

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tharp, John J.	2. Court or Organization District Court, Northern District of Illinois	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court Everett McKinley Dirksen United States Courthouse 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Mayer Brown LLP - Payment of remaining compensation due through June 1, 2012 (last day with firm; final amount payable in 2013)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Mayer Brown LLP, Partnership Income (prior to judicial appointment)	\$54,282.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Janus & Associates, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judicial Center	02/03/2013 - 02/08/2013	Washington D.C.	Judicial Training	Airfare, per diem,
2. Administrative Office of the United States Courts	05/05/2013 - 05/07/2013	Indianapolis, IN	7th Circuit Judicial Conference	Mileage, Parking, Hotel, Meals
3. Federal Judicial Center	05/20/2013 - 05/24/2013	Berkeley, CA	Intellectual Property Seminar	Airfare, per diem, baggage fee, cab
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	United Pacific Life	Loan #1	K
2.	United Pacific Life	Loan #2	K
3.	JP Morgan Chase	Credit Card	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T (common stock)	D	Dividend	M	T					
2. Bright Start 529 Plan Blended Age Based 18 Years		None	K	T					
3. CenterPoint Energy (common stock)	B	Dividend	K	T					
4. Coca-Cola (common stock)	A	Dividend	K	T					
5. Columbia Strategic Investor Fund-Z	A	Dividend	J	T					
6. DuPont (common stock)	B	Dividend	L	T					
7. General Electric (common stock)	A	Dividend	K	T					
8. Harley-Davidson (common stock)	A	Dividend	K	T					
9. Hershey Foods (common stock)	B	Dividend	M	T					
10. Home Depot (common stock)	A	Dividend	K	T					
11. IBM (common stock)	C	Dividend	N	T					
12. JP Morgan Chase Bank Accounts	A	Interest	M	T					
13. Merrill Lynch Bank Deposit Program	A	Interest	J	T					
14. Northwestern Mutual Insurance Policies (Whole Life)	C	Dividend	M	T					
15. Pfizer (common stock)	C	Dividend	M	T					
16. NRG Energy (common stock)	A	Dividend	J	T					
17. United Pacific Life Ins. (Universal Life; no control) (nka Genworth)	D	Dividend	M	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. U.S. Savings Bonds		None	K	T					
19. Vanguard Dividend Growth Fund	A	Dividend	L	T					
20. Vanguard Energy Fund	A	Dividend	K	T					
21. Vanguard Information Tech ETF	A	Dividend	L	T	Buy (add'l)	04/15/13	K		
22. Vanguard International Value Fund	B	Int./Div.	L	T					
23. Vanguard Long-Term Tax Exempt Bond Fund	B	Dividend	K	T					
24. Vanguard S&P 500 Index Fund	C	Dividend	M	T					
25. Walgreen Co. (common stock)	A	Dividend	K	T					
26. Wal-Mart (common stock)	B	Dividend	L	T					
27. Walt Disney (common stock)	B	Dividend	L	T					
28. IRA #1	C	Int./Div.	M	T					
29. --Vanguard Total International Stock Index Fund									
30. --Vanguard Total Stock Market Index Fund									
31. --Vanguard Total Bond Market Index Fund									
32. IRA #2	A	Int./Div.	K	T					
33. --Vanguard Total International Stock Index Fund									
34. --Vanguard Total Stock Market Index Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Vanguard Total Bond Market Index Fund									
36. IRA #3	D	Dividend	M	T					
37. --Fidelity Magellan Fund									
38. IRA #4	A	Int./Div.	K	T					
39. --500 Index Fund									
40. IRA #5	E	Int./Div.	P1	T					
41. --CIVIX - MFO Causeway Cap Mgmt Tr Intl Value FD Instl Cl									
42. --DFCEX - MFO DFA Invt. Dimensions Group Inc Emerging Mkts									
43. --DFEVX - MFO DFA Emerging Markets Value									
44. --DFITX - MFO DFA Invt Dimensions Group Inc Intl Real Estate					Buy (add'l)	01/09/13	J		
45. --DFREX - MFO DFA Invt Dimensions Group Inc. Real Estate					Buy (add'l)	01/09/13	J		
46. --DVY - MFC IShares TR Dow Jones Select Divid Index Fund									
47. --GLD - MFC SPDR Gold TR Gold Shares					Sold	01/11/13	L	A	
48. --GUNR - MFC Flexshares TR Morningstar Global Upstream NRIF					Buy (add'l)	01/14/13	K		
49. --HLMIX - MFO Harding Loevner FDS Inc. Intl Equity Portfolio									
50. --NOMIX - MFB Northern Mid Cap Index Fund									
51. --NORXX - MFB Northern Funds Money Market Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --NOSIX - MFB Northern Funds Stock Index Fund									
53. --NSICX - MFB Northern Funds Small Cap Index Fund									
54. --PHIYX - MFO Pimco Funds Pac Invt Mgmt Ser HY Instl CL									
55. --PTTRX - MFO Pimco Funds Pac Invt Mgmt Ser Total Return FD									
56. --TDTF - MFC Flexshares Tr IBOXX 5 Yr Tgt Duration TIPS Indx									
57. IRA #6	C	Dividend	N	T					
58. --Fidelity Blue Chip Growth									
59. --Fidelity Independence									
60. IRA #7	A	Dividend	K	T					
61. --Fidelity Independence									
62. Trust #1	C	Dividend	K	T					
63. --The Dreyfus Fund					Sold (part)	02/26/13	J	A	
64.					Sold (part)	07/23/13	J	A	
65.					Sold (part)	10/22/13	J	A	
66. Trust #2	E	Dividend	O	T					
67. --Allstate (common)									
68. --American Electric Power (common)									

