

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAuliffe, Steven J.	2. Court or Organization U.S. District Court - New Hampshire	3. Date of Report 05/12/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Warren B. Rudman U.S. Courthouse 55 Pleasant Street, Room 416 Concord, N.H. 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	New Hampshire Bar Foundation
2.	Director	Challenger Center for Space Science Education
3.	Trustee	Trust #1
4.	Partner	Piglet (Neighborhood Investment Club)
5.	Member	Shumac, LLC
6.	Member	Pleasant Lake Tributaries, LLC
7.	Member	Pleasant Lake Cottages, LLC

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accts Merrimack County Savings Bank	A	Interest	J	T					
2. IRA American Funds: Investment Company of America	B	Dividend	L	T					
3. IRA American Funds: Washington Mutual Investors Fund	A	Dividend	J	T					
4. ExxonMobil Corp	A	Dividend	J	T					
5. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
6. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	J	T					
7. -UBS RMA Cash Account	A	Interest	J	T					
8. -Guided Therapeutics Inc		None			Sold	06/08/15	J	A	
9. -Facebook Inc	A	Dividend	J	T					
10. -Autodesk Inc	A	Dividend	J	T					
11. -Ambarella Inc	A	Dividend			Sold	08/21/15	J	D	
12. -InvenSense Inc	A	Dividend			Sold	08/12/15	J	A	
13. -Allegiant	A	Dividend			Sold	04/07/15	J	A	
14. -Exact Sciences Corp		None	J	T					
15. -Ford Motor Company	A	Dividend			Sold	07/08/15	J	A	
16. -Kandi Technologies		None	J	T					
17. -NXP Semiconductors	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. -Zoe's Kitchen Inc		None	J	T					
19. -Apple Inc	A	Dividend	J	T	Buy	09/02/15	J		
20. -Amazon		None	J	T	Buy	11/04/15	J		
21. -Etsy		None	J	T	Buy	05/06/15	J		
22. -Gilead	A	Dividend	J	T	Buy	07/08/15	J		
23. -Skyworks Solar	A	Dividend	J	T	Buy	09/02/15	J		
24. -Solar City Corp		None	J	T	Buy	05/06/15	J		
25. CVS Health Corp.	A	Dividend	J	T					
26. IRA iShares Russell Fund	B	Dividend	K	T					
27. IRA Midcap SPDR TR	B	Dividend	L	T					
28. UBS Bank USA Dep Acct	A	Dividend	N	T					
29. Merrimack County Savings Bank NOW Acct (2)	A	Interest	J	T					
30. Enterprise Products Partners LP	B	Dividend	K	T					
31. iShares TR Russell 2000 Index Fund	B	Dividend	L	T					
32. IRA iShares Russell Midcap	A	Dividend	K	T					
33. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	J	T					
34. Apple Inc	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Johnson & Johnson Inc	A	Dividend			Sold	01/15/15	J	A	
36. Northern Trust Prime Obligation Fund 9/1	A	Dividend	J	T					
37. Simon Property Group Inc	B	Dividend	K	T					
38. Florida St Brd Ed Pub Ed Cap	B	Interest	K	T					
39. iShares iBoxx Invst Grade DE Corp Bond Fund	B	Dividend	K	T					
40. Tennessee St Ser A	A	Interest	K	T					
41. Fairfax Cty VA Pub Ser A	B	Interest	K	T					
42. First Colebrook Bank	A	Dividend	J	T					
43. Danaher Corp	A	Dividend	J	T					
44. Alphabet Inc. CL A (Exchange for Google Inc.)	A	Dividend	J	T					
45. JP Morgan Chase & Company	A	Dividend	J	T					
46. Oracle Corp	A	Dividend	J	T					
47. Starbucks Corp	A	Dividend	J	T	Sold (part)	07/20/15	J	A	
48. iShare MSCI EAFE Index Fund	B	Dividend			Sold	12/16/15	K	A	
