

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 07/17/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	DLA Piper Law Firm Retirement Plan.	\$7,588.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
2. Bank of America Checking Account	A	Interest	K	T					
3. Potomac Cliffs at Watson House Real Estate Partnership		None	K	R					
4. Hartford Life Second-to-Die Whole Life Insurance Policy		None	N	T					
5. Commonwealth Annuity Whole Life Policy		None	K	T					
6. Brokerage Account #1 (H)									
7. Altria Group Common Stock (MO)	A	Dividend	K	T					
8. Daimler AG Common Stock (DDAIF)	A	Dividend	K	T					
9. Davis New York Venture A (NYVTX)	A	Dividend	K	T					9-10
10. Brokerage Account #2 (H)									
11. Citigroup Common Stock (C)	A	Dividend	J	T					
12. Clearbridge All Cap Value A (SHFVX)	A	Dividend	J	T					13
13. Brokerage Account #3 (H)									
14. Morgan Stanley Bank NA (cash) (X)	A	Interest	J	T					
15. 3M Common Stock (MMM)	C	Dividend	M	T	Sold (part)	06/07/17	K		
16. Alphabet, Inc. Common Stock (GOOG)		None	M	T					
17. Altria Group Common Stock (MO)	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. American Express Common Stock (AXP)	B	Dividend	L	T					
19. Apple Common Stock (AAPL)	A	Dividend	K	T					
20. Bank of America Common Stock (BAC)	B	Dividend	L	T					
21. Bristol Myers Squibb Common Stock (BMJ)	B	Dividend	L	T					
22. Cisco Systems Common Stock (CSCO)	B	Dividend	L	T					
23. Comcast Common Stock (CMCSA)	C	Dividend	M	T	Sold (part)	06/07/17	K	D	
24. Emerson Electric Common Stock (EMR)	B	Dividend	K	T					
25. General Electric Common Stock (GE)	A	Dividend	K	T					
26. Halliburton Common Stock (HAL)	B	Dividend	L	T	Buy (add'l)	11/22/17	J		
27. Home Depot Common Stock (HD)	C	Dividend	M	T	Sold (part)	06/07/17	K	D	
28. Hospitality Properties Trust Common Stock (HPT)	C	Dividend	L	T					
29. J.P. Morgan Chase Common Stock (JPM)	C	Dividend	M	T					
30. Marriott Int'l Common Stock (MAR)	A	Dividend	L	T	Sold (part)	11/02/17	J	D	
31. Microsoft Common Stock (MSFT)	B	Dividend	L	T	Sold (part)	06/07/17	J	D	
32.					Sold (part)	10/27/17	K	E	
33. Mondelez Int'l Inc (MDLZ)	A	Dividend	K	T	Buy	08/24/17	K		
34. Newell Brands Inc. (NWL)	B	Dividend	K	T	Buy	08/24/17	K		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	10/27/17	J		
36. Pepsico Common Stock (PEP)	C	Dividend	M	T	Sold (part)	06/07/17	K	D	
37. Pfizer Inc. (PFE)	C	Dividend	L	T	Buy	01/31/17	L		
38. Philip Morris Int'l Common Stock (PM)	B	Dividend	L	T					
39. Southwest Airlines Common Stock (LUV)	A	Dividend	L	T					
40. TE Connectivity Ltd. New (formerly Tyco Electronics) (TEL)	A	Dividend	K	T					
41. Verizon Common Stock (VZ)	C	Dividend	L	T					
42. Viacom Inc New (VIAB)	B	Dividend	K	T	Buy	08/07/17	K		
43. Walmart Common Stock (WMT)	B	Dividend	L	T					
44. Walt Disney Common Stock (DIS)	B	Dividend	M	T					
45. Williams Partners Ltd. (WPZ)	D	Dividend	L	T					
46. Apollo Investment Common Stock (AINV)	B	Dividend	J	T					
47. Cohen & Steers Infrastructure Fund (UTF)	B	Dividend	K	T					
48. DBX ETF TR Xtrack MSCI Europe Fund (DBEU)	A	Dividend	K	T					28
49. Eaton Vance LTD Duration Income Fund (EVV)	B	Dividend	K	T					
50. First Tr MLP&ENRG Fund (FEI)	C	Dividend	K	T					
51. Kayne Anderson Energy Return Fund (KYE)	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. New Germany Fund (GF)	A	Dividend	M	T					
53. Financial Sel Sect SPDR Fund (XLF)	A	Dividend	K	T					
54. ACAP Strategic Interval (XCAPX)	B	Dividend	L	T	Buy	04/28/17	K		
55. Skybridge Mul-Ad Ser G (CAI01) (X)		None	L	T					
56. Covidien PLC Common Stock (Y)									
57. Constellation Brands Common Stock (Y)									
58. HP Inc. Common Stock (HPQ)		None			Sold	04/03/17	L	D	
59. Kroger Common Stock (KR)		None			Sold	09/28/17	K	D	
60. Sandridge Miss. Trust		None			Sold	05/02/17	J		
61. Schneider National Inc. (SNDR)		None			Buy	05/02/17	K		
62.					Sold	10/27/17	K		
63. United Parcel Service Common Stock		None			Sold	01/31/17	L	E	
64. United States Steel Common Stock (Y)									
65. Brokerage Account #4 (H)									
66. Morgan Stanley Bank NA (X)	A	Interest	K	T					
67. Citibank Money Market (Y)									
68. Adobe Systems Common Stock (ADBE)		None	J	T					

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69. AES Corp. Common Stock (AES)	A	Dividend	J	T					
70. Akamai Technologies Common Stock (AKAM)		None	J	T					
71. Alaska Air Group Common Stock (ALK)	A	Dividend	J	T					
72. Alexion Pharm Inc. (ALXN) (X)		None	J	T					
73. Allergan Common Stock (AGN)		None	J	T					
74. Alphabet Inc Cl A Common Stock (GOOGL)		None	J	T					70