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69. --Anadarko Petroleum (common)									
70. --AT&T (common)									
71. --Bank of America (common)									
72. --Beam, Inc.(common)									
73. --Blackrock Global Allocation C									
74. --BP Amoco (ADR)									
75. --Conoco Phillips (common)									
76. --Dow Chemical (common)									
77. --Duke Energy (common)									
78. --DuPont (common)									
79. --Exelon (common)									
80. --Exxon (common)									
81. --Fortune Brands Home & Security (common)									
82. --Heinz (common)					Sold	06/10/13	K	D	
83. --Hershey (common)									
84. --Ivy Asset Strategy									
85. --JP Morgan Chase Cash Accounts									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86. --Lincoln National Group (common)									
87. --Merck (common)									
88. --Monsanto (common)									
89. --Pfizer (common)									
90. --Phillips 66 (common)									
91. --Spectra Energy (common)									
92. --Sterling Cap FDS Mid Value FD (OVECX)					Buy	05/28/13	K		
93. --Walgreen's (common)									
94. Trust #3	D	Dividend	N	T					
95. --3-M (common)									
96. --Allstate (common)									
97. --Bank of America (common)									
98. --Dow Chemical (common)									
99. --Exelon (common)									
100. --Exxon (common)									
101. --IBM (common)									
102. --JP Morgan Chase (common)									

