

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oldshue, Jr., Jerry C.	2. Court or Organization Alabama Southern District Bankruptcy Court	3. Date of Report 05/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Bankruptcy Court 201 St. Louis Street Mobile, AL 36602-6602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Secretary (2013-2015)	Rosen Harwood, P.A.
2.	Manager (2013-2015)	RCS Building, LLC
3.	Manager (2013- 2015)	Tuscaloosa Riverfront Development, LLC
4.	Shareholder (2013-2015)	Rosen Harwood, P.A.
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2015	Buyout agreement with Rosen Harwood, P.A., former law firm.
2.	2015	Retirement Plan with Rosen Harwood, P.A., former law firm.
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Oldshue, Jr., Jerry C.

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05/15/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Rosen Harwood, P.A. -Salary & Bonus	\$243,236.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Rosen Harwood, P.A. - Attorney Salary
2. 2015	American Christian Academy - Teaching Salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Oldshue, Jr., Jerry C.

Date of Report

05/15/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Synovus Bank	Guarantee of RCS Building, LLC loan on interest in building	None
2.	Capstone Bank	Guarantee of Rosen Harwood, P.A. operating line of credit	None
3.	Capstone Bank	Guarantee of Rosen Harwood, P.A. equipment loan	None
4.	Regions Bank	Guarantee of Rosen Harwood, P.A. operating line of credit	None
5.	Mississippi State University	Tuition	J
6.	Chase Bank	Credit Card	J

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Oldshue, Jr., Jerry C.

Date of Report

05/15/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RCS Building, LLC	D	Distribution			Sold	12/11/15	O	G	RCS Building, LLC
2. Rental House, Tuscaloosa County, Alabama		None			Sold	12/15/15	M	G	Ahmed Alatassi
3. Synovus Bank account	A	Interest	K	T					
4. Capstone Bank account	A	Interest	K	T					
5. ROSEN HARWOOD, P.A. (X; Y)	A	Dividend							
6. 401K (H)									
7. - DFA US MICRO CAP		None	M	T					
8. - MELON STABLE VALUE FUND		None	J	T					
9. - VANGUARD EMERGING MKTS STOCK INX ADM		None	K	T					
10. - VANGUARD TARGET RETIREMENT 2025		None	J	T					
11. - AMERICAN INCOME FUND OF AMERICA CLASS R		None	J	T					
12. IRA #1 (H)									
13. - FIDELITY SELECT NATURAL RESOURCES		None	J	T					
14. - FIDELITY SELECT COMMERCIAL EQUIPMENT		None	J	T					
15. - FIDELITY SELECT FINACIAL SERVICES		None	J	T					
16. - FIDELITY SELECT SOFTWARE		None	K	T					
17. - FIDELITY SELECT CONSUMER STAPLES		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Oldshue, Jr., Jerry C.

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05/15/2016

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18. - FIDELITY SELECT ELECTRONICS		None	J	T					
19. IRA #2 (H)									
20. - MORGAN STANLEY (X)	A	Interest	J	T					
21. - WILLIAM BLAIR INTL GROWTH	A	Dividend	K	T					
22. - INVESCO INTL GROWTH	A	Dividend	K	T					
23. - HARDING LOEVNER EMERG MKTS ADV	A	Dividend	K	T					
24. - COLUMBIA SEL LARGE CAP VAL	A	Dividend	K	T					
25. - COLUMBIA SELECT LG CP GROWTH	B	Dividend	K	T					
26. - DAVIS NEW YORK VENTURE	B	Dividend	K	T	Buy (add'l)	12/17/15	J		
27. - PRUDENTIAL JENNISON GROWTH	B	Dividend	K	T					
28. - JANUS FLEXIBLE BOND	A	Dividend	K	T					
29. - LORD ABBETT SHT DURATION INC	A	Dividend	K	T					
30. - AQR MANAGED FUTURES STRATEGY	A	Dividend	J	T					
31. - CALAMOS MARKET NEUTRAL INC	A	Dividend	J	T					
32. - DIAMOND HILL LONG-SHORT	A	Dividend	J	T					
33. - IVY MID CAP GROWTH	A	Dividend	J	T					
34. - RS VALUE	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Oldshue, Jr., Jerry C.

