

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lipp, Wendelin I.	2. Court or Organization Maryland- Bankruptcy Court	3. Date of Report 04/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 6500 Cherrywood Lane Third Floor Greenbelt, Maryland 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors	Walter Chandler American Bankruptcy Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. BANKRUPTCY BAR
ASSOCIATION FOR THE
DISTRICT OF MARYLAND
ANNUAL SEMINAR

May 3-4, 2013

Annapolis, Maryland

Seminar Speaker

FOOD AND 1 NIGHT STAY AT
HOTEL

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property Montgomery County, MD (\$148,000)	D	Rent	M	S					
2. GE-common	C	Dividend	M	T					
3. United Tech-common	B	Dividend	N	T					
4. [REDACTED] Inc.	C	Distribution	L	U					
5. [REDACTED] LLC	A	Distribution	J	U					
6. 401K									
7. SWMXX	A	Dividend	M	T					
8. EUEYX	A	Dividend	J	T					
9. JENSX	A	Dividend	J	T					
10. BJBIX	A	Dividend	J	T					
11. Capital One Bank-Various Accounts	A	Interest	M	T					
12. Acacia Whole Life Insurance policy	A	Dividend	J	T					
13. Acacia Whole Life Insurance policy	A	Dividend	K	T					
14. AIG Sun America	A	Interest	J	T					
15. Willets Shoes-common		None			Closed	12/31/13			
16. IRA#1									
17. Citibank NA, Bank Deposit Program	A	Interest			Closed	07/12/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. RBC Bank Deposit Program	A	Interest	J	T	Open	07/12/13	J		
19. AGDAX	C	Dividend	K	T					
20. HFLAX	A	Dividend	K	T					
21. LALDX		None			Sold	02/15/13	K	A	
22. PONAX	B	Dividend	K	T					
23. TPINX	C	Dividend	K	T					
24. SGENX	C	Dividend	L	T					
25. GIOAX	B	Dividend	K	T	Buy	02/15/13	K		
26. GSDAX	A	Dividend	J	T	Sold (part)	09/03/13	K		
27. UIT FT DIV. INCOME PLUS PORT SERIES 5	B	Dividend	K	T					
28. UIT FT SMID HIGH DIV. PORT SERIES 5 f/k/a SMDV5R UFT SMID DIV 5	B	Dividend	K	T					
29. UIT FTP Preferred Inc Series 54	A	Dividend			Sold	09/03/13	K	A	
30. UIT FT DIV. INC. PLUS PORT SERIES 8	A	Dividend	K	T	Buy	02/15/13	K		
31. UIT FTP, High Dividend Port Series Equity 10	D	Dividend	L	T					
32. GLOBAL X FDX (SDIV)	B	Dividend	K	T	Buy	02/15/13	K		
33. UTS, GUGG, Series 11 Zacks	A	Dividend			Sold	04/04/13	K	A	
34. UIT GUGG DEF PORT ZACKS INC SERIES 20	A	Dividend	K	T	Buy	04/04/13	K		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35. WISDOMTREE TRUST MIDCAP DIV FD ETF (DON)	A	Dividend	J	T	Buy	09/03/13	J		
36. WISDOMTREE TRUST SMALLCAP FUND ETF	A	Dividend	J	T	Buy	09/03/13	J		
37. WISDOMTREE TR EUROPE HEDGE EQUITY FUND (HEDJ)	A	Dividend	J	T	Buy	09/03/13	J		
38. WISDOMTREE TRUST EUROPE SMALLCAP DIV. FUND ETF (DFE)	A	Dividend	J	T	Buy	09/03/13	J		
39. IRA#2:									
40. Oppenheimer Value Fund (CGRWX)	A	Dividend	J	T					
41. Growth Fund of America MF	A	Dividend	J	T					
42. Washington Mutual Investors Fund MT	A	Dividend	J	T					
43. QQQ 100 Trust/Powershares	A	Dividend	K	T					
44. Blackrock US Opportunities Portfolio Class A (BMEAX)	A	Dividend	J	T					
45. Allianz RCM Global Technology-MF (RAGTX)	A	Dividend	K	T					
46. Goldman Sachs Mid Value Fund-MF	A	Dividend	K	T					
47. Ivy Asset Strategy Fund Class A (WASAX)	A	Dividend	J	T					
48. Prudential Jennison 20/20 Focus Fund-MF	B	Dividend	K	T					
49. Oppenheimer Value Fund Class A	A	Dividend	K	T					
50. Oppenheimer Amt-Free Municipals FD CL A	A	Interest	K	T					
51. The Growth Fund of America-MF	A	Dividend	L	T	Sold (part)	12/24/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. LMP Short Duration Muni Income Fund (SHDAX)	A	Interest	K	T					
53. UIT FTP High Div Equity Portfolio 10	A	Dividend	K	T					
54. UTS FTP Strat Income Plus	A	Dividend			Sold	09/03/13	K		
55. New Perspective Fund A	A	Dividend	L	T	Sold (part)	06/03/13	J		
56.					Sold (part)	08/15/13	J		
57.					Sold (part)	12/24/13	J		
58. Fundamental Investors A	A	Dividend	K	T	Sold (part)	12/24/13	J		
59. UIT FTP Dividend Income Plus Port Series 5	B	Dividend	L	T					
60. UIT FTP Dividend Strength Port Series 13	A	Dividend	K	T					
61. UIT FTP SMID High Div. Port Series 5 F/ K/A UTS FTP SMID DIV STRENGTH	A	Dividend	K	T					
62. Citigroup SmithBarney Various Accounts		None	J	T	Sold (part)	07/12/13	M		
63. Alpine Global Premier Fund	A	Dividend	J	T					
64. UIT FTP, SMID Capital Strength Port Series 14	A	Dividend	K	T					
65. UIT FT SERIES 21 CAP STRENGTH PORT SEMI-ANNUAL	A	Dividend	K	T	Buy	09/10/13	K		
66. DE	A	Dividend	J	T					
67. EEP		None	J	T					
68. FTSIPCE15R -Strategic Income Plus Closed End	A	Dividend			Sold	09/03/13	K		