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103. --JP Morgan Chase Cash Account									
104. --Lincoln National Corp. (common)									
105. --Pfizer (common)									
106. --Sherwin Williams (common)									
107. Trust #4	E	Dividend	O	T					
108. --3-M (common)									
109. --Exxon Mobil (common)									
110. --McDonald's (common)									
111. --JP Morgan Chase Cash Account									
112. Trust #5	C	Int./Div.	M	T					
113. --JP Morgan Chase Cash Account									
114. --25% of Moles Family Partnership									
115. ---NOTEX-Northern Funds Tax Exempt Fund									
116. ---NSIDX-Northern Funds Sall Cap Index Fund									
117. ---NOSIX-Northern Funds Stock Index Fund									
118. ---NOMIX-Northern Mid Cap Index Fund									
119. ---TDTF-Flexshares TR IBOX 5 yr Tgt TIPS Index Fd									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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120. ---DVMY-ISHares Tr Dow Jones Select Divid Index Fd									
121. ---GLD-Spdr Gold Tr Gold Shares					Sold (part)	06/28/13	J	A	
122. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx									
123. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf									
124. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf									
125. ---PHYIX-Pimco Funds Pac Invt Mgmt Ser HY Fund									
126. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Ptf									
127. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd									
128. ---DFREX-Invt Dimensions Gropu Real Estae Secs Ptf									
129. ---PCRIX-Pimco Pac Invt Mgmt Ser Commodity Real Trn Stgy Fd					Sold (part)	01/25/13	J	A	
130. ---DFEVX-Emerging Markets Value Fd									
131. Trust #6	D	Distribution	O	W					
132. --Farm land, Christian County, Illinois									
133. --JP Morgan Chase Cash Account									
134. Trust #7	F	Dividend	P1	T					
135. --Aston Optimum Mid Cap Fund 1 (ABMIX)					Buy (add'l)	01/30/13	J		
136. --Delaware Emerging Markets Fund (DEMI)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Eaton Vance Global Macro I Fund (EIGMX)					Sold	12/19/13	J	A	
138. --iShares Russell MidCap Index Fund (IWR)									
139. --iShares MSCI EAFE Index Fund (EFA)					Buy (add'l)	10/28/13	K		
140. --JP Morgan High Yield Fund - Sel					Sold	10/28/13	J	A	
141. --JP Morgan Inter. Tax Free Bond Fund - Sel (Fund 1374)					Buy (add'l)	08/09/13	J		
142.					Buy (add'l)	12/13/13	J		
143. --JP Morgan Market Expansion Index Fund (PGMIX)					Buy (add'l)	12/13/13	J		
144. --JP Morgan Str Opp Fund (JSOSX)					Buy (add'l)	07/24/13	J		
145. --Spider Gold Trust					Sold (part)	06/05/13	K	D	
146.					Sold (part)	10/24/13	J	A	
147.					Sold	10/25/13	J	A	
148. --T Rowe Price New Asia Fund (PRASX)					Buy (add'l)	02/01/13	J		
149.					Buy (add'l)	12/19/13	J		
150. --Vanguard FTSE Emerging Markets ETF (VWO)					Sold	08/05/13	K	A	
151. --Condominium Unit (real estate), Sarasota County, Florida									
152. --Dreyfus Laurel Emg Mkt Debt Fd									
153. --Eaton Vance Floating Rate Advantage I (EIFAX)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --JPMorgan Int'l Currency Income Fd (JCIS)					Sold	07/24/13	J	A	
155. --Pimco Commodity Plus Strategy Fd (PCLPX)					Buy (add'l)	01/30/13	J		
156.					Buy (add'l)	10/28/13	J		
157. --Edgewood Growth Fd (EGFI)					Sold	02/01/13	J	C	
158. --Hartford Capital Appreciation Fd (ITHIX)					Buy (add'l)	12/17/13	J		
159. --JPMorgan Intrepid America Fd (JPIA)					Sold	04/16/13	K	C	
160. --JPMorgan Large Cap Growth Fd-Select (SEEGX)					Buy (add'l)	01/30/13	J		
161. --JPMorgan Large Cap Core Plus Fd-Select (JLPSX)					Buy (add'l)	01/30/13	J		
162.					Buy (add'l)	12/13/13	J		
163. --Neuberger Berman Multi Cap Opportunities Fd (NMULX)					Buy (add'l)	12/18/13	J		
164. --Primecap Odyssey Stock Fd (POSKX)									
165. --Spdr S&P 500 ETF Trust (SPY)									
166. --JPMorgan Intl Value Fd-Select (JNUSX)									
167. --MFS Intl Value Fd-I (MINIX)					Buy (add'l)	01/30/13	J		
168.					Buy (add'l)	12/16/13	J		
169. --RS Intl Growth Fd (X)					Sold (part)	04/16/13	K	D	
170.					Sold	04/29/13	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Vanguard MSCI Europe ETF (VGK)									
172. --iShares MSCI All Country Asia Ex Jpn (AAXJ)									
173. --AIM Invt Balanced Risk All Y Fd (ABRY)					Sold	12/18/13	J	A	
174. --Arbitrage Funds-I CL I (ARBFX)					Sold	10/28/13	K	A	
175. --Doubleline Total Return BD I					Sold	12/12/13	K	A	
176. --JPMorgan Short Term Institutional Muni Bond-Select (PGUI)					Sold	08/09/13	K	A	
177. --JPMorgan Realty Income Fd-INSTL (URTLX)					Sold	09/26/13	K	B	
178. --Capital Private Client SVCS Cap Non-US Equities (CNUSX)					Buy	05/01/13	K		