75. Alphabet Inc Cl C Common Stock (GOOG) (X)		None	J	T					
76. AMC Networks Common Stock (AMCX)		None	J	T					
77. American Express Common Stock (AXP)	A	Dividend	J	T					
78. Aetna Inc. Common Stock (Y)									
79. Allison Transmission Common Stock (Y)									
80. American Homes 4 Rent Common Stock (AMH)	A	Dividend	J	T					
81. Ameriprise Common Stock (AMP)	A	Dividend	J	T					
82. Anadarko Petroleum Common Stock (APC)	A	Dividend	J	T					
83. Anheuser Busch InBev SA Spon. Common Stock (BUD)	A	Dividend	J	T					
84. Apache Corp. Common Stock (APA)	A	Dividend			Buy (add'l)	02/15/17	J		
85.					Sold	11/28/17	J		

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86. Apple, Inc. Common Stock (AAPL)	A	Dividend	J	T					
87. Applied Materials, Inc. Common Stock (AMAT)	A	Dividend	J	T	Sold (part)	06/05/17	J	B	
88.					Sold (part)	09/20/17	J		
89. Autodesk, Inc. Delaware Common Stock (ADSK)		None	J	T					
90. Autozone Inc. (AZO)		None	J	T	Buy	07/24/17	J		
91.					Buy (add'l)	07/25/17	J		
92. Axis Capital Holdings Common Stock (AXS)	A	Dividend	J	T	Sold (part)	10/02/17	J	A	
93. Bank of the Ozarks Inc. (OZRK)	A	Dividend	J	T	Buy	04/18/17	J		
94. Biogen Idec Inc. Common Stock (BIB)		None	J	T					
95. Blackrock, Inc. Common Stock (BLK)	A	Dividend	J	T					
96. Bristol Myers Squibb Common Stock (BMY)	A	Dividend	J	T					
97. Broadcom Corp. Common Stock (AVGO)	A	Dividend	J	T					
98. Calpine Corp. Common Stock (CPN)		None			Sold	09/05/17	J	A	
99. Cabot Oil & Gas Corp A (COG) (X)	A	Dividend	J	T					
100. CBS Corp New Cl B Shares (CBS) (X)	A	Dividend	J	T					
101. Cameron Intl. Corp. Common Stock (Y)									
102. Carnival CP New Paired Common Stock (CCL)	A	Dividend			Sold	06/07/17	J	A	

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103. Celgene Corp. Common Stock (CELG)		None	J	T					
104. Charles Schwab New (SCHW) (X)	A	Dividend	J	T					
105. Chipotle Common Stock (CMG)		None	J	T					
106. Cisco Systems Inc. Common Stock (CSCO)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		
107. CitiGroup Common Stock (C)	A	Dividend	J	T					
108. Citrix Systems, Inc. Common Stock (CTXS)		None	J	T					
109. CNX Resource Corp. (CNX) (X)		None	J	T	Sold (part)	11/28/17	J		
110.					Buy (add'l)	12/04/17	J		
111. CME Group Common Stock (Y)									
112. Coach Common Stock (Y)									
113. Coca Cola Inc. Common Stock (KO)	A	Dividend	J	T					
114. Comcast Corp. CL A Special New Common Stock (CMCSA)	A	Dividend	J	T					
115. Costco Wholesale Corp New (COST) (X)	A	Dividend	J	T					
116. Cree Research Inc. Common Stock (CREE)		None	J	T					
117. CVS Health Corp. Common Stock (CVS)	A	Dividend	J	T	Sold (part)	05/02/17	J	A	88
118. Deere & Co. Common Stock (Y)									
119. Dentsply Sirona Inc (XRAY) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Devon Energy Corp. New Common Stock (DEVN)	A	Dividend			Sold	11/28/17	J		
121. Discover Financial Services Common Stock (DFS)	A	Dividend	J	T	Sold (part)	05/15/17	J		
122. Discovery Comm. Serv. Common Stock (DISCA)		None	J	T					
123. Dolby CL A Common Stock (DLB)	A	Dividend	J	T					
124. DowDuPont Inc. Common Stock (DWDP)	A	Dividend	J	T	Buy (add'l)	10/26/17	J		106
125. EBay Inc. Common Stock (EBAY)		None	J	T	Sold (part)	01/05/17	J		
126. Ecolab Common Stock (ECL)	A	Dividend	J	T					
127. Eaton Corp. Common Stock (Y)									
128. Emerson Electric Common Stock (Y)									
129. Ensco PLC Common Stock (Y)									
130. Exelon Corp. Common Stock (EXC)	A	Dividend	J	T					
131. Exxon Mobile Corp. Common Stock (Y)									
132. Facebook Common Stock (FB)		None	J	T					
133. Fairfax Financial Hldg Sub Vtg (FRFHF) (X)	B	Dividend	J	T					
134. Fluor Corp. New Common Stock (FLR)	A	Dividend	J	T					
135. FMC Technologies Common Stock (Y)									
136. First Republic Bank Common Stock (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Forest Laboratories Inc. Common Stock (Y)									
138. Franklin Resources Inc. Common Stock (Y)									
139. Freeport McMoran Common Stock (FCX)	A	Dividend	J	T					
140. GAP, Inc. Common Stock (Y)									
141. GATX Corp. Common Stock (GATX)	A	Dividend	J	T					
142. General Electric Co. Common Stock (Y)									
143. Gentex Common Stock (GNTX)	A	Dividend	J	T					
144. GlaxoSmithKline PLC ADS Common Stock (Y)									
145. Greenhill Common Stock (Y)									
146. Halliburton Co. Common Stock (HAL)	A	Dividend	J	T	Sold (part)	01/09/17	J	A	
147. Hanesbrand Inc (HBI) (X)	A	Dividend	J	T					
148. Home Depot Inc. Common Stock (HD)	A	Dividend	J	T					
149. Honeywell Intl. Inc. Common Stock (HON)	A	Dividend	J	T					
