

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CHESNEY, MAXINE M.	2. Court or Organization U.S. DISTRICT CT./NO. DIST. CA	3. Date of Report 04/28/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 02/26/93	With SF City & Cty Employees' Retirement System for pension payable monthly until death, based on former employment with SF Dist.Atty.
2. 10/29/05	With State of California Judges' Retirement System for retirement benefits payable monthly until death, based on former employment as SF Superior Court Judge
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	San Francisco City & County Employees' Retirement System - Pension	\$14,646.00
2. 2013	California Judges' Retirement System - Pension	\$107,328.00
3. 7/8/13	Rutter Group - Faculty Compensation	\$500.00
4. 7/10/13	Rutter Group - Faculty Compensation	\$500.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	California Judges' Retirement System - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Rutter Group	7/8/13	San Francisco, CA	Program (teaching)	Parking
2. Rutter Group	7/10/13	Los Angeles, CA	Program (teaching)	Airfare, Hotel, Ground Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common Stock - Advanced Polymer		None	J	T					
2. Mutual Fund - Columbia CA Tax - Exempt	C	Interest	M	T					
3. Bond - Calipatria CA Redevel Agy	A	Interest	K	T					
4. Bond - Sequoia CA Hospital District	A	Interest	J	T					
5. Bank Accts. - Wells Fargo	B	Interest	P1	T					
6. Common Stock - Cisco Systems	B	Dividend	L	T	Sold (part)	11/01/13	K		
7.					Sold (part)	12/18/13	J		
8. Bond - San Benito Health Care Dist.	A	Interest			Redeemed	04/01/13	J		
9. IRA - Raymond James	A	Dividend	L	T					
10. -Common Stock - Berkshire Hathaway Hldg Co. CL B									
11. -Common Stock - Google Inc.									
12. -Common Stock - American Express									
13. -Common Stock - Nordstrom, Inc.					Buy	11/01/13	J		
14. -Common Stock - Qualcom, Inc.					Buy	04/02/13	J		
15. -Common Stock - Crimson Wine Group Ltd.					Spinoff (from line 23)	02/11/13	J		
16. -Common Stock - Merck & Co.									
17. -Common Stock - Johnson & Johnson									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Common Stock - Clorox					Sold	04/02/13	J	A	
19. -Mutual Fund - Dodge & Cox Income									
20. -Mutual Fund - FPA New Income									
21. -Common Stock - Apple Inc.									
22. -Common Stock - Century Link									
23. -Common Stock - Leucadia Nat'l									
24. -Bond Fund - T. Rowe Price									
25. Bond - N. Inyo Cnty Cal. Local Hosp.	A	Interest			Redeemed	02/19/13	J		
26. Bond - Cal. Edl. Facs. Rev.	A	Interest	J	T					
27. Bond - Woodland Cal. Fin. Auth.	B	Interest	K	T					
28. Bond - Pomona Cal. Redev. Agy Tax Alloc.	A	Interest	J	T					
29. Bond - Placentia CA Redev Agy Hsg	A	Interest	K	T					
30. Bond - Cudahy CA Redev Agy	A	Interest	K	T					
31. Bond - Kern Vy Healthcare Dist CA	A	Interest	J	T					
32. Bond - Bell Comnty Redev Agy CA Tax	A	Interest	J	T					
33. Common Stock - Microsoft	C	Dividend	L	T	Sold (part)	04/02/13	L	D	
34.					Sold (part)	11/01/13	L	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	11/14/13	K	D	
36. Bond - CA Edl Facs	A	Interest	J	T					
37. Bond - Woodland CA Commty Facs	A	Interest	J	T					
38. Bond - Yuba City CA Redev Agy	A	Interest	J	T					
39. Common Stock - Reading Int'l		None	K	T					
40. Common Stock - American Express	A	Dividend	L	T					
41. Common Stock - Berkshire Hathaway CL A		None	M	T					
42. Bond - Plumas CA Elem Sch Dist	A	Dividend	J	T					
43. Bond - Valley Sanitary Dist CA	A	Dividend	K	T					
44. Common Stock - Berkshire Hathaway CL B		None	M	T					
45. Common Stock - Farmers & Merchants Bank Long Beach	A	Dividend	K	T					
46. Common Stock - Oracle Corp.	A	Dividend	K	T					
47. Bond - Blythe CA Redev Agy	A	Interest	J	T					
48. Bond - Chula Vista CA Redev Agy	A	Interest	J	T					
49. Bond - Hercules CA Imp't Bd	A	Dividend	J	T					
50. Bond - Mojave Desert & Mtn CA Waste Mgmt	A	Dividend	K	T					
51. Bond-Woodland CA Redev Agy	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Bond-Holtville CA Redev Agy	A	Interest	J	T					