179. --Gateway Fund (GTEYX)					Buy	01/30/13	J		
180. --Goldman Sachs TR Strg Income Inst (GSZIX)					Buy	12/18/13	J		
181. --JP Morgan China Region Fund-Select Fund 3810 (JCHSX)					Buy	07/09/13	J		
182. --JP Morgan Global Res Enh Index Fund - Select Fund (JEITX)					Buy	04/16/13	K		
183.					Buy (add'l)	12/13/13	J		
184. --JP Morgan Intrepid Euro Fund - Instl (JFEIX)					Buy	10/28/13	J		
185. --JP Morgan Tax Free Instl Sweep Fund (#840)					Buy	11/18/13	J		
186. --Metropolitan West Funds Total Return CL I (MWTIX)					Buy	12/12/13	J		
187.					Buy (add'l)	12/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Oakmark Intl Fund-I (OAKIX)					Buy	08/05/13	J		
189.					Buy (add'l)	08/09/13	K		
190.					Buy (add'l)	12/23/13	J		
191. --Doubleline Total Ret Bd-I (DBLT)					Buy	07/24/13	J		
192.					Sold	12/12/13	K	A	
193. --Lazard Developing Equity-INS (LDMI)					Buy	02/01/13	K		
194.					Sold	08/09/13	K	A	
195. --Equinox Funds Trust Strategy I (EBSIX)					Buy	12/18/13	L		
196.					Buy (add'l)	12/19/13	K		
197. Trust #8	E	Dividend	O	T					
198. --Allstate (common)									
199. --American Electric Power (common)									
200. --Anadarko Petroleum (common)									
201. --AT&T (common)									
202. --Bank of America (common)									
203. --Beam, Inc. (common)									
204. --Blackrock Global Allocation C									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --BP Amoco (ADR)									
206. --Conoco Phillips (common)									
207. --Dow Chemical (common)									
208. --Duke Energy (common)									
209. --DuPont (common)									
210. --Exelon (common)									
211. --Exxon (common)									
212. --Fortune Brands Home & Security (common)									
213. --Heinz (common)					Sold	06/10/13	K	D	
214. --Hershey (common)									
215. --Ivy Asset Strategy									
216. --JP Morgan Chase Cash Accounts									
217. --Lincoln National Group (common)									
218. --Merck (common)									
219. --Monsanto (common)									
220. --Pfizer (common)									
221. --Phillips 66 (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --Spectra Energy (common)									
223. --Sterling Cap FDS Mid Value FD (OVECX)					Buy	05/28/13	K		
224. --Walgreen's (common)									
225. Trust #9	D	Dividend	N	T					
226. --3-M (common)									
227. --Allstate (common)									
228. --Bank of America (common)									
229. --Dow Chemical (common)									
230. --Exelon (common)									
231. --Exxon (common)									
232. --IBM (common)									
233. --JP Morgan Chase (common)									
234. --JP Morgan Chase Cash Account									
235. --Lincoln National Corp. (common)									
236. --Pfizer (common)									
237. --Sherwin Williams (common)									
238. Trust #10	E	Dividend	O	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --3-M (common)									
240. --Exxon Mobil (common)									
241. --McDonald's (common)									
242. --JP Morgan Chase Cash Account									
243. Trust #11	C	Int./Div.	M	T					
244. --JP Morgan Chase Cash Account									
245. --25% of Moles Family Partnership									
246. ---NOTEX-Northern Funds Tax Exempt Fund									
247. ---NSIDX-Northern Funds Sall Cap Index Fund									
248. ---NOSIX-Northern Funds Stock Index Fund									
249. ---NOMIX-Northern Mid Cap Index Fund									
250. ---TDTF-Flexshares TR IBOXX 5 yr Tgt TIPS Index Fd									
251. ---DVY-ISHares Tr Dow Jones Select Divid Index Fd									
252. ---GLD-Spdr Gold Tr Gold Shares					Sold (part)	06/28/13	J	A	
253. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx									
254. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf									
255. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. ---PHYIX-Pimco Funds Pac Invnt Mgmt Ser HY Fund									
257. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Prt									
258. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd									
259. ---DFREX-Invnt Dimensions Gropu Real Estae Secs Prt									
260. ---PCRIX-Pimco Pac Invnt Mgmt Ser Commodity Real Trn Stgy Fd					Sold (part)	01/25/13	J	A	
261. ---DFEVX-Emerging Markets Value Fd									
262. Trust #12	D	Dividend	N	T					
263. --DuPont (common)									
264. --General Electric (common)									
265. --IBM (common)									
266. --Pfizer (common)									
267. Trust #13	D	Dividend	O	T					
268. --Allstate (common)									
269. --American Electric Power (common)									
270. --Anadarko Petroleum (common)									
271. --AT&T (common)									
272. --Bank of America (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. --Beam, Inc. (common)									
274. --Blackrock Global Allocation C									
275. --BP Amoco (ADR)									
276. --Conoco Phillips (common)									
277. --Dow Chemical (common)									
