

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Caldwell, William W	2. Court or Organization U.S.D.C. Middle Dist. of PA	3. Date of Report 5/02/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O.Box 11877 Harrisburg, PA 17108-1877	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.	Commonwealth of PA - 2006 Retirement income	\$ 19,368.60
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fulton Financial Corp. stock	F	Dividend	P1	T					
2. Fulton Financial Corp. stock					Part.Sold	8/25	J	D	See section VIII
3. Fulton Financial Corp. stock					Part. Sold	8/30	J	D	
4. Fulton Financial Corp. stock					Part. Sold	9/11	K	E	
5. Fulton Financial Corp. stock					Part. Sold	9/28	K	E	
6. Fulton Financial Corp. stock					Part. Sold	9/29	J	D	
7. Fulton Financial Corp. stock					Part. Sold	10/30	K	E	
8. Fulton Financial Corp. stock					Part. Sold	11/7	K	E	
9. Fulton Financial Corp. stock					Part. Sold	11/13	K	E	
10. Fulton Financial Corp. stock					Part. Sold	11/15	J	D	
11. Fulton Financial Corp. stock					Part. Sold	11/21	K	E	
12. Fulton Financial Corp. stock					Part. Sold	12/5	K	E	
13. Allied Irish Bank stock	D	Dividend	N	T					
14. Intel Corp. stock	A	Dividend	L	T					
15. Smith-Barney Bond Fund	D	Interest	M	T					
16. Tyco International stock	A	Dividend	J	T	Part. Sold	9/15	J		
17. Colgate Palmolive stock	A	Dividend			Sold	1/20	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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18. Harsco Corp. stock	A	Dividend	K	T					
19. Actuant Corp. stock		None	J	T					
20. Dentsply Intern. stock	A	Dividend	J	T					
21. Maxim Integrated stock	A	Dividend	J	T					
22. Maxim Integrated stock	A	Dividend	J	T	Buy	9/15	J		
23. Carlisle Corp. stock	A	Dividend	K	T					
24. Pfizer stock	A	Dividend			Sold	11/27	J		
25. Safeguard Scientific stock		None			Sold	11/27	J		
26. Hewlett-Packard stock	A	Dividend	J	T					
27. Johnson & Johnson stock	A	Dividend	K	T					
28. Smith Barney - Money market Acct.	A	Interest			Cashed	3/20	L		
29. Dell, Inc. stock		None			Sold	11/27	J		
30. Tidewater, Inc. stock	A	Dividend	J	T					
31. Tidewater, Inc. stock	A	Dividend	J	T	Buy	9/15	J		
32. Sunrise Senior Living stock		None	K	T					
33. Capital World Growth Fund	A	Dividend	K	T					
34. Income Fund of America	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4).

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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35. Investment Co. of America Fund	A	Dividend	K	T					
36. Littelfuse, Inc. stock		None	J	T					
37. Zimmer Holdings, Inc. stock		None	J	T					
38. Zimmer Holdings Inc. stock		None	J	T	Buy	1/20	J		
39. EuroPacific Growth Fund	A	Dividend	J	T	Buy	4/4	J		
40. GMO Foreign Fund	A	Dividend	J	T	Buy	5/3	J		
41. Blairsville-Saltzburg School District - Bond	A	Interest	J	T	Buy	9/11	J		
42. Eastern York School District - Bond	A	Interest	J	T	Buy	9/20	J		
43. Hanover Public School District - Bond	A	Interest	K	T	Buy	10/10	K		
44. Fox Chapel Area School District - Bond	A	Interest	J	T	Buy	12/1	J		
45. Shaler Area School District - Bond	A	Interest	K	T	Buy	12/28	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Lines 2 through 12 of Section VII

I have not reported the income attributable to each asset that was sold.

Total income received in 2006 from Fulton Financial Corp. is reported on line 1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/2/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544