53. Bond-Riverbank CA Redev Agy	A	Interest	J	T					
54. Mutual Fund - Source Cap, Inc.	C	Dividend	L	T					
55. Corp. Bond - Leucadia Nat'l Corp.	C	Interest	L	T					
56. Bond - Long Beach CA Fin. Auth.	A	Interest	K	T					
57. Bond - Lancaster CA Fin. Auth.	A	Interest	J	T					
58. Bond - Indep. Cities CA Lease Fin. Auth.	A	Interest	J	T					
59. Bond - ABAG Fin. Auth. for Nonprofit	A	Interest	J	T					
60. Bond - ABAG Fin.Auth. Jackson Lab	A	Interest	K	T					
61. Bond - CA Stwde Cmnty Rev	A	Interest	K	T					
62. Bond - Banning CA Fin'g Auth	A	Interest	J	T					
63. Bond - Bakersfield CA Impt	A	Interest	J	T					
64. Bond - Capistrano CA Uni Sch Dist	A	Interest	J	T					
65. Bond - Daly City CA Hsg Dev	B	Interest	K	T					
66. Bond - LaQuinta CA Fin'g Auth	A	Interest	K	T					
67. Bond - CA Stwde Comntys Dev Auth	A	Interest	K	T					
68. Common Stock - Google		None	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. Corp. Bond - Autozone	B	Interest			Redeemed	06/13/13	K		
70. Corp. Bond - Dell Inc.	B	Interest			Redeemed	04/15/13	K		
71. Corp. Bond - Walt Disney	C	Interest			Redeemed	10/01/13	L		
72. Bond-CA St. G.O. Unltd.	A	Interest	J	T					
73. Bond-Lake Elsinore CA Pub Fin'g	A	Interest	J	T					
74. Bond-CA St. Ref. G.O. Unltd.	A	Interest	K	T					
75. Bond-Sacto Cty Ca Arpt Sys	A	Interest	J	T					
76. Common Stock-Johnson & Johnson	B	Dividend	K	T					
77. Common Stock - Clorox	A	Distribution	K	T	Sold (part)	04/02/13	K	C	
78. Common Stock - Merck & Co.	B	Dividend	K	T					
79. Bank Acct. - Provident Credit Union	A	Interest	K	T					
80. Common Stock - Chevron Corp.	B	Dividend	K	T					
81. Common Stock - Conoco Phillips	B	Dividend	K	T					
82. Corp. Bond - Dow Chemical	C	Interest	L	T					
83. Mutual Fund - FPA New Incom	D	Dividend	M	T					
84. Corp. Bond - Time Warner	B	Interest	L	T					
85. Mutual Fund - Dodge & Cox Income	C	Dividend	M	T	Buy (add'l)	02/04/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Bond - Chula Vista CA Elem Sch Dist	A	Interest	J	T					
87. Bond - Chino CA Redev Agcy	A	Interest	K	T					
88. Bond - Univ CA Regents Med Ctr	A	Interest	J	T					
89. Bond - San Juan CA Uni Sch Dist	A	Interest			Redeemed	08/01/13	J	A	
90. Corp. Bond - G.E. Cap. Corp.	C	Interest	L	T					
91. Common Stock - Leucadia Nat'l	A	Dividend	L	T	Buy (add'l)	11/14/13	L		
92. Common Stock - Century Link	B	Dividend	K	T					
93. Common Stock - Apple Inc.	B	Dividend	L	T					
94. Bond Fund - T. Rowe Price	A	Dividend	K	T					
95. Corp. Bond - Hewlett-Packard	B	Interest	K	T					
96. Corp. Bond - Pitney Bowes	B	Interest	L	T					
97. Corp. Bond - CSX Corp.	B	Interest	L	T					
98. Corp. Bond - Equity Res. Props	C	Interest	L	T					
99. Corp. Bond - Anheuser Busch	B	Interest	L	T					
100. Corp. Bond - Internat'l Paper	B	Interest	L	T					
101. Corp. Bond - Wells Fargo	C	Interest	L	T					
102. Bank Deposit Acct. - Raymond James	A	Interest	O	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. Corp. Bond - Alcoa	A	Interest			Sold	01/03/13	L	A	
104. Common Stock - Phillips 66	A	Dividend	K	T					
105. Money Mkt Acct - Southwest Securities		None	K	T					
106. Common Stock - Nat'l Oilwell VARCO, Inc.	A	Dividend	K	T	Buy	11/14/13	K		
107. Common Stock - Nordstrom, Inc.	A	Dividend	K	T	Buy	11/01/13	K		
108. Common Stock - Qualcomm, Inc.	A	Dividend	L	T	Buy	04/02/13	L		
109. Corp. Bond - Bank of America	B	Interest	K	T	Buy	02/04/13	K		
110. Corp. Bond - Bank of America	A	Interest	K	T	Buy	02/07/13	K		
111. Bond - Rowland CA Uni Sch. Dist.		None	J	T	Buy	09/10/13	J		
112. Bond - Dept. of Vets Affairs Home Purch. Rev. A	A	Interest	J	T	Buy	07/03/13	J		
113. Bond - San Geronio Mem. Healthcare	A	Interest	K	T	Buy	03/12/13	K		
114. Common Stock - Crimson Wine Group Ltd.		None	J	T	Spinoff (from line 91)	02/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

CHESNEY, MAXINE M.

Date of Report

04/28/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MAXINE M. CHESNEY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544