49. NH St Genl Ob Ser A	A	Interest			Sold	04/15/15	K	A	
50. NH Muni Bond Ser B	A	Interest			Sold	08/15/15	K	A	
51. TJX Companies Inc	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. VISA Inc	B	Dividend	K	T					
53. Qualcomm Inc	A	Dividend			Sold	10/23/15	J	A	
54. Alphabet Inc. CL A and CL C (Exchange for Google Inc.)	A	Dividend	K	T					
55. Home Depot Inc	A	Dividend	L	T					
56. General Electric Company	A	Dividend			Sold	03/06/15	K	D	
57. Henderson Global Equity	A	Dividend	K	T					
58. Brown-Forman Corp	A	Dividend			Sold	03/23/15	K	E	
59. Chevron Corp (UBS)	A	Dividend			Sold	02/03/15	K	A	
60. iShares Trust Russell 1000	A	Dividend	K	T					
61. Abbott Laboratories	A	Dividend			Sold	01/15/15	J	A	
62. American Express Company	A	Dividend			Sold	01/15/15	J	A	
63. Celgene Corp	B	Dividend	K	T					
64. AbbVie Inc	A	Dividend			Sold	01/15/15	J	A	
65. Michael Kors	A	Dividend			Sold	04/14/15	J	A	
66. Cerner Corp		None	J	T					
67. Chubb Corp	A	Dividend	K	T					
68. Gilead Sciences Inc	A	Dividend	K	T					

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69. Henderson Global FDS Euro	A	Dividend	K	T					
70. Washington Prime Group Inc Com (Name Changed to WP Glimcher Inc REIT)	A	Dividend	J	T					
71. Biogen Inc	A	Dividend	K	T					
72. British American Tobacco PLC	A	Dividend	K	T					
73. Cigna Corp	B	Dividend			Sold	06/15/15	L	E	
74. HCA Holdings Inc	B	Dividend	K	T					
75. International Paper Company	A	Dividend			Sold	12/16/15	K	A	
76. Parexel International Corp	A	Dividend	K	T					
77. Veritiv Corp Com		None	J	T					
78. SPDR Barclays Convertible Securities	A	Dividend			Sold	12/16/15	K	A	
79. SPDR S&P MidCap 400 ETF TR	C	Dividend	L	T					
80. Technology Sector SPDR Trust SHS	B	Dividend	K	T					
81. Johnson County Kansas Water District #1 Bond	A	Interest	K	T					
82. Brentwood Tennessee G.O. Bond	A	Interest	K	T					
83. Minn St Colleges & SR A Revenue Bond	A	Interest	K	T					
84. Vermont Municipal Bond Bank SRL Revenue Bond	A	Interest	K	T					
85. Pimco Real Return Fund Class A	A	Dividend	K	T					

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86. Calamos Market Neutral Income Fund Class A	A	Dividend	K	T					
87. First Eagle Global Fund Class A	A	Dividend	K	T					
88. IRA Henderson Global Investors European Focus Fund	B	Dividend	L	T					
89. Shumac LLC 50% Int (Holds Lake Property in Merrimack County, NH)		None	N	W					
90. Pleasant Lake Tributaries, LLC (Real Estate)		None	K	W					
91. Pleasant Lake Cottages, LLC (Real Estate)		None	L	W					
92. R.P. Jobin, Personal Loan		None	K	T					
93. Oppenheimer Developing Markets	B	Dividend			Sold	12/18/15	K	B	
94. Ivy International Core Equity	B	Dividend			Sold	12/18/15	L	A	
95. Convergence Core Plus Institutional	B	Dividend			Sold	07/16/15	J	A	
96. Delaware Small Cap Value Institutional	B	Dividend			Sold	04/10/15	K	A	
97. Disney	A	Dividend	J	T					
98. National Oilwell Varco	A	Dividend			Sold	04/14/15	J	A	
99. Vanguard Information Technology ETF	A	Dividend			Sold	10/23/15	J	A	
100. Verizon Communications Inc	A	Dividend	J	T					
101. VMware Inc Class A	A	Dividend			Sold	10/23/15	J	A	
102. Walgreens Boots Alliance Inc. (Name Change)	A	Dividend	J	T					

