

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gold, Steven M.	2. Court or Organization U.S. District Court EDNY	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 225 Cadman Plaza East Chambers 1217S Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	P/time Adjunct Prof. of Law	Brooklyn Law School
2.	Board Member	Co-op Board, [REDACTED]
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Salary/Adj Prof of Law/Brooklyn Law School	\$20,000.00
2. 2013	Lecturer, Brooklyn Law School	\$1,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Salary/Executive Director [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. U.S.-Asia Law Institute, NYU Law School	12/10/2013 - 12/21/2013	China	Educational Conference	Travel, lodging, food
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Checking 046	A	Interest	J	T					
2. Chase Plus Saving 767	A	Interest	K	T					
3. CHASE DYNAMIC YIELD STRAT.									
4. -JPM Strip Inc Opp Fund	A	Int./Div.	J	T	Buy	07/16/13	J		
5. -JPM Tr I MLT SC Inc Fund	A	Int./Div.	J	T	Buy	07/16/13	J		
6. -Harbor High Yield Bond	A	Int./Div.	J	T	Buy	07/16/13	J		
7.					Sold (part)	12/18/13	J		
8. -Harbor Convertible Sec	A	Int./Div.	J	T	Buy	07/16/13	J		
9. -Pimco Emerging Local Bond	A	Int./Div.			Buy	07/16/13	J		
10.					Sold	07/31/13	J	A	
11. -Pimco Emerging Mkts Corp Bond	A	Int./Div.			Buy	07/16/13	J		
12.					Sold (part)	11/18/13	J	A	
13.					Sold	11/25/13	J	A	
14. -Clearbridge Equity	A	Int./Div.	J	T	Buy	07/16/13	J		
15. -SIT Dividend Growth	A	Int./Div.	J	T	Buy	07/16/13	J		
16. -Prudential Total Return	A	Int./Div.			Buy	07/16/13	J		
17.					Sold (part)	08/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold	10/24/13	J	A	
19. -Tortoise MLP and Pipeling	A	Int./Div.	J	T	Buy	07/16/13	J		
20. -Ridgeworth SEIX Floating	A	Int./Div.	J	T	Buy	07/16/13	J		
21. -Gateway Fund	A	Int./Div.	J	T	Buy	07/16/13	J		
22. -Nuveen Global Infrastructure	A	Int./Div.	J	T	Buy	07/16/13	J		
23. -Matthews Asia Dividend	A	Int./Div.	J	T	Buy	07/16/13	J		
24. -Eaton Vance Floating Rate	A	Int./Div.	J	T	Buy	07/16/13	J		
25. -Blackrock High Yield Bond	A	Int./Div.	J	T	Buy	07/16/13	J		
26.					Sold (part)	11/12/13	J	A	
27. -Cohen & Steers Pref's Sec & Inc	A	Int./Div.	J	T	Buy	07/16/13	J		
28. -HSBC Funds Total Return	A	Int./Div.	J	T	Buy	07/16/13	J		
29. -Doubleline Total Return	A	Int./Div.	J	T	Buy	07/16/13	J		
30. -TRP Inst Floating Rate	A	Int./Div.	J	T	Buy	07/16/13	J		
31. -JPM Intrepid Eur Fund	A	Int./Div.	J	T	Buy	08/01/13	J		
32.					Buy (add'l)	08/06/13	J		
33. -Metropolitan West Funds	A	Int./Div.	J	T	Buy	10/24/13	J		
34. -Avenue Mutual Funds	A	Int./Div.	J	T	Buy	11/12/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	11/18/13	J		
36.					Buy (add'l)	11/25/13	J		
37. Columbia FD Ser TRT	A	Int./Div.	J	T	Buy	12/19/13	J		
38. CHASE TAP ABSOLUTE RET									
39. -JPM Str Inc Opp Fund	A	Int./Div.	J	T	Buy	07/16/13	K		
40. - JPM Tr I MLT SC Inc Fund	A	Int./Div.	J	T	Buy	07/16/13	J		
41. - JPM Tr I MLT SC Inc Fund					Sold (part)	07/22/13	J	A	
42. -PimcoUnconstrained Bond	A	Int./Div.	J	T	Buy	07/16/13	K		
43.					Sold (part)	09/03/13	J	A	
44. - Prudential Inv Portfolio 9	A	Int./Div.	J	T	Buy	07/16/13	J		
45.					Buy (add'l)	10/02/13	J		
46. - RBC Funds Tr Blubay	A	Int./Div.	J	T	Buy	07/16/13	J		
47.					Buy (add'l)	09/24/13	J		
48. - Eaton Vance Floating Rate	A	Int./Div.	J	T	Buy	07/16/13	J		
49. - Goldman Sachs Tr Strg	A	Int./Div.	J	T	Buy	07/16/13	J		
50.					Buy (add'l)	09/03/13	J		
51.					Buy (add'l)	10/02/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -HSBC Funds Total Return	A	Int./Div.	J	T	Buy	07/16/13	J		
53. - Doubleline Total Return	A	Int./Div.	J		Buy	07/16/13	J		
54.					Sold (part)	10/02/13	J	A	
55. Vanguard Healthcare Fund IRA	B	Dividend	K	T					
56. CHASE MANAGED ACCOUNT (IRA)									
57. -Thornburg Intl Value (IRA)	A	Int./Div.							