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69. FAM	A	Dividend			Sold	09/03/13	J		
70. JOY	A	Dividend	J	T					
71. STXAX	B	Int./Div.	K	T					
72. MFC	A	Dividend	J	T					
73. NYX	A	Dividend			Sold	11/15/13	J		
74. JTD	A	Dividend	J	T					
75. ONEOK Partners LP		None	J	T					
76. Pimco Total Return Fund (PTTAX)	A	Dividend			Sold	09/03/13	J		
77. UIT,GUGG, Zacks Income Advantage Strategy Series 20	B	Dividend	K	T					
78. UIT GUGG DEF PORT SERIES 2 MLP & ENERGY	A	Dividend	K	T	Buy	04/04/13	K		
79. PGHYX	B	Dividend	K	T					
80. XLK		None	K	T					
81. SPH	A	Interest	J	T					
82. TPINX	A	Dividend	K	T					
83. RIG	A	Dividend	J	T					
84. CIBFX	A	Dividend	J	T					
85. IGD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. DVY	A	Dividend	J	T					
87. MOO	A	Dividend	J	T					
88. SDIV	B	Dividend	K	T	Buy	02/19/13	K		
89. ICE (X)	A	Dividend	J	T					
90. Dependent #2:									
91. GE	A	Dividend			Distributed	06/14/13	J		
92. Jennison 20/20 Focus Fund	A	Dividend			Distributed	06/14/13	K		
93. Goldman Sachs Mid Value Fund	A	Dividend			Distributed	06/14/13	J		
94. Capital One Bank f/k/a Chevy Chase Bank- var. accts (HRT)	A	Interest	J	T					
95. Citigroup Smith Barney Money Market		None			Distributed	06/14/13	J		
96. Dependent #1									
97. GE	A	Dividend			Distributed	06/14/13	J		
98. Jennison 20/20 Focus Fund	A	Dividend			Distributed	06/14/13	K		
99. Goldman Sachs Mid Value Fund	A	Dividend			Distributed	06/14/13	J		
100. Citigroup Smith Barney Money Market		None			Distributed	06/14/13	J		
101. TRUSTEE:									
102. MO	A	Dividend	K	T					

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103. LLY	A	Dividend	J	T	Buy	04/05/13	K		
104. NEE	A	Dividend	K	T					
105. GE	A	Dividend	K	T	Buy (add'l)	04/5/13	K		
106. KFT	A	Dividend	J	T					
107. MDLZ	A	Dividend	J	T					
108. PM	A	Dividend	K	T					
109. UTX	A	Dividend	K	T					
110. VZ	A	Dividend	K	T					
111. GRI	A	Dividend	K	T	Buy	04/05/13	K		
112. IWF	A	Dividend	L	T	Buy (add'l)	04/05/13	K		
113. RXI	A	Dividend	K	T	Buy	04/05/13	K		
114. CD GE CAPITAL FINANCIAL	B	Interest			Redeemed	08/13/13	K		
115. CD DISCOVER BANK	B	Interest			Redeemed	11/12/13	K		
116. PIFCX	B	Dividend	L	T					
117. NHMCX	B	Dividend	K	T					
118. ML CMA ACCOUNT	C	Interest	M	T					
119. XLP	A	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. XLU	A	Dividend	J	T					
121. CD GE CAPITAL FINANCIAL	B	Interest			Redeemed	08/13/13	K		
122. CD GOLDMAN SACHS BANK USA	A	Interest	K	T					
123. NM BANK AMERICA CORP BE K/K/A FIA CARD SERVICES NA RASP	A	Interest	K	T					
124. PDBCX	B	Dividend	M	T					
125. DWX	B	Dividend	L	T	Buy (add'l)	04/05/13	K		
126. XOM	A	Int./Div.	K	T					
127. EMR	A	Int./Div.	J	T					
128. BSV	A	Dividend	L	T	Buy	04/05/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lipp, Wendelin I.

Date of Report

04/14/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts:

No.1: The tax assessment is \$148,000.

No. 54 and 68 are duplicates of the same fund and should have been reported only once in the past.

No. 89 : This fund was inherited in 2010 and was inadvertently omitted in the past.

No. 91 -93 and 95-100: These assets were transferred to [REDACTED] .

No 114 and 121 appear to be duplicate entries. Only one CD was redeemed and neither remains on the account statements.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lipp, Wendelin I.

Date of Report

04/14/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Wendelin I. Lipp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544