278. --Duke Energy (common)									
279. --DuPont (common)									
280. --Exelon (common)									
281. --Exxon (common)									
282. --Fortune Brands Home & Security (common)									
283. --Hershey (common)									
284. --Ivy Asset Strategy									
285. --JP Morgan Chase Cash Accounts									
286. --Lincoln National Group (common)									
287. --Merck (common)									
288. --Monsanto (common)									
289. --Phillips 66 (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --Pfizer (common)									
291. --Spectra Energy (common)									
292. --Sterling Cap FDS Mid value FD (OVECX)					Buy	05/28/13	K		
293. Trust #14	D	Dividend	N	T					
294. --3-M (common)									
295. --Allstate (common)									
296. --Bank of America (common)									
297. --Dow Chemical (common)									
298. --Exelon (common)									
299. --Exxon (common)									
300. --IBM (common)									
301. --JP Morgan Chase (common)									
302. --JP Morgan Chase Cash Account									
303. --Lincoln National Corp. (common)									
304. --Pfizer (common)									
305. --Sherwin Williams (common)									
306. Trust #15	E	Dividend	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. --3-M (common)									
308. --Exxon Mobil (common)									
309. --McDonald's (common)									
310. --JP Morgan Chase Cash Account									
311. Trust #16	C	Int./Div.	O	T					
312. --JP Morgan Chase Cash Account									
313. --25% of Moles Family Partnership									
314. ---NOTEX-Northern Funds Tax Exempt Fund									
315. ---NSIDX-Northern Funds Sall Cap Index Fund									
316. ---NOSIX-Northern Funds Stock Index Fund									
317. ---NOMIX-Northern Mid Cap Index Fund									
318. ---TDTF-Flexshares TR IBOX 5 yr Tgt TIPS Index Fd									
319. ---DVY-ISHares Tr Dow Jones Select Divid Index Fd									
320. ---GLD-Spdr Gold Tr Gold Shares					Sold (part)	06/28/13	J	A	
321. ---GUNR-Flexshares TR Morningstar Global Upstream Nat Resl dx									
322. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf									
323. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. ---PHYIX-Pimco Funds Pac Invst Mgmt Ser HY Fund									
325. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Prt									
326. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd									
327. ---DFREX-Invst Dimensions Gropu Real Estae Secs Prt									
328. ---PCRIX-Pimco Pac Invst Mgmt Ser Commodity Real Trn Stgy Fd					Sold (part)	01/25/13	J	A	
329. ---DFEVX-Emerging Markets Value Fd									
330. Trust #17	E	Dividend	O	T					
331. --Allstate (common)									
332. --American Electric Power (common)									
333. --Anadarko Petroleum (common)									
334. --AT&T (common)									
335. --Bank of America (common)									
336. --Beam, Inc.									
337. --Blackrock Global Allocation C									
338. --BP Amoco (ADR)									
339. --Conoco Phillips (common)									
340. --Dow Chemical (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. --Duke Energy (common)									
342. --DuPont (common)									
343. --Exelon (common)									
344. --Exxon (common)									
345. --Fortune Brands Home & Security (common)									
346. --Heinz (common)					Sold	06/10/13	K	D	
347. --Hershey (common)									
348. --Ivy Asset Strategy									
349. --JP Morgan Chase Cash Accounts									
350. --Lincoln National Group (common)									
351. --Merck (common)									
352. --Monsanto (common)									
353. --Phillips 66 (common)									
354. --Pfizer (common)									
355. --Spectra Energy (common)									
356. --Sterling Cap FDS Mid Value FD (OVECX)					Buy	05/28/13	K		
357. --Walgreen's (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358. Trust #18	D	Dividend	N	T					
359. --3-M (common)									
360. --Allstate (common)									
361. --Bank of America (common)									
362. --Dow Chemical (common)									
363. --Exelon (common)									
364. --Exxon (common)									
365. --IBM (common)									
366. --JP Morgan Chase (common)									
367. --JP Morgan Chase Cash Account									
368. --Lincoln National Corp. (common)									
369. --Pfizer (common)									
370. --Sherwin Williams (common)									
371. Trust #19	E	Dividend	O	T					
372. --3-M (common)									
373. --Exxon Mobil (common)									
374. --McDonald's (common)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. --JP Morgan Chase Cash Account									
376. Trust #20	C	Int./Div.	M	T					
377. --JP Morgan Chase Cash Account									
378. --25% of Moles Family Partnership									
379. ---NOTEX-Northern Funds Tax Exempt Fund									
380. ---NSIDX-Northern Funds Sall Cap Index Fund									
381. ---NOSIX-Northern Funds Stock Index Fund									