58.					Sold	04/18/13	J	A	
59. -JP Morgan US Equity Fund (IRA)	A	Int./Div.							
60.					Sold	04/18/13	K	A	
61. -JP Morgan Emerging Markets (IRA)	A	Int./Div.							
62.					Sold	04/18/13	J	A	
63. -Pimco Total Return (IRA)	A	Int./Div.							
64.					Sold	04/18/13	J	A	
65. -JP Morgan Core Bond Fund (IRA)	A	Int./Div.							
66.					Sold	04/18/13	J	A	
67. -JP Morgan Mid Cap Equity (IRA)	A	Int./Div.							
68.					Sold	04/18/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -JP Morgan High Yield Bond Fund (IRA)	A	Int./Div.							
70.					Sold	04/18/13	J	A	
71. -Deleware Emerging Markets Fund (IRA)	A	Int./Div.							
72.					Sold	04/18/13	J	A	
73. -T Rowe Price New Income Prospectus (IRA)	A	Int./Div.							
74.					Sold	04/18/13	J	A	
75. -Oakmark Fund Class I (IRA)	A	Int./Div.							
76.					Sold	04/18/13	J	A	
77. -Wells Fargo Advtg Grouwth (IRA)	A	Int./Div.			Sold	04/18/13	J	A	
78. -JP Morgan International Value Select (IRA)	A	Int./Div.							
79.					Buy (add'l)	02/06/13	J		
80.					Sold	04/18/13	J	B	
81. -JP Morgan Small Cap Equity (IRA)	A	Int./Div.							
82.					Sold	04/18/13	J	A	
83. -Goldman Sachs Small Cap Equity (IRA)	A	Int./Div.							
84.					Sold	04/18/13	J	A	
85. -Goldman Sachs Emerging Mkts Debt Fund (IRA)	A	Int./Div.							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	02/07/13	J		
87.					Sold	04/18/13	J	A	
88. -DWS Core Equity (IRA)	A	Int./Div.							
89.					Sold	04/17/13	J	A	
90. -SPDR S&P 500 ETF Trust (IRA)	A	Int./Div.							
91.					Sold (part)	02/11/13	J		
92.					Sold	04/18/13	J	A	
93. -JP Morgan Real Estate Fund (IRA)	A	Int./Div.							
94.					Sold	04/18/13	J	A	
95. -Blackrock US Opportunity (IRA)	A	Int./Div.							
96.					Sold	04/18/13	J	A	
97. -Blackrock High Yield Bond (IRA)	A	Int./Div.							
98.					Sold	04/18/13	J	A	
99. -Cohen and Steers Realty Income (IRA)	A	Int./Div.							
100.					Sold	04/18/13	J	A	
101. -Federated Inst'l High Yield Bond (IRA)	A	Int./Div.							
102.					Sold (part)	02/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold	04/18/13	J	A	
104. -MFS Ser Tr X Emerging Mkt (IRA)	A	Int./Div.							
105.					Sold	04/18/13	J	A	
106. -Vanguard Sector Index (IRA)	A	Int./Div.							
