

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jones, James P.	2. Court or Organization Western District of Virginia	3. Date of Report 9/27/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 180 West Main Street Rm. 104 Abingdon, VA 24210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust, income beneficiary	D	Dividend	P3	T					
2. FBR Gas Utility Index Fund	A	Dividend	J	T					
3. Berkshire Hathaway B common stock		None	J	T					
4. Chevron Corp common stock	C	Dividend	M	T					
5. Duke Energy common stock	E	Dividend	N	T					
6. Spectra Energy common stock	D	Dividend	M	T					
7. Exxon Mobil common stock	D	Dividend	N	T					
8. IBM common stock	B	Dividend	M	T					
9. AT&T Inc. common stock	B	Dividend	K	T					
10. T. Rowe Price Va Bond Fund	A	Dividend	J	T					
11. T. Rowe Price Summit Municipal Intermediate Fund	A	Dividend	J	T					
12. T. Rowe Price Growth Stock Fund	A	Dividend	N	T					
13. T. Rowe Price New Horizons Fund	C	Dividend	M	T					
14. T. Rowe Price New Asia Fund	B	Dividend	M	T					
15. T. Rowe Price Int'l Stock Fund	B	Dividend	M	T					
16. T. Rowe Price Int'l Stock Fund	B	Dividend	M	T					
17. T. Rowe Price New Income Fund	E	Dividend	N	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. T. Rowe Price Prime Reserve Fund	A	Dividend	N	T					
19. T. Rowe Price Science & Tech. Fund		None	M	T					
20. T. Rowe Price Blue Chip Growth Fund	A	Dividend	N	T					
21. T. Rowe Price Equity Index 500 Fund	E	Dividend	O	T	Redeemed (part)	09/15/11	L	A	
22. T. Rowe Price Value Fund	B	Dividend	L	T					
23. T. Rowe Price Equity Income	B	Dividend	L	T					
24. T. Rowe Price High Yield Fund	A	Dividend	K	T	Buy (add'l)	04/15/11	K		
25. T. Rowe Price Summit Cash Reserves	A	Dividend	K	T	Buy (add'l)	04/15/11	K		
26. Wells Fargo bank account	A	Interest	J	T					
27. Transamerica TS&W Int'l Equity Portfolio	C	Dividend	M	T	Buy (add'l)	12/15/11	J		
28. Abbott Laboratories common stock	A	Dividend	K	T	Buy (add'l)	05/09/11	J		
29. Ameriprise Financial Inc common stock	A	Dividend	J	T	Sold (part)	06/05/11	J	A	
30. Amgen Inc. common stock		None			Sold	01/18/11	J	A	
31. AT&T Inc common stock	A	Dividend	J	T	Sold (part)	06/15/11	J	A	
32. Annaly Capitol MGMT REIT	A	Dividend	J	T	Buy (add'l)	12/15/11	J		
33. BCE Inc. common stock	A	Dividend			Sold	03/29/11	J	A	
34. Baxter International common stock	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Bed Bath & Beyond, Inc. common stock		None	J	T	Buy	09/08/11	J		
36. Berkley WR Corp common stock	A	Dividend	J	T	Buy	06/15/11	J		
37. BP PLC ADR	A	Dividend	K	T	Buy	10/06/11	J		
38. CBRE Group, Inc. common stock		None	J	T					
39. Centerpoint Energy Inc common stock		None	J	T					
40. Chevron Corp common stock	A	Dividend	K	T					
41. Chubb Corp common stock	A	Dividend	J	T					
42. Cisco Systems Inc common stock		None	K	T	Buy (add'l)	05/11/11	J		
43. Citigroup Inc common stock		None			Buy	03/24/11	J		
44.					Sold	12/22/11	J	A	
45. Comerica Inc common stock	A	Dividend	K	T	Buy (add'l)	09/29/11	J		
46. Corning Inc common stock	A	Dividend	J	T	Buy	04/08/11	J		
47. Cummins Inc common stock	A	Dividend			Sold	04/02/11	J	A	
48. Darden Restaurants Inc common stock	A	Dividend	J	T					
49. Deere & Co common stock	A	Dividend	K	T					
50. Disney Walt Co common stock	A	Dividend	J	T					
51. Dominion Resources Inc common stock	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Dreamworks Animation Inc Class A common stock		None			Sold	05/11/11	J	A	
53. EMC Corp Mass common stock		None	J	T	Buy (add'l)	09/29/11	J		
54. Emerson Electric Co common stock	A	Dividend	J	T					
55. EOG Resources, Inc common stock	A	Dividend	K	T	Buy	10/31/11	J		
56. Exelis Inc common stock		None			Buy	10/06/11	J		
57.					Sold	11/18/11	J	A	
58. Ford Motor Co common stock		None	K	T					
59. Foster Wheeler common stock		None	J	T	Sold (part)	01/31/11	J	A	
60.					Buy (add'l)	07/07/11	J		
61. Freeport McMoran Copper common stock	A	Dividend	J	T	Sold (part)	01/06/11	J	A	
62. Gap Inc common stock	A	Dividend			Sold	05/31/11