382. ---NOMIX-Northern Mid Cap Index Fund									
383. ---TDTF-Flexshares TR IBOXX 5 yr Tgt TIPS Index Fd									
384. ---DVY-iShares Tr Dow Jones Select Divid Index Fd									
385. ---GLD-Spdr Gold Tr Gold Shares					Sold (part)	06/28/13	J	A	
386. ---GUNR-Flexshares TR Morningstar Global Upstream Nat ResIdx									
387. ---DFCEX-Invt Dimensions Group Emerging Mkts Core Eq Ptf									
388. ---DFITX-Invt Dimensions Group Int'l Real Estate Secs Ptf									
389. ---PHYIX-Pimco Funds Pac Invt Mgmt Ser HY Fund									
390. ---HLMIX-Harding Loevner Funds Inc Int'l Equity Ptf									
391. ---CIVIX-Causeway Cap Mgmt Tr Int'l Value Fd									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. ---DFREX-Invt Dimensions Gropu Real Estae Secs Prt									
393. ---PCRIX-Pimco Pac Invt Mgmt Ser Commodity Real Trn Stgy Fd					Sold (part)	01/25/13	J	A	
394. ---DFEVX-Emerging Markets Value Fd									
395. Trust #21	F	Int./Div.	P1	T					
396. --JPMORGAN CASH ACCOUNT									
397. --SPDR 500 ETF TR (SPY)					Buy (add'l)	06/26/13	L		
398.					Buy (add'l)	10/31/13	L		
399. --ISHARES TR RUSSEL MIDCAP INDEX FUND (IWR)					Buy (add'l)	06/11/13	K		
400. --VANGUARD INTL EQUITY INDEX FD EUROPE ETF (VGK)					Buy (add'l)	10/31/13	L		
401. --VANGUARD INTL EQUITY INDEX FD FTSE EMR MKT ETF (VWO)					Buy (add'l)	02/13/13	K		
402.					Sold	08/05/13	L	A	
403. --SPDR GOLD TRUST (GLD)					Sold (part)	10/24/13	K	A	
404.					Sold	10/25/13	J	A	
405. --JPMORGAN TR II HIGH YIELD FD SELECT (FUND 3580)					Sold (part)	10/31/13	L	D	
406. --JPMORGAN TR II LARGE CAP GROWTH FD (SEEGX)					Buy (add'l)	06/11/13	K		
407.					Buy (add'l)	10/31/13	J		
408. --JPMORGAN TR I INTERM TX FREE BD FD INST (FUND 1374)					Buy (add'l)	05/15/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	10/31/13	L	A	
410. --JPMORGAN TR II MKT EXPAN INDEX FUND (PGMIX)					Buy (add'l)	08/27/13	J		
411.					Buy (add'l)	10/31/13	L		
412.					Buy (add'l)	12/13/13	J		
413. --JP MORGAN TR I INTL VALUE FUND (JIESX)					Buy (add'l)	12/23/13	J		
414. --JP MORGAN TR I STRG OPPORTUNITY INCM FD (FUND 3844)					Buy (add'l)	05/15/13	J		
415.					Buy (add'l)	08/27/13	K		
416.					Sold (part)	10/31/13	K	A	
417. --JP MORGAN TR I TAX AWARE EQUITY FD INSTL (JPDEX) (fka SELECT JPESX)					Buy (add'l)	10/31/13	K		
418. --DELAWARE GROUP GLOBAL & INTL FDS EMERG MKT INST MUTUAL FUND (DEMIX)					Buy (add'l)	02/13/13	L		
419.					Buy (add'l)	06/11/13	J		
420.					Sold (part)	08/06/13	L	A	
421. --DREYFUS/JAUREL FUDS TR EMRGN MKT I MUTUAL FUND (DDBIX)					Buy (add'l)	08/27/13	J		
422.					Sold	09/11/13	K	A	
423. --EATON VANCE MUT FDS TR FLT RATE FUND					Buy (add'l)	08/27/13	K		
424.					Sold (part)	10/31/13	L	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
425. --EATON VANCE MUT FDS TR GB MCR ABSL RTN I MUTUAL FD (EIGMX)					Buy (add'l)	06/11/13	K		
426.					Buy (add'l)	10/31/13	J		
427.					Sold	12/19/13	L	A	
428. --MATTHEWS INTL FUDS PAC TIGER INST FUND (MIPTX)									
429. --T ROWE PRICE INTL FDS NEW ASIA FD (PRASX)					Buy (add'l)	02/13/13	J		
430.					Buy (add'l)	08/27/13	K		
431.					Buy (add'l)	10/31/13	L		
432.					Buy (add'l)	12/19/13	J		
433. --MFS INTL VALUE I FUND (MINIX)					Buy (add'l)	06/11/13	K		
434.					Buy (add'l)	12/16/13	J		
435. --DODGE&COX FD INTL STOCK FD (DODFX)					Buy (add'l)	08/27/13	K		
436.					Buy (add'l)	12/23/13	J		
437. --PRIMECAP ODYSSEY FUNDS ODYSSEY STK FD (POSKX)					Buy (add'l)	12/12/13	J		
438. --HARTFORD CAP APPRE CL I MUTUAL FUND (ITHIX)					Buy (add'l)	12/17/13	J		
439. --GATEWAY FD-Y (GTEYX)					Buy (add'l)	08/27/13	K		
440.					Sold (part)	10/31/13	K	A	
441. --PIMCO FDS UNCONSTR BD FUND (PUCPX)					Buy (add'l)	06/11/13	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
442.					Buy (add'l)	08/27/13	K		
443.					Sold	10/31/13	M	A	
444. --RS INVT TR INTL GRW FD (RSIGX)					Sold	04/29/13	M	E	
445. --AIM INVT BAL RISK ALL Y FD (ABRYX)					Buy (add'l)	06/11/13	L		
446.					Buy (add'l)	10/31/13	J		
447.					Buy (add'l)	12/17/13	J		
448.					Sold	12/18/13	M	A	
449. --NEUBERGER BERMAN EQUITY --FDS MULCAP OPP INS FUND (NMULX)					Buy (add'l)	10/31/13	L		
450.					Buy (add'l)	12/18/13	J		
451. --DOUBLELINE FDS TR TTL TRN BD I FUND					Buy (add'l)	05/15/13	L		
452.					Sold	10/31/13	L	A	
453. --JPMORGAN REALTY INCOME FD- INSTL (FUND 1372)					Sold	09/26/13	K	B	
454. --PIMCO FD COMMODITIES PLUS STGY P MUTUAL FUND (PCLPX)					Buy (add'l)	08/27/13	K		
455.					Buy (add'l)	10/31/13	L		
456. --JP MORGAN MULTI SECTOR INCOME FD SELECT (FUND 2130)					Buy	08/27/13	K		
457.					Sold (part)	10/31/13	K	A	
458. --JPM SH INT MUNI BD FD SELECT (FUND 3726)					Buy	05/15/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