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103. Wells Fargo & Company	A	Dividend	J	T					
104. PNC Financial Services Group	A	Dividend	J	T					
105. PepsiCo Inc	A	Dividend	J	T					
106. T. Rowe Price	A	Dividend			Sold	10/23/15	J		
107. Priceline Group		None	J	T					
108. Procter & Gamble Company	A	Dividend			Sold	04/14/15	J	A	
109. Salesforce.com Inc		None	J	T					
110. Schlumberger Ltd	A	Dividend			Sold	12/22/15	J	A	
111. Simon Property Group Inc	A	Dividend			Sold	07/20/15	J	A	
112. Tesoro Petroleum Corp	A	Dividend			Sold	07/20/15	J	A	
113. Thermo Fisher Scientific Inc	A	Dividend	J	T					
114. Union Pacific Corp	A	Dividend			Sold	12/22/15	J	A	
115. United Technologies Corp	A	Dividend			Sold	10/23/15	J	A	
116. UnitedHealth Group	A	Dividend	J	T					
117. EOG Resources Inc	A	Dividend	J	T					
118. Express Scripts Holding Company	A	Dividend	J	T					
119. FedEx Corp	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ford Motor Company	A	Dividend			Sold	07/20/15	J	A	
121. General Electric Company	A	Dividend	J	T					
122. Gilead Sciences Inc	A	Dividend	J	T					
123. International Business Machines	A	Dividend			Sold	04/14/15	J	A	
124. Lowe's Companies Inc	A	Dividend	J	T					
125. MetLife Inc	A	Dividend			Sold	04/14/15	J	A	
126. Microsoft Corp	A	Dividend	J	T					
127. Nike Inc Class B	A	Dividend	J	T					
128. Occidental Petroleum Company	A	Dividend			Sold	01/15/15	J	A	
129. Barrick Gold Corp	A	Dividend			Sold	01/15/15	J	A	
130. Berkshire Hathaway Inc		None	J	T					
131. Boeing Company	A	Dividend	J	T					
132. Cerner Corp	A	Dividend	J	T					
133. Chubb Corp	A	Dividend	J	T					
134. Costco Wholesale Corp	A	Dividend	J	T					
135. Dominion Resources Inc	A	Dividend	J	T					
136. E.I. DuPont de Nemours & Company	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vanguard FTSE Europe ETF	A	Dividend			Buy	03/23/15	K		
138. Vanguard FTSE Europe ETF	A	Dividend			Sold	12/16/15	K	A	
139. Kroger	A	Dividend	J	T	Buy	04/14/15	J		
140. SPDR S&P Midcap 400	A	Dividend	K	T	Buy	04/14/15	K		
141. Ecolab, Inc	A	Dividend	J	T	Buy	04/14/15	J		
142. Chevron Corp	A	Dividend	J	T	Buy	07/20/15	J		
143. Medtronic Holding Ltd	A	Dividend	J	T	Buy	01/30/15	J		
144. Chipotle Mex. Grill		None			Buy	07/20/15	J		
145. Chipotle Mex. Grill		None			Sold	12/22/15	J	A	
146. Vanguard REIT ETF	A	Dividend	J	T	Buy	03/20/15	J		
147. Facebook Inc	A	Dividend	J	T	Buy	10/23/15	J		
148. Avago Tech Ltd	A	Dividend	J	T	Buy	10/23/15	J		
149. Vanguard FTSE Dev Mkt		None	L	T	Buy	12/22/15	L		
150. Vanguard Index FDS S&P ETF		None	K	T	Buy	12/22/15	K		
151. iShares Core MSCI Emerg Mkts		None	K	T	Buy	12/22/15	K		
152. Vanguard Index FDS Sm Cap		None	K	T	Buy	12/22/15	K		
153. Northern Trust Prime Obligation Fund 9/1 [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Johnson & Johnson [C]		None			Sold	01/15/15	J	A	
155. Deere & Company [C]	A	Dividend			Sold	10/23/15	J	A	
156. Apple Inc [C]	B	Dividend	K	T					
157. Colgate-Palmolive Company [C]	A	Dividend	J	T					
158. Danaher Corp [C]	B	Dividend	K	T					
159. E.I. DuPont de Nemours & Company [C]	A	Dividend	J	T					
160. JP Morgan Chase & Company [C]	A	Dividend	K	T					
161. Nike Inc [C]	B	Dividend	K	T					