Date of Report

05/15/2016

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35. - RS SMALL CAP GROWTH	A	Dividend	J	T					
36. - RS PARTNERS	A	Dividend	J	T					
37. - MLP SELECT 40		None	J	T	Buy (add'l)	12/17/15	J		
38. - BLACKROCK HI YIELD BD PTF INST	A	Dividend	J	T					
39. - VOYA GLOBAL REAL ESTATE		None	J	T					
40. - PIMCO SHORT TERM	A	Dividend	J	T					
41. - PIMCO FOREIGN BD	A	Dividend	J	T					
42. 529 PLANS (H)									
43. - PIMCO SHORT-TERM 529		None	J	T					
44. - NORTHERN BOND INDEX 529		None	J	T					
45. - T. ROWE PRICE BALANCED 529		None	J	T					
46. - T. ROWE PRICE REAL ESTATE 529		None	J	T					
47. - T. ROWE PRICE LARGE CAP GROWTH 529		None	J	T					
48. - NORTHERN MID-CAP INDEX 529		None	J	T					
49. - NORTHERN SMALL CAP INDEX 529		None	J	T					
50. - LORD ABBETT DEVELOPING GROWTH 529		None	J	T					
51. - NORTHERN FUNDS INTERNATIONAL EQUITY INDEX 529		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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Oldshue, Jr., Jerry C.

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52. - LAZARD EMERGING MARKETS 529		None	J	T					
53. - COHEN & STEERS DIVIDEND VALUE 529		None	J	T					
54. BROKERAGE ACCOUNT (H)									
55. - ALPHABET INC CLASS C COMMON STOCK (X)		None	J	T					
56. - APPLE INC COM COMMON STOCK (X)	A	Dividend	J	T					
57. SOUTHERN COMPANY COMMON STOCK (X)	A	Dividend	J	T					
58. TIMBERLAND, Tuscaloosa County, Alabama (X; Y)	B	Rent			Sold	06/30/15	K	E	TODD CARPENTER
59.									
60.									
61.									
62.									
63.									
64.									
65.									
66.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Oldshue, Jr., Jerry C.

Date of Report

05/15/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-B - Spouse's Rosen Harwood attorney salary for 2015 was left off initial filing. Spouse worked first half of year at Rosen before transitioning to teaching position in Fall of 2015.

Part VII, Line 1 - Tuscaloosa Riverfront Development, LLC owns the Bank of Tuscaloosa Plaza, a four story, 108,000 sq/ft office building in Tuscaloosa Alabama. RCS Building, LLC is a 30% member of Tuscaloosa Riverfront Development, LLC. I owned a 7.14% interest in RCS Building, LLC, but my appointment to the bench triggered a buyout provision. The buyout was complete by the end of 2015.

Part VII, Line 5 - Rosen Harwood dividends received from previous employer. Will not have going forward.

Part VII, Line 58 - Timberland located in Tuscaloosa County, AL. Should have been included on initial filing. Spouse owned property with ex-husband, Gavin Carpenter, ex-brother-in-law, Todd Carpenter and ex-father-in-law, Jerry Carpenter, with each owning 25%. She sold her interest to her ex-brother-in-law, Todd Carpenter in the summer of 2015.

Part VII, Line 6, 12 and 42 - Investment asset accounts 401K, IRA #1 and 529 Plans do not report income to the account. The reports only show the market value in the account, thus the column B(1) is left blank and "None" is entered in column B(2). Any other lines reporting no income means no income was earned on that investment during the year.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Oldshue, Jr., Jerry C.

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05/15/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerry C. Oldshue, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544