08/16/18                | J                               | B                              |                                                                   |
| 102. 24702RAJO                                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/22/18                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

Collier, Lacey A.

Date of Report

05/13/2019

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. 01748XAA0                                                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy                                             | 01/31/18                | J                               |                                |                                                                   |
| 104. 01748XAA0                                                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 12/10/18                | J                               |                                |                                                                   |
| 105. 156700AN6                                                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 106. 268648AQ5                                                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 107. 451102AX5                                                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 08/07/18                | J                               |                                |                                                                   |
| 108. 451102AX5                                                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 08/15/18                | J                               |                                |                                                                   |
| 109. 451102AX5                                                                                                              | A                                       | Interest                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 09/24/18                | J                               |                                |                                                                   |
| 110. 852061AR1                                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 02/23/18                | J                               |                                |                                                                   |
| 111. 577081AT9                                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 03/20/18                | J                               |                                |                                                                   |
| 112. 24702RAQ4                                                                                                              | A                                       | Interest                                      | J                                               | T                                         | Buy                                             | 08/03/18                | J                               |                                |                                                                   |
| 113. 00101JAK2                                                                                                              |                                         | None                                          | J                                               | T                                         | Buy                                             | 10/30/18                | J                               |                                |                                                                   |
| 114. 03674PAL7                                                                                                              |                                         | None                                          | J                                               | T                                         | Buy                                             | 11/09/18                | J                               |                                |                                                                   |
| 115. ADS                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 11/20/18                | J                               |                                |                                                                   |
| 116. ADS                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 12/03/18                | J                               |                                |                                                                   |
| 117. GOOGL                                                                                                                  |                                         | None                                          | K                                               | T                                         | Buy                                             | 11/12/18                | J                               |                                |                                                                   |
| 118. GOOGL                                                                                                                  |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 12/19/18                | J                               |                                |                                                                   |
| 119. MO                                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/20/18                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

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Name of Person Reporting

Collier, Lacey A.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. AINC                                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 05/02/18                | J                               |                                |                                                                   |
| 121. AINC                                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 05/10/18                | J                               |                                |                                                                   |
| 122. BLK                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 11/02/18                | J                               |                                |                                                                   |
| 123. COF+D                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/14/18                | J                               |                                |                                                                   |
| 124. CAH                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/24/18                | J                               |                                |                                                                   |
| 125. CHTR                                                                                                                   |                                         | None                                          | K                                               | T                                         | Buy                                             | 07/18/18                | J                               |                                |                                                                   |
| 126. CHTR                                                                                                                   |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 08/23/18                | J                               |                                |                                                                   |
| 127. CHTR                                                                                                                   |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 10/01/18                | J                               |                                |                                                                   |
| 128. CMCSA                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 07/02/18                | J                               |                                |                                                                   |
| 129. CMCSA                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 10/10/18                | J                               |                                |                                                                   |
| 130. ENB                                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/30/18                | K                               |                                |                                                                   |
| 131. ENB                                                                                                                    | B                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 10/24/18                | J                               |                                |                                                                   |
| 132. FCAU                                                                                                                   |                                         | None                                          | K                                               | T                                         | Buy                                             | 11/20/18                | J                               |                                |                                                                   |
| 133. FCAU                                                                                                                   |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 12/06/18                | J                               |                                |                                                                   |
| 134. KEWL                                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 10/03/18                | J                               |                                |                                                                   |
| 135. LENB                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 06/19/18                | K                               |                                |                                                                   |
| 136. MCK                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/24/18                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Collier, Lacey A.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. PM                                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. PPT                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 139. SVIN                                                                                                                   |                                         | None                                          | J                                               | T                                         | Buy                                             | 03/15/18                | J                               |                                |                                                                   |
| 140. SO                                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/23/18                | J                               |                                |                                                                   |
| 141. WFC                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 142. PGX                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 05/14/18                | K                               |                                |                                                                   |
| 143. PGX                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 07/27/18                | J                               |                                |                                                                   |
| 144. RYT                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/20/18                | J                               |                                |                                                                   |
| 145. CWI                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/20/18                | J                               |                                |                                                                   |
| 146. DBCM                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/01/18                | J                               |                                |                                                                   |
| 147. NLY                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 148. BX                                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 149. CLNY+E                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/20/18                | J                               |                                |                                                                   |
| 150. NFCU Share Savings Account                                                                                             | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. Suntrust Bank Accounts                                                                                                 | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |
| 3. Value Method Codes<br>(See Column C2)         |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |

**FINANCIAL DISCLOSURE REPORT**

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|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Collier, Lacey A.        | 05/13/2019     |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Collier, Lacey A.

Date of Report

05/13/2019

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lacey A. Collier

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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One Columbus Circle, N.E.  
Washington, D.C. 20544