107.					Sold	04/18/13	J	A	
108. -iShares Trust Russell	A	Int./Div.			Buy	02/07/13	J		
109.					Sold	04/18/13	J	A	
110. -JPM Realty Income (IRA)	A	Int./Div.			Buy	04/25/13	J		
111.					Sold	09/25/13	J	A	
112. -JPMorgan TR I EX-G4(IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
113.					Sold (part)	07/19/13	J		
114. -JPM Intrepid Value (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
115. -JPM China Region (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
116. -JPM Strip Inc Opp (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
117. -JPM Large Cap Growth (IRA)	A	Int./Div.			Buy	04/25/13	J		
118.					Sold	10/28/13	J	A	
119. -JPM Short Duration Bond (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	08/13/13	J		
121. -JPM TR I MLT SC Inc (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
122. -JPM TR I Infl Mngd Bd (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
123. -JPM Tax Aware Equity (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
124. -AIM Invt FDS Bal Risk (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
125. -Aberdeen Asia Pacific	A	Int./Div.	J	T	Buy	04/25/13	J		
126. -Pimco FDS Unconstr Bd (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
127.					Buy (add'l)	06/20/13	J		
128. -Pimco Commodities Plus Strat (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
129.					Buy (add'l)	11/05/13	J		
130. -Neub Berman Multi Cap Opp (IRA)	B	Int./Div.	J	T	Buy	04/25/13	J		
131. -Primecap Odyssey Find (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
132.					Sold (part)	10/28/13	J		
133. -Principal Invs Fd Midcap (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
134. -Doubleline FDS TR	A	Int./Div.	J	T	Buy	04/25/13	J		
135. -Dreyfus Laurel Emerging Mkt (IRA)	A	Int./Div.			Buy	04/25/13	J		
136.					Sold	09/06/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Ridgeowrth FDS SEIX (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
138.					Sold (part)	06/20/13	J		
139. -Gateway Fund	A	Int./Div.	J	T	Buy	04/25/13	J		
140.					Buy (add'l)	06/20/13	J		
141. -Eaton Vance Mut FDS Macro Abs (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
142. -T Rowe Price Overseas Stock (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
143.					Buy (add'l)	08/01/13	J		
144. -Oppenheimer Developg Mkts (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
145.					Sold (part)	08/01/13	J		
146. -Blackrock High Yield Bond (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
147. -Columbia FDS Series TR II Mass Asia (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
148. -Vanguard FI Secs F (IRA)	A	Int./Div.			Buy	04/25/13	J		
149.					Sold	08/14/13	J	A	
150. -Capital Private Client (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
151. -Arbitrage Funds I (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
152. -Ishares MSCI Emerging Mkts (IRA)	A	Int./Div.			Buy	04/25/13	J		
153.					Sold	08/01/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -SPDR Gold Trust (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
155.					Sold (part)	10/24/13	J		
156. -Ishares MSCI EAFE Index Fd (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
157.					Buy (add'l)	08/05/13	J		
158.					Buy (add'l)	10/24/13	J		
159. -Ishares Core S&P Midcap (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
160. -SDR S&P 500 ETF Trust (IRA)	B	Int./Div.	J	T	Buy	04/25/13	J		
161.					Buy (add'l)	07/02/13	J		
162. -Vanguard FTSE Europe (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
163.					Buy (add'l)	11/01/13	J		
164. -MFS Int Value (IRA)	A	Int./Div.	J	T	Buy	04/25/13	J		
165.					Buy (add'l)	08/05/13	J		
166. -Equinox FDS Tr (IRA)	A	Int./Div.	J	T	Buy	06/20/13	J		
167. CHASE MANAGED ACCT (IRA) ☐									
168. JP Morgan Liquid Assets MMkt (IRA) ☐	A	Int./Div.	J	T					
169. DWS Blue Chip Fund (IRA) ☐		None							See Part VIII, note 5
170. DWS Core Equity (IRA) ☐		None							See Part VIII, note 5

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	05/21/13	J	A	
172. T Rowe Price new Income (IRA) ■	A	Int./Div.	J	T					
173. American Century Growth (IRA) ■	A	Int./Div.							
174.					Sold	04/23/13	J	A	
175. Goldman Sachs Small Cap Value (IRA) ■	A	Int./Div.	J	T					
176. Thornburg Intl Value (IRA) ■	A	Int./Div.	J	T					
177.					Sold (part)	04/23/13	J		
178. Pimco Total Return (IRA) ■	A	Int./Div.	J	T					
179.					Sold (part)	04/23/13	J		
180. Oppenheimer Developing Markets (IRA) ■	A	Int./Div.	J	T					
181.					Sold (part)	04/23/13	J		
182. Aston/Airpointe Mid Cap (IRA) ■	A	Int./Div.	J	T					