150. Immunogen Common Stock (IMGN)		None	J	T					
151. Intuit Inc. Common Stock (Y)									
152. Invesco Ltd. Common Stock (IVZ)	A	Dividend	J	T	Buy (add'l)	11/14/17	J		
153. Ionis Pharmaceuticals Common Stock (IONS)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Jacobs Engineering Group Common Stock (Y)									
155. Johnson & Johnson Common Stock (JNJ)	A	Dividend	J	T	Sold (part)	06/28/17	J	A	
156. Johnson Ctls (formerly Tyco) Intl PLC (JCI)	A	Dividend	J	T	Buy (add'l)	02/23/17	J		
157. Jones Lang LaSalle Inc. Common Stock (JLL)	A	Dividend			Sold	02/10/17	J	A	
158. Keycorp New Common Stock (KEY)	A	Dividend	J	T					
159. Kinder Morgan Inc. (KMI)	A	Dividend	J	T	Buy	10/27/17	J		
160.					Buy (add'l)	11/14/17	J		
161. KLA Tencor Corp. Common Stock (KLAC)	A	Dividend	J	T					
162. Kroger Co. (KR) (X)	A	Dividend	J	T	Buy (add'l)	08/07/17	J		
163. L3 Technologies, Inc. (LLL)	A	Dividend	J	T					145
164. Liberty Interactive Corp QVC A Common Stock (QVCA)		None	J	T					147
165. Liberty Media C Ser C Sirius XM Common Stock (LSXMK)		None	J	T					146
166. Linked-In Common Stock (Y)									
167. McCormick and Co. Non Voting (MCK) (X)	A	Dividend	J	T					
168. Medtronic PLC Common Stock (MDT)	A	Dividend	J	T					149, 220
169. Merck & Co. Inc. New Common Stock (MRK)	A	Dividend	J	T					
170. Metlife Common Stock (MET)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MGIC Investment Corp. Common Stock (MTG)		None	J	T					
172. Microsoft Corp. Common Stock (MSFT)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
173. Monsanto Co. New Common Stock (MON)	A	Dividend	J	T					
174. Morgan Stanley Common Stock (Y)									
175. Murphy USA Common Stock (MUSA)		None	J	T					
176. Mylan Common Stock (MYL)		None	J	T	Buy (add'l)	11/14/17	J		
177. NASDAQ Inc. Common Stock (Y)									
178. National Oilwell Varco Inc. Common Stock (NOV)	A	Dividend			Sold	11/28/17	J		
179. Newfield Expl. Co. Common Stock (Y)									
180. Nike Inc. B Common Stock (Y)									
181. Novartis AG ADR Common Stock (NVS)	A	Dividend			Sold (part)	01/04/17	J	A	
182.					Sold (part)	01/23/17	J	A	
183.					Sold	01/24/17	J	A	
184. NOW Inc. Common Stock (Y)									
185. Nuance Comm. Common Stock (NUAN)		None	J	T					
186. Nucor Corp. Common Stock (NUE)	A	Dividend	J	T					
187. NXP Semiconductor Common Stock		None			Sold (part)	01/04/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	01/05/17	J	A	
189. OneMain Holdings Common Stock (OMF)		None	J	T					
190. Oracle Common Stock (ORCL)	A	Dividend	J	T	Buy (add'l)	01/20/17	J		
191.					Buy (add'l)	12/15/17	J		
192. Oshkosh Corp. Common Stock (Y)									
193. Palo Alto Networks Inc (PANW) (X)		None	J	T					
194. PayPal Holdings Common Stock (PYPL)		None	J	T					
195. Pebblebrook Hotel Tr. Com. Common Stock (PEB)	A	Dividend	J	T					
196. Pentair Ltd. Common Stock (PNR)	A	Dividend	J	T					
197. Pier 1 Imports Common Stock (Y)									
198. Pioneer Natural Resources Co. (PXD)	A	Dividend	J	T	Buy	05/12/17	J		
199.					Buy (add'l)	05/15/17	J		
200. Praxair Inc. (PX) (X)	A	Dividend	J	T					
201. Precision Castparts Common Stock (Y)									
202. Procter & Gamble Common Stock (Y)									
203. Pulte Group Common Stock (PHM)	A	Dividend			Sold (part)	11/28/17	J	A	
204.					Sold (part)	12/05/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	12/07/17	J	A	
206. Raytheon Common Stock	A	Dividend			Sold (part)	11/16/17	J		
207.					Sold	11/17/17	J		
208. Realogy Holdings Common Stock (RLGY)	A	Dividend	J	T					
209. Red Hat Common Stock (RHT)		None	J	T					
210. Regeneron Pharm Common Stock (REGN)		None	J	T					
211. Regions Finance Corp. Common Stock (RF)	A	Dividend	J	T					
212. Rockwell Collins Common Stock (COL)	A	Dividend	J	T					
213. Schlumberger Ltd. Common Stock (SLB)	A	Dividend	J	T					
214. Seagate Technology PLC Common Stock (STX)	A	Dividend	J	T					
215. Service Corp. Intl. Common Stock (SCI)	A	Dividend	J	T					
216. Signet Jewelers Ltd. (SIG) (X)	A	Dividend	J	T					
217. Splunk Inc (SPLK) (X)	A	Dividend	J	T					
218. Sprout Farmers Market Inc (SFM) (X)	A	Dividend	J	T					
219. Charles Schwab New Common Stock (Y)									
220. Stanley Black & Decker Common Stock (SWK)	A	Dividend	J	T	Sold (part)	11/15/17	J	B	
221. Stericycle Inc. (SRCL) (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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222. Suncorp Common Stock (SU)	A	Dividend	J	T					
223. Symantic Corp. Common Stock	A	Dividend			Sold (part)	05/15/17	J	A	
224.					Sold	01/19/17	J	A	
225. Synchrony Fin. Common Stock (SYF)	A	Dividend	J	T	Buy (add'l)	05/15/17	J		