459.					Buy (add'l)	08/27/13	L		
460.					Sold	10/31/13	M	A	
461. --THE ARBITRAGE FUND-I					Buy	08/27/13	K		
462.					Sold	10/31/13	K	A	
463. --JPM INTREPID VALUE FD (FUND 1136)					Buy	06/11/13	L		
464. --CAPITAL PRIVATE CLIENT SVCS NON US EQUITIES (CNUSX)					Buy	05/01/13	M		
465.					Buy (add'l)	06/11/13	K		
466. --ISHARES MSCI EAFE INDX FD (EFA)					Buy	10/31/13	N		
467. --OAKMARK INTL FD-I (OAKIX)					Buy	08/05/13	K		
468.					Buy (add'l)	08/06/13	L		
469. --JPM CHINA REGION FD-SELECT (JCHSX)					Buy	02/04/13	K		
470. --JPM GLOBAL RES ENH INDEX FD- SELECT (JEITX)					Buy	04/18/13	L		
471.					Buy (add'l)	10/31/13	K		
472. --EQUINOX FDS TR CB STGY I (EBSIX)					Buy	12/18/13	M		
473.					Buy (add'l)	12/19/13	L		
474. --GOLDMAN SACHS TR STRG INCM INST1 (GSZIX)					Buy	12/18/13	M		
475. --DODGE & COX INCOME FD					Buy	08/27/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
476. --JPM INTL CURR INCOME FD SELECT (FUND 3831)					Buy	05/15/13	K		
477. --JPM TAX AWARE R/R FD - SEL (FUND 992)					Buy	05/15/13	L		
478.					Buy (add'l)	08/27/13	J		
479.					Sold	10/31/13	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Tharp, John J.