183. Wells Fargo Advantage Growth (IRA) ■	A	Int./Div.	J	T					
184.					Buy (add'l)	04/23/13	J		
185. SDPR S&P 500 ETF Trust (IRA) ■	A	Int./Div.	J	T					
186.					Sold (part)	02/07/13	J		
187.					Sold (part)	04/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Oakmark Fund I (IRA) ☐	A	Int./Div.	J	T					
189.					Sold (part)	04/23/13	J	A	
190. JP Morgan High Yield Bond (IRA) ☐	A	Int./Div.	J	T					
191.					Buy (add'l)	04/23/13	J		
192. JP Morgan Core Bond (IRA) ☐	A	Int./Div.	J	T					
193.					Sold (part)	04/23/13	J		
194. JP Morgan Small Cap Equity (IRA) ☐	A	Int./Div.	J	T					
195. JP Morgan US Equity Fund (IRA) ☐	A	Int./Div.	J	T					
196.					Sold (part)	04/23/13	J		
197. JP Morgan Emerging Markets Equity (IRA) ☐	A	Int./Div.	J	T					
198.					Sold (part)	04/23/13	J		
199. JP Morgan Mid Cap Equity (IRA) ☐	A	Int./Div.	J	T					
200.					Sold (part)	04/23/13	J		
201. JP Morgan US Real Estate (IRA) ☐	A	Int./Div.			Sold	04/23/13	J	A	
202. JP Morgan Intl Value (IRA) ☐	A	Int./Div.	J	T					
203.					Buy (add'l)	02/05/13	J		
204.					Sold (part)	04/23/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Blackrock US Opportunity (IRA) ☐	A	Int./Div.	J	T					
206. Blackrock High Yld Bond (IRA) ☐	A	Int./Div.	J	T					
207. Cohen and Steers Realty Income (IRA) ☐	A	Int./Div.	J	T					
208. Federated Insti'l High Yield Bond (IRA) ☐	A	Int./Div.	J	T					
209. Goldman Sachs Emerging Mkts (IRA) ☐	A	Int./Div.	J	T					
210. Vanguard Sector Index Fd (IRA) ☐	A	Int./Div.	J	T					
211. -Causeway Cap Mngmnt ☐ (IRA)	A	Int./Div.	J	T	Buy	04/23/13	J		
212. -Deleware Gp Emerg. Mkts ☐ (IRA)	A	Int./Div.	J	T	Buy	04/23/13	J		
213. -Harbor Intl Fund (IRA) ☐	A	Int./Div.	J	T	Buy	04/23/13	J		
214. -JP Morgan Short Dur'n Bond IRA ☐	A	Int./Div.	J	T	Buy	04/23/13	J		
215. -JPMorgan Value Adv (IRA) ☐	A	Int./Div.	J	T	Buy	04/23/13	J		
216. -Mass. Invstrs Trust (IRA) ☐	A	Int./Div.	J	T	Buy	04/23/13	J		
217. -Sterling Cap FDS Capital (IRA) ☐	A	Int./Div.	J	T	Buy	04/23/13	J		
218. -Pimco Short Term Fund (IRA) ☐	A	Int./Div.	J	T	Buy	09/06/13	J		
219. -iShares NSCI EAFE	A	Int./Div.	J	T					See Part VIII, note 6
220. T ROWE PRICE RTMNT. PLAN ☐									
221. - T Rowe Price Personal Strategy Fund 403(b) ☐	D	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. - T Rowe Price Health Sciences Fund 403(b) ■	E	Dividend	L	T					
223. - T Rowe Price Prime Reserve Fund 403(b) ■	A	Dividend	K	T					
224. REFORM PENSION BOARD 403(b) ■									
225. -Reform Pension Board Equity Fund 403(b) ■					Redeemed	01/01/13	L		
226. - Reform Pension Board Core Bond Fund 403(b) ■					Redeemed	01/01/13	L		
227. -Ref. Pens Bd. Appren & Inc. Fund 403(b) ■	D	Int./Div.	M	T	Buy	01/01/13	M		
228.					Buy (add'l)	07/31/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) [REDACTED] as defined in 26 USC 152 during 2013 and information pertaining to [REDACTED] is therefore not included in my report this year. More specifically, [REDACTED] was no longer [REDACTED] and largely supported [REDACTED] May through December.
- 2) Borrowing from the example on p. 55 of the filing instructions, I have inserted Titles of four accounts through which various investments, each listed individually, are held. Lines 3, 38, 56, 167, 220 and 224 are headers.
- 3) Chase checking 569 and savings 484, reported on lines 2 and 3 of my 2012 report, are omitted this year because the accounts are being used by [REDACTED] [REDACTED] Although [REDACTED] is a cosignor on the accounts, the funds in the accounts belong to [REDACTED] and [REDACTED] is the one with control over the activities in the accounts.
- 4) I cannot find any record pertaining to the JP Morgan Liquid Assets MMkt included in my 2012 report. I can only infer that it was a short term money market account used to hold funds briefly between other investments, and that I did not realize that when I filed my 2012 report.
- 5) The investments listed in Part VII on lines 169 and 170 (DWS Blue Chip Fund (IRA [REDACTED]) and DWS Core Equity (IRA [REDACTED])) do not appear in my 2013 statements as holdings of the managed account. I presume these investments were sold over time in amounts of less than \$1,000.
- 6) The purchase of the investment listed in Part VII on Line 219 (iShares MSCI EAFE (IRA [REDACTED])) is not listed because it appears to have been acquired in a transaction of less than \$1,000.

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Gold, Steven M.

Date of Report

05/12/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven M. Gold**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544