226. TE Connectivity Ltd. New (TEL)	A	Dividend	J	T					
227. Teradyne Common Stock (TER)	A	Dividend	J	T					
228. Texas Instruments Common Stock (TXN)	A	Dividend	J	T	Sold (part)	04/18/17	J	A	
229. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
230. Towers Watson Common Stock (Y)									
231. Transdigm Group Inc. (TDG)		None	J	T	Buy	04/13/17	J		
232. Twenty-First Century Fox Common Stock (Y)									
233. Twitter Common Stock (TWTR)		None	J	T					
234. Unilever PLC (New) ADS Common Stock (UL)	A	Dividend	J	T					
235. United Natural Foods Inc. (UNFI) (X)		None			Sold	06/19/17	J	A	
236. United Parcel Service Inc. CL B Common Stock (UPS)	A	Dividend	J	T					
237. United States Steel Corp. New Common Stock (Y)									
238. United Rentals Common Stock (URI)		None			Sold	01/10/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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239. Unitedhealth Group Inc (UNH) (X)	A	Dividend	K	T					
240. Veeco Instr. Inc. Common Stock (Y)									
241. Vertex Pharmaceuticals Common Stock (VRTX)		None	J	T					
242. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					
243. VMWare Common Stock (VMW)		None	J	T					
244. Vodaphone Group PLC ADS New Common Stock (Y)									
245. Voya Finl Inc (VOYA)	A	Dividend	J	T	Buy	09/21/17	J		
246. W.W. Grainger Common Stock (GWW)	A	Dividend	J	T					
247. Walt Disney Co. Holding Common Stock (DIS)	A	Dividend	J	T					
248. Wells Fargo Common Stock (WFC)	A	Dividend	J	T	Buy (add'l)	01/20/17	J		
249.					Buy (add'l)	07/24/17	J		
250.					Buy (add'l)	11/14/17	J		
251. Western Digital Common Stock (WDC)	A	Dividend	J	T					
252. Weyerhaeuser Co. Common Stock (WY)	A	Dividend	J	T					
253. XL Group Ltd. (XL) (X)	A	Dividend	J	T					
254. Yum China Holdings Common Stock (YUMC)	A	Dividend	J	T					217
255. Xilinx Inc. Common Stock (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Zoetis Common Stock	A	Dividend	J	T					
257. Allied World Assurance Co. Hldgs Ltd. Common Stock	A	Dividend			Redeemed	07/10/17	J	C	
258. Weatherford International Ltd. Common Stock (WFT)		None			Sold	11/28/17	J		
259. Ishares Core S&P US Growth (IUSG)	A	Dividend	J	T	Buy	11/28/17	J		
260. Ishares Core S&P US Value (IUSV)	A	Dividend	J	T	Buy	11/28/17	J		
261. Brokerage Account #5 (H)									
262. MS Liquid Asset Fund Money Market (Y)									
263. Davis New York Venture Mutual Fund (NYVCX)	E	Dividend	N	T					
264. Brokerage Account #6 (H)									
265. Dominion Resources Common Stock (D)	B	Dividend	L	T					
266. Exxon Mobile Common Stock (XOM)	C	Dividend	M	T					
267. Pepsico Common Stock (PEP)	A	Dividend	K	T					
268. PNC Financial Services Common Stock (Y)									
269. Walmart Common Stock (WMT)	B	Dividend	L	T					
270. Baltimore MD Ser. C Sink Fund	B	Interest			Redeemed	07/03/17	K		
271. MFS Maryland Municipal Bond Fund (MFSMX)	B	Interest	L	T					
272. Puerto Rico Electric Power Authority RV C.A Cav. (745268JW3) (X)		None			Sold	07/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Brokerage Account #7 (H)									
274. UBS Bank USA (X)	A	Interest	K	T					
275. iShares Barclay's 1-3 Yr. CD Bond Fund (Y)									
276. First Trust Financial Alphadex Fund ETF (FXO)	A	Dividend	L	T	Buy (add'l)	03/01/17	J		241
277. iShares US Technology ETF (IYW)	A	Dividend	M	T	Sold (part)	03/01/17	J	C	248
278. iShares US Healthcare ETF (IYH)	A	Dividend	L	T	Sold (part)	03/01/17	J	A	250
279. iShares US Consumer Goods ETF (IYK)	B	Dividend	L	T	Sold (part)	03/01/17	J	C	249
280. iShares US Real Estate ETF (IYR)	A	Dividend	K	T	Sold (part)	03/01/17	J	A	247
281. iShares US Consumer Services ETF (IYC)	A	Dividend	M	T	Buy (add'l)	03/01/17	J		246
282. iShares US Energy ETF (IYE)	B	Dividend	K	T	Buy (add'l)	03/01/17	J		251
283. Guggenheim Bulletshares 2017 High Yield Corp Bond	A	Interest			Buy (add'l)	03/01/17	J		
284.					Sold	12/29/17	K		
285. Guggenheim Ultra Short Duration Bond ETF (GSY)	A	Interest	L	T	Buy (add'l)	03/01/17	J		252
286. SPDR Portfolio Aggregate Bond ETF (SPAB)	B	Dividend	L	T	Buy (add'l)	03/01/17	J		242, 244
287. SPDR Portfolio Short Term Corp Bond ETF (SPSB)	B	Dividend	L	T	Buy (add'l)	03/01/17	J		243
288. Vanguard Inter Term Bond ETF (BIV)	B	Interest	L	T					254
289. Brokerage Account #8 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. Blackrock Munivest Fund II (MVT)	B	Interest	K	T					
291. Blackrock Munivest Fund (MVF)	B	Interest	K	T					
292. Nuveen Select Tax Free Income Port 3 (NXR)	B	Interest	K	T					
293. Dreyfus AMT-Free Mun Bond Fd Class A (DMUAX)	B	Interest	L	T					260