162. Oracle Corp [C]	A	Dividend	K	T					
163. Procter & Gamble Company [C]	A	Dividend			Sold	04/14/15	K	B	
164. Spectra Energy Corp [C]	A	Dividend			Sold	04/14/15	J	A	
165. Starbucks Corp [C]	A	Dividend			Sold	07/20/15	J	A	
166. UnitedHealth Group [C]	A	Dividend	K	T					
167. Wells Fargo & Company [C]	A	Dividend	K	T					
168. UBS Pace Money Market Investments Fund Class P [K]	A	Dividend	J	T					
169. F. Templeton Global Bond A [K]	A	Dividend	J	T					
170. Arbitrage Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. BlackRock Equity Dividend Fund [K]	A	Dividend	J	T					
172. Calamos Market Neutral Income Fund [K]	A	Dividend	J	T					
173. Calamos International Growth Fund [K]	A	Dividend	J	T					
174. Dreyfus Small Cap Value Fund [K]	A	Dividend	J	T					
175. Natixis Loomis Sayles Core Plus Bond Fund [K]	A	Dividend	J	T					
176. Royce Premier Fund [K]	A	Dividend	J	T					
177. Laudus Growth Inv US Large Cap Fund [K]	A	Dividend	J	T					
178. UBS Pimco College Fund 529(1) [K]	A	Interest	J	T					
179. UBS Pimco College Fund 529(2) [K]	A	Interest	K	T					
180. Fidelity Advisor Materials Fund Class A [K]	A	Dividend	J	T					
181. Invesco Developing Markets Fund Class A [K]	A	Dividend	J	T					
182. Oakmark International Fund [K]	A	Dividend	J	T					
183. Delaware Corporate Bond Fund A [K]	A	Dividend	J	T					
184. Ivy High Income Fund [K]	A	Dividend	J	T					
185. Metropolitan West Total Return Bond Fund [K]	A	Dividend	J	T					
186. Pimco Real Return Fund [K]	A	Dividend	J	T					
187. RidgeWorth High Grade Municipal Bond Fund [K]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. T. Rowe Price Tax Free Short Intermediate Fund [K]	A	Dividend	J	T					
189. TCW Emerging Markets Income Fund [K]	A	Dividend	J	T					
190. MainStay Marketfield Fund [K]	A	Dividend	J	T					
191. First Eagle Global Fund [K]	A	Dividend	J	T					
192. Prudential Financial Inc [C]	A	Dividend			Sold	10/28/15	J	A	
193. S&P 400 Midcap Index [C]	A	Dividend			Sold	01/22/15	J	A	
194. Cummins Inc [C]	A	Dividend			Sold	10/23/15	J	A	
195. BlackRock Equity Dividend Fund A [C]	A	Dividend	K	T					
196. Harbor International Fund Investor Class [C]	A	Dividend	J	T					
197. Henderson Global Investors International Opportunities Fund A [C]	A	Dividend	J	T					
198. Voya Global Real Estate Fund Class A [C] (Name Change)	A	Dividend	J	T					
199. John Hancock Large Cap Equity Fund Class A [C]	A	Dividend	K	T					
200. Neuberger Berman Genesis Fund Investor Class [C]	A	Dividend	J	T					
201. Oppenheimer Developing Markets Fund Class A [C]	A	Dividend	J	T					
202. Royce Premier Fund [C]	A	Dividend	J	T					
203. F. Templeton Global Bond A [C]	A	Interest	K	T					
204. Pimco Total Return Fund Class A [C]	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Wilmington Short/Intermediate-Term Bond Fund [C]	B	Dividend	K	T					
206. Aberdeen Equity Long-Short Fund Class A [C]	A	Dividend	J	T					
207. JP Morgan Multi-cap Market Neutral Fund [C]	A	Dividend	J	T					
208. Van Eck Global Hard Assets Fund Class A [C]	A	Dividend	J	T					
209. VISA Inc [C]	A	Dividend			Sold	04/14/15	J	A	
210. Qualcomm Inc [C]	A	Dividend			Sold	10/23/15	J	B	