Date of Report

05/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information Concerning Part VII.A., Description of Investments and Trusts:

Line 2: Full description: Bright Start 529 Blended Age Based 18 Years Portfolio (no control).

Line 24: Vanguard "500 Index Fund" on 2012 report (line 28) duplicated data included in "Vanguard S&P 500 Index Fund" entry (2012 Report, line 33) and has been deleted on the 2013 report.

Line 57, IRA 6: The holdings in this IRA were erroneously reported on the 2012 report as individual holdings (lines 9 and 10 on the 2012 report) rather than as holdings within this IRA; to report more accurately, the 2013 report adds a heading for IRA 6 and lists these holdings within that IRA.

Line 60, IRA 7: The holdings in this IRA were erroneously reported on the 2012 report as individual holdings (line 10 on the 2012 report) rather than as holdings within this IRA; to report more accurately, the 2013 report adds a heading for IRA 7 and lists these holdings within that IRA.

Line 62; I am the co-trustee of Trust #1 (a trust created by family member). I have no beneficial interest in that trust and receive no fees. I have no position or interest in any of the other trusts listed (#2 - 21). [REDACTED] is a co-trustee of each of those trusts except for Trust #7. She is the sole beneficiary of Trusts #2-#5. She has a 25% beneficial interest in Trusts #6, #7, and #21. She has no beneficial interest in Trusts #8-20.

Line 150, Trust 7: Vanguard MSCI Emerging Mkts Fund--name correction: Vanguard FTSE Emerging Mkts Fund (VWO)

Line 395, Trust 21: This Trust was funded at the end of 2012, between 12/20/2012 and 12/31/2012, by family gift (non-reportable), but was inadvertently omitted from the 2012 report due to the late date of the funding. The reportable gross value of the trust at 12/31/2012 was the same as the reportable gross value of the trust at 12/31/2013: "P1". The trust had income of "B" during 2012, in the form of "Int/Div." Other than the initial receipt of the trust assets between 12/20/2012 and 12/31/2012, there were no reportable transactions in 2012.

Additional Information Concerning Part VII.C., Valuation of Investments and Trusts:

Line 22: Vanguard International Value Fund was reported erroneously on the 2012 report (line 31) as value "M"; it should have been reported as value "K". The 2013 year end value for this holding is "L".

The valuation provided for Trusts #6 and #7 for 2012 was based on [REDACTED] 25% interest, not the full value of trust assets. Since the full value of the trust would be required to be reported whether [REDACTED] is a beneficiary or not, I have reported the full value of the trust (and the income) in 2013. For the same reason, the full value of Trust 21 is similarly reported, even though [REDACTED] beneficial interest is only 25% of the trust.

Trusts #6 and #7 contain assets that required different valuation methods. For Trust #6, the farm land valuation method is "W"; the cash account valuation method is "T." For Trust #7, the condominium valuation method is "W"; the valuation method for all other assets listed is "T."

Additional Information Concerning Part VII.D., Transactions:

Trusts 5, 11, 16, and 20: The last Apple stock entries for these trusts were reported on the 2012 Report (lines 132, 956, 1308, 1657) and entry for Monsanto (line 260, 1084, 1436, 1785) should have been "Sold" rather than "Sold (part)." Accordingly, Apple and Monsanto are not listed as assets in this trust in 2013, as it was entirely sold in 2012.

Trust 7: On the 2012 Report, Pepsico (line 658), Yum Brands (line 733), and Costco Wholesale Corp. (line 771) were listed as partial sales on the 2012 Report; they should have been listed as "Sold" rather than "Sold (part)." Accordingly, these stocks are not listed as assets in this trust in 2013, as they were entirely sold in 2012.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John J. Tharp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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