294. Brokerage Account #9 (H)									
295. UBS Bank USA (X)	A	Interest	K	T					
296. iShares Barclay's 1-3 Yr. CD Bond Fund (Y)									
297. First Trust Financial Alphadex Fund ETF (FXO)	A	Dividend	L	T	Buy (add'l)	03/01/17	J		262
298. iShares US Technology ETF (IYW)	A	Dividend	L	T	Sold (part)	03/01/17	J	C	269
299. iShares US Healthcare ETF (IYH)	A	Dividend	L	T	Sold (part)	03/01/17	J	A	271
300. iShares US Consumer Goods ETF (IYK)	B	Dividend	L	T	Sold (part)	03/01/17	J	C	270
301. iShares US Real Estate ETF (IYR)	A	Dividend	K	T	Sold (part)	03/01/17	J	A	268
302. iShares US Consumer Services ETF (IYC)	A	Dividend	M	T	Buy (add'l)	03/01/17	J		267
303. iShares US Energy ETF (IYE)	B	Dividend	K	T	Buy (add'l)	03/01/17	J		272
304. Guggenheim Bulletshares 2017 High Yield Corp Bond	A	Interest			Buy (add'l)	03/01/17	J		
305.					Sold	12/29/17	K		
306. Guggenheim Ultra Short Duration Bond ETF (GSY)	A	Interest	L	T	Buy (add'l)	03/01/17	J		273

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. SPDR Portfolio Aggregate Bond ETF (SPAB)	B	Dividend	L	T	Buy (add'l)	03/01/17	J		263, 264
308. SPDR Portfolio Short Term Corp Bond ETF (SPSB)	B	Dividend	L	T	Buy (add'l)	03/01/17	J		265
309. Vanguard Inter Term Bond ETF (BIV)	B	Interest	L	T					275
310. Brokerage Account #10 (H)									
311. Morgan Stanley Bank NA (X)	A	Interest	J	T					
312. Bank of America Corp. (BAC) (X)	A	Dividend	J	T					
313. Bristol Myers Squibb Common Stock (BMY)	B	Dividend	L	T					
314. Ford Motor Co. Common Stock (F)	B	Dividend	K	T					
315. Halliburton Co. (HAL)	A	Dividend	K	T	Buy	11/22/17	K		
316. CBRE (formerly ING) Clarion Global Real Estate Fund (IGR)	C	Dividend	L	T					
317. Cohen & Steers CEF Opp Fd. Inc. (FOF)	A	Dividend	J	T	Buy	02/09/17	J		
318. First Tr MLP & Enrg Inc Fnd. (FEI)	B	Dividend	K	T	Buy	11/24/17	K		
319. ACAP Strategic Interval (XCAPX)	B	Dividend	L	T					285
320. Hartford Municipal Opportunity (HHMCX) (X)	A	Dividend	K	T					
321. Principal Investment Fund (PRFCX)	B	Dividend	K	T					
322. American Capital Limited Common Stock (Y)									
323. Macy's Common Stock (M)	B	Dividend			Sold	06/07/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. Schlumberger Ltd. Common Stock	B	Dividend			Sold	11/22/17	K		
325. Clearbridge Energy MLP Fd (CEM)	B	Dividend			Sold	11/22/17	K		
326. Kayne Anderson Energy Fund (Y)									
327. Brokerage Account #11 (H)									
328. Bank of America Common Stock (BAC)	B	Dividend	M	T	Buy (add'l)	06/16/17	K		
329. Exxon Mobile Common Stock (XOM)	B	Dividend	L	T					
330. U.S. Steel Common Stock (X)	A	Dividend	J	T					
331. Bristol Myers Squibb Common Stock (Y)									
332. Ford Motor Co. Common Stock (Y)									
333. Freeport McMoran Common Stock (Y)									
334. Whole Foods Common Stock (WFM)	A	Dividend			Sold	06/16/17	K		
335. Principal Inv. Pref. Sec. Mut. Fund (Y)									
336. Brokerage Account #12 (H)									
337. iShares Barclays 1-3 Yr Trs Bd (SHY)	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
338. iShares Core U.S. Aggregate Fd (AGG)	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
339. iShares MSCI Japan ETF (EWJ)	A	Dividend	J	T					
340. iShares TIPS Bond ETF (TIP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Alger Small Cap Growth Inst. (AISZX)	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
342. Artisan Midcap Value Inv. (APDQX)	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
343. Blackrock Equity Dividend I (MADVX)	A	Dividend	K	T	Sold (part)	07/07/17	J	A	
344.					Buy (add'l)	07/24/17	J		
345. Columbia Select Large Cap Growth (UMLGX)	A	Dividend	K	T	Sold (part)	02/09/17	J	A	
346. Oppenheimer Int'l Growth (OIGYX)	A	Dividend	K	T	Sold (part)	02/09/17	J	A	
347. Prudential Jennison MD Cap. (PEGZX)	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
348. Royce Premier Inv. (RYPRX)	A	Dividend	J	T					
349. Wells Fargo Emerg. Mkts. (EMGNX)	A	Dividend	J	T					
350. SPDR Barclay's High Yield Bond Fd. (JNK)		None			Sold	07/07/17	J	A	
351. Brokerage Account #13 (H)									
352. MS Liquid Asset Fund (Y)									
353. iShares Barclays 1-3 Yr TSY Bd (SHY)	A	Dividend	J	T	Buy (add'l)	07/07/17	J		
354. iShares Core U.S. Aggregate Fd (AGG)	A	Dividend	K	T	Buy (add'l)	07/07/17	J		
355. iShares MSCI Japan ETF (EWJ)	A	Dividend	J	T	Sold (part)	02/09/17	J	A	
356. iShares TIPS Bond ETF (TIP)	A	Dividend	J	T					
357. Alger Small Cap Growth Inst. (AISZX)	A	Dividend	J	T	Sold (part)	02/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	07/07/17	J		
359. Artisan Midcap Value Inv. (APDQX)	A	Dividend	J	T	Sold (part)	02/09/17	J		
360.					Buy (add'l)	07/07/17	J		
361.					Buy (add'l)	12/06/17	J		
362. Blackrock Equity Dividend (MADVX)	C	Dividend	L	T	Sold (part)	02/09/17	J	A	
363.					Sold (part)	07/07/17	J	A	
364.					Buy (add'l)	07/24/17	J		
365.					Buy (add'l)	12/06/17	J		
366. Columbia Select Large Cap Growth (UMLGX)	B	Dividend	L	T	Sold (part)	02/09/17	J	A	
367.					Buy (add'l)	07/07/17	J		
368.					Buy (add'l)	12/15/17	J		
369. Oppenheimer Int'l Growth (OIGYX)	A	Dividend	M	T	Sold (part)	02/09/17	J	A	
370.					Sold (part)	07/07/17	J	A	
371. Prudential Jennison MD Cap. (PEGZX)	B	Dividend	J	T	Buy (add'l)	07/07/17	J		
372.					Buy (add'l)	12/15/17	J		
373. Royce Premier Inv. (RYPRX)	A	Dividend	J	T					
374. Virtus Insight Emerg. Mkts. (HIEMX)	A	Dividend	K	T	Sold (part)	02/09/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	07/07/17	J		
376. Wells Fargo Emerg. Mkts. (EMGNX)	A	Dividend	K	T	Sold (part)	02/09/17	J	A	
377. SPDR BBG Barclays High Yield Bd (JNK)		None			Sold	07/07/17	J	A	
378. Brokerage Account #14 (H)									
379. Morgan Stanley Bank NA	A	Interest	K	T	Open	06/19/17	K		
380. Alcoa Corp. Common Stock (AA)		None	J	T					
381. Arconic Inc. Common Stock (ARNC)	A	Dividend	K	T					
382. Blackstone Group Common Stock (BX)	D	Dividend	L	T					
383. Carnival CP Common Stock	A	Dividend			Sold	03/21/17	K	D	
384. Celgene Common Stock (CELG)		None	M	T					
385. CVS Caremark Common Stock (CVS)	C	Dividend	M	T					
386. Enterprise Products Partners LP (EPD)	D	Dividend	M	T					
387. General Electric Common Stock (GE)	A	Dividend	K	T	Sold (part)	11/22/17	K		
388. General Motors Common Stock (GM)	B	Dividend	K	T					
389. Merck Common Stock (MRK)	B	Dividend	L	T					
390. Murphy Oil Common Stock (MUR)	A	Dividend	J	T					
391. Murphy USA Inc. Com. (MUSA) (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Starbuck's Corp. Common Stock (SBUX)	B	Dividend	L	T	Buy (add'l)	03/21/17	K		
393. United Health Group Common Stock (UNH)	B	Dividend	M	T					
394. WPX Energy Common Stock (WPX)		None	K	T	Buy (add'l)	06/07/17	K		
395. Calamos Strg Title Return Fd (CXQ)	D	Dividend	L	T					
396. DBX ETF TR Xtrack MSCI Europe Fund (DBEU)	A	Dividend	K	T					359
397. First Tr MLP & Eng Fund (FEI)	E	Dividend	N	T					
398. iShares NASDAQ Biotech ETF (IBB)	A	Dividend	L	T					
399. Hartford Floating Rate Fund (HFLCX)	B	Dividend	L	T					
400. Nuveen NFM MTP Preferred	B	Dividend	K	T					
401. Nuveen MD Dividend Muni Fund	A	Dividend	K	T					
402. Putnam Equity Income C (PEQCX)	A	Dividend	L	T					364
403. Western Asset Int. Term Muni C (SMLLX)	B	Dividend	L	T					366
404. Western Asset Short Duration Muni Income C (SHDLX)	B	Dividend	L	T					365
405. NATIONWIDE LIFE DESTINATION NAVIGATOR VARIABLE ANNUITY (H)									
406. NW NVIT SP 500 INDEX II		None	L	T	Buy	05/22/17	L		
407. BLACKROCK GLOBAL ALLOCATION V.I. III		None	L	T	Buy	05/22/17	L		
408. FIDVIP EQ INC SVC 2		None	L	T	Buy	05/22/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. NW NVIT CARDINAL AFFR II		None	L	T	Buy	05/22/17	L		
410. Brokerage Account #15 (H)									
411. Morgan Stanley Bank NA	A	Interest	K	T					369
412. iShares Inc. MSCI Japan ETF (EWJ)	A	Dividend	J	T	Buy	04/06/17	J		
413. iShares JP Morgan EM Bond ETF (EMB)	A	Dividend	J	T	Buy	04/06/17	J		
414. PIMCO Enhanced Short Mtrt (MINT)		None	K	T	Buy (add'l)	02/15/17	J		392
415. SPDR Nuveen BBG Short-Term (SHM)	A	Dividend	L	T	Buy (add'l)	02/15/17	J		
416.					Buy (add'l)	04/06/17	J		
417. Alger Small Cap Growth Inst. (AISZX)	A	Dividend	J	T					
418. American Century Intl. Bond (AIBHX)	A	Dividend	J	T					372
419. American Century Int. TRM TX FR BD Inv. (Y)									
420. American Europacific Growth F1 (AEPFX)	B	Dividend	L	T	Buy (add'l)	02/15/17	J		
421. American Growth Fund of America F1 (GFFFX)	B	Dividend	K	T	Sold (part)	04/06/17	J	B	
422. Baron Small Cap Retail (BSFIX)		None	J	T					
423. Columbia Marsico Growth Z (Y)									
424. Columbia Select Large Cap Growth Fd (UMLGX)	A	Dividend	K	T	Sold (part)	04/06/17	J	A	
425. Davis New York Venture Y (DNVYX)	B	Dividend	K	T	Buy (add'l)	02/15/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	04/06/17	J	A	
427. Federated Strategy Val Div Inst Fd (SVAIX)	B	Dividend	K	T	Buy (add'l)	02/15/17	J		
428.					Sold (part)	04/06/17	J	A	
429. Franklin Fed Intm Trm Txfr Adv (FITZX)	B	Dividend	K	T	Buy (add'l)	02/15/17	J		
430. Goldman Sachs Growth Opp. 1 (GGOIX)	A	Dividend	J	T					
431. Invesco American Value Y (MSAIX)	A	Dividend	J	T					
432. JP Morgan Emerging Markets Sel (JEMSX)	A	Dividend	K	T	Buy (add'l)	04/06/17	J		
433. JP Morgan Mid Cap Value S (JMV SX)	A	Dividend	J	T					
434. Lazard Emerging Markets 1 (LZEMX)	A	Dividend	K	T	Buy (add'l)	04/06/17	J		
435. Nationwide Geneva Mdcg Gw F (NWHYX)	A	Dividend	J	T					
436. Oppenheimer Intl Growth Y (OIGYX)	A	Dividend	L	T	Buy (add'l)	02/15/17	J		
437. Royce Micro-cap Inv. (RYOTX)	A	Dividend	J	T					
438. Royce Total Return Inv. (RYTRX)	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
439. T Rowe Price High Yield (PRHYX)	A	Dividend	J	T	Sold (part)	04/06/17	J		
440. T Rowe Price Mid-Cap Growth (Y)									
441. Templeton Global Bond Fd Adv (Y)									
442. Templeton Int'l Bond Fund (FIBZX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Wells Fargo High Income Inv. (EKHIX)	A	Dividend	J	T	Sold (part)	04/06/17	J		
444. Western Ast Int Term Muni (SBTYX)	B	Dividend	K	T	Buy (add'l)	02/15/17	J		389
445. Brokerage Account #16 (H)									
446. Morgan Stanley Bank NA (X)	A	Interest	K	T					
447. Cohen & Steers CEF Opp Fd. Inc. (FOF) (X)	C	Dividend	K	T					
448. Goldman Sachs Step-Up Callable Note (38148TNJ4) (X)	A	Interest	K	T					
449. Tactical Diversified Futures Fund LP		None			Sold	10/31/17	K		
450. Skybridge Multi-Advisor Hedge Fund (CA101)		None	L	T					
451. DELAWARE LIFE SUNLIFE MASTERS FLEX VARIABLE ANNUITY (H)									
452. FIDELITY VIP CONTRAFUND-SERV (X)		None	K	T					
453. MFS VIT I RESEARCH SERIES SERV (X)		None	K	T					
454. FRANKLIN MUTUAL SHARES VIP FUND (X)		None	K	T					
455. FIRST EAGLE OVERSEAS VARIABLE (X)		None	K	T					
456. PIMCO VIT TOTAL RETURN BOND PO (X)		None	J	T					
457. NATIONWIDE LIFE BOA ACHIEVER L SHARE VARIABLE ANNUITY (H)									
458. NEUBER AMT SHORT DURATION BOND I (X)		None	K	T					
459. MFS VIT VALUE SER SVC (X)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. NW NVIT VW FD II (X)		None	J	T					
461. OPP MN ST VA SVC (X)		None	J	T					
462. FIDVIP INVMT GRD BD SVC 2 (X)		None	K	T					
463. NW NVIT GOV'T BOND I (X)		None	K	T					
464. PIMCO VIT LOW DURATION ADV (X)		None	J	T					
465. NW NVIT MULTI MANAGER MIDCAP GROWTH II (X)		None	J	T					
466. MULTI MANAGER MIDCAP VALUE II (X)		None	J	T					
467. NW NVIT GOV MNY MKT I (X)		None	J	T					
468. NW NVIT GOV MULTI MANAGER INT'L GROWTH II (X)		None	J	T					
469. NW NVIT GOV MULTI MANAGER LARGE CAP GROWTH II (X)		None	J	T					
470. NW NEUBER NVIT SOC RESP II (X)		None	J	T					
471. NW TMP NVIT INTL VAL I (X)		None	J	T					
472. NW NVIT COR BD II (X)		None	K	T					
473. Brokerage Account #17 (H)									
474. First American Prime Obligation Fund (Y)									
475. Abbvie Common Stock (ABBV)	A	Dividend	J	T					
476. Ace Ltd. Common Stock (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. Align Technology Common Stock (ALGN)		None	J	T					
478. Alphabet Inc Cl A Common Stock (GOOGL)		None	J	T					437
479. Alphabet Inc Cl C Common Stock (GOOG) (X)		None	J	T					
480. Amazon Com. Inc. (AMZN)	A	Dividend	J	T	Buy	01/06/17	J		
481. Amgen Common Stock (Y)									
482. Apple Inc. Common Stock (AAPL)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
483. AT&T Inc. Common Stock (T)	A	Dividend	J	T					
484. Celgene Corp (CELG)		None	J	T	Buy	01/06/17	J		
485. Cincinnati Financial, Corp. Common Stock (CINF)	A	Dividend	J	T	Sold (part)	01/06/17	J	B	
486. Citigroup Common Stock (C)	A	Dividend	J	T					
487. CME Group Common Stock (CME)	A	Dividend	J	T					
488. Computer Sciences Corp. Common Stock (Y)									
489. CVS Caremark Common Stock (CVS)	A	Dividend	J	T	Sold (part)	01/06/17	J	A	
490. Dreihaus Select Credit Fund	A	Dividend			Sold	11/15/17	J		
491. DXC Technologies (X)		None			Sold	06/02/17	J	B	
492. EOG Resources Common Stock (EOG)	A	Dividend	J	T					
493. Ecolab Inc. Common Stock (ECL)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. Edwards Lifesciences Corp (EW) (X)		None	J	T	Buy (add'l)	09/20/17	J		
495. Electronic Arts Common Stock (EA)		None	J	T					
496. Emerson Electric Co. Common Stock (EMR)	A	Dividend	J	T					
497. Exxon Mobile Corp. Common Stock (XOM)	A	Dividend	J	T					
498. Facebook Common Stock (FB)		None	J	T					
499. Fidelity National Information Services, Inc. (FIS)	A	Dividend	J	T	Buy	01/06/17	J		
500. Glenmeade Small Cap Equity Port Adv. (GTCSX)	B	Dividend	J	T					435
501. Goldman Sachs Common Stock (Y)									
502. Intuit Common Stock (INTU)	A	Dividend	J	T					
503. JP Morgan Chase Co. Common Stock (JPM)	A	Dividend	J	T					
504. Johnson & Johnson Common Stock (JNJ)	A	Dividend	J	T					
505. Lincoln Nat'l Corp. Common Stock (LNC)	A	Dividend	J	T					
506. Lowe's Common Stock (LOW)	A	Dividend	J	T					
507. Magna International (MGA) (X) (Y)									
508. Mastercard (MA) (X)	A	Dividend	J	T	Sold (part)	01/06/17	J		
509. McDonald's Corp. Common Stock (MCD)	A	Dividend	J	T					
510. Medco Health Solutions Inc. Common Stock (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. Microsoft Common Stock (MSFT)	A	Dividend	J	T					
512. Mohawk Indus. Common Stock (MHK)		None	J	T					
513. Morgan Dempsey Small Cap Value Fund (Y)									
514. Nextera Energy Inc. Common Stock (NEE)	A	Dividend	J	T					
515. PNC Financial Services Group Inc. (PNC)	A	Dividend	J	T	Buy	01/06/17	J		
516. Philip Morris Intl. Common Stock (PM)	A	Dividend	J	T					
517. Pioneer Natural Resources Common Stock (PXD)	A	Dividend	J	T					
518. Praxair Inc. Common Stock (PX)	A	Dividend	J	T					
519. Priceline Com. Inc. Common Stock (PCLN)		None	J	T					
520. Procter & Gamble Inc. Common Stock (PG)	A	Dividend	J	T					
521. Prudential Financial Inc. Common Stock (PRU)	A	Dividend	J	T					
522. Southwest Airlines Common Stock (LUV)	A	Dividend	J	T					
523. T. Rowe Price Mid Cap Growth Fund (RPMGX) (X)	B	Dividend	K	T					
524. Thermo Fisher Scientific Inc. Common Stock (TMO)		None	J	T					
525. TCW Emerging Market Income Fund (Y)									
526. United Health Group, Inc. Common Stock (UNH)	A	Dividend	J	T					
527. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. Walt Disney Common Stock (DIS)	A	Dividend	J	T					
529. Wells Fargo Special Mid Cap Value (WFMIX)	A	Dividend	K	T					463
530. 3M Co. Common Stock (MMM)	A	Dividend	J	T	Sold (part)	11/16/17	J	B	
531. Accenture PLC Cl A Common Stock (ACN)	A	Dividend	J	T					
532. Chubb Ltd. (CB) (X)	A	Dividend	J	T					
533. Harding Loevner International Equity (HLMIS)	A	Dividend	K	T	Buy	02/09/17	K		
534.					Sold (part)	11/15/17	J	A	
535. Ingersoll Rand PLC (IR)	A	Dividend	J	T	Buy	01/06/17	J		
536. Schlumberger Ltd. Common Stock (SLB)	A	Dividend	J	T					
537. T. Rowe Price International Value Equity Fund Int'l (TRIGX) (X)	A	Dividend	K	T					
538. Baron Emerging Mkts. Fd. (BEXIX)	A	Dividend	J	T	Sold (part)	11/15/17	J	A	
539. Causeway Emerging Mkts Fund (CEMIX)	A	Dividend	J	T					
540. AQR Long/Short Equity Fd (QLEIX)	A	Dividend	J	T					
541. Robeco Boston Partners Long/Short Fd (BPIRX)		None	K	T					
542. Nuveen Inter. Dur. Mun. Fund (NUVBX)	B	Dividend	L	T					484
543. Principal Preferred Sec. Ins (PPSIX) (X)	A	Dividend	J	T	Buy (add'l)	11/15/17	J		
544. Federated Inst. High Yield Bond Fd (FIHBX) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. Mainstay Floating Rate Fund (MXFIX)	A	Dividend	J	T					
546. iShares Iboxx H Y Corp Bond (Y)									
547. iPath Dow Jones UBS Commodity (Y)									
548. iShares JP Morgan USD Emerg. Mkts. Bond ETF (EMB)	A	Dividend	J	T					479
549. Loomis Sayles Strategic Alpha Fund Y (LASXX)	A	Dividend	K	T	Buy (add'l)	11/15/17	J		480
550. American Tower Common Stock (AMT)	A	Dividend	J	T					
551. Nuveen Real Estate Security Class I (FARXX)	B	Dividend	K	T	Sold (part)	11/15/17	J		
552. Principal G I R E Sec Ins (POSIX)	A	Dividend	J	T					482
553. Invesco Bal Risk Commodity Fd (BRCYX)	A	Dividend	J	T					
554. SPDR DJ Wilshire Int'l Common Stock (Y)									
555. Anadarko Petroleum Common Stock		None			Sold	09/20/17	J		
556. Covidien Common Stock		None			Sold	01/06/17	J		
557. Dollar Tree Inc. Common Stock		None			Sold	06/02/17	J		
558. Express Scripts Common Stock		None			Sold	01/06/17	J		
559. Gilead Sciences Common Stock		None			Sold	01/06/17	J		
560. Invesco Common Stock		None			Sold	01/06/17	J		
561. McKesson Corp. Common Stock		None			Sold	09/20/17	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Polaris Ind. Inc. Common Stock		None			Sold	01/06/17	J	A	
563. Wells Fargo Common Stock	A	Dividend			Sold	11/16/17	J	A	
564. Calvert High Yield Bond Fund	A	Dividend			Sold	02/09/17	J	A	
565. American Funds Europacific Growth Fd	A	Dividend			Sold	02/09/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Brokerage Accounts #11 and #12 of the 2016 report have been deleted in accordance with the notes of August 14, 2017. Successive header numbers have been adjusted accordingly.

In this reporting cycle, a number of assets have experienced name changes and duplicate entries have been deleted. In addition, the names of two variable annuities are now reflected as headers and the underlying investments are individually identified. For ease of review of these items, the corresponding line numbers of the 2016 report have been included in Column D(5).

* The symbol for U.S. Steel is (X).

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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