211. Celgene Corp [C]	B	Dividend	K	T					
212. AbbVie Inc [C] (From Abbott Labs)		None			Sold	01/15/15	J	A	
213. JP Morgan Perpetual Preferred [C]	A	Dividend			Sold	10/23/15	J	A	
214. Michael Kors [C]	A	Dividend			Sold	04/14/15	J	A	
215. Barrick Gold Corp [C]		None			Sold	01/16/15	J	A	
216. Berkshire Hathaway Inc [C]		None	J	T					
217. Chubb Corp [C]	A	Dividend	J	T					
218. Costco Wholesale Corp [C]	A	Dividend	J	T					
219. Disney [C]	A	Dividend	J	T					
220. Dominion Resources [C]	A	Dividend	J	T					
221. EOG Resources Inc [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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222. ExxonMobil Corp [C]	A	Dividend	J	T					
223. Ford Motor Company [C]	A	Dividend			Sold	07/20/15	J	A	
224. General Electric Company [C]	A	Dividend	J	T					
225. Lowe's Companies Inc [C]	A	Dividend	J	T					
226. MetLife Inc [C]	A	Dividend			Sold	04/14/15	J	A	
227. Morgan Stanley [C]		None			Sold	01/14/15	J	A	
228. National Oilwell Varco [C]	A	Dividend			Sold	04/14/15	J	A	
229. Occidental Petroleum Corp [C]		None			Sold	01/15/15	J	A	
230. PNC Financial Services Group [C]	A	Dividend	J	T					
231. PepsiCo Inc [C]	A	Dividend	J	T					
232. Priceline Group Inc [C]	A	Dividend	J	T					
233. Schlumberger Ltd [C]	A	Dividend			Sold	12/22/15	J	A	
234. Simon Property Group Inc [C]	A	Dividend			Sold	07/20/15	J	A	
235. T. Rowe Price Group Inc [C]	A	Dividend			Sold	10/23/15	J	A	
236. Tesoro Petroleum Corp [C]	A	Dividend			Sold	07/20/15	J	A	
237. TJX Companies [C]	A	Dividend	J	T					
238. Verizon Communications Inc [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Walgreen Company [C] Now Walgreens Boots Alliance, Inc	A	Dividend	J	T					
240. Oppenheimer Developing Markets [C]	A	Dividend			Sold	12/18/15	K	B	
241. Ivy International Core Equity [C]	A	Dividend			Sold	12/18/15	L	D	
242. Convergence Core Plus Institutional [C]	A	Dividend			Sold	07/20/15	K	C	
243. Delaware Small Cap Value [C]	A	Dividend			Sold	04/10/15	J	A	
244. Boeing Co [C]	A	Dividend	J	T	Buy	01/15/15	J		
245. Ameriprise Fin Inc [C]	A	Dividend	J	T	Buy	10/23/15	J		
246. Avago Tech Ltd [C]		None	J	T	Buy	10/23/15	J		
247. Chevron Corp [C]	A	Dividend	J	T	Buy	07/20/15	J		
248. Citigroup Inc [C]	A	Dividend	J	T	Buy	10/23/15	J		
249. Ecolab Inc [C]	A	Dividend	J	T	Buy	10/23/15	J		
250. Facebook Inc [C]		None	J	T	Buy	10/23/15	J		
251. Kroger Co [C]	A	Dividend	J	T	Buy	04/14/15	J		
252. Vanguard Mid Cap FD [C]	A	Dividend			Buy	04/01/15	J		
253. Vanguard Mid Cap FD [C]	A	Dividend			Sold	12/22/15	J		
254. iShares Core MSCI Emerging Mkts [C]		None	K	T	Buy	12/22/15	K		
255. SPDR DJ Wilshire REIT [C]	B	Dividend	K	T	Buy	04/14/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Vanguard FTSE Dev Mkt [C]		None	L	T	Buy	12/22/15	L		
257. Vanguard Index FDS S&P ETF [C]		None	K	T	Buy	12/22/15	K		
258. Vanguard Index FDS Small Cap [C]		None	K	T	Buy	12/22/15	K		
259. Vanguard REIT ETF [C]	A	Dividend	K	T	Buy	07/20/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 7. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by "-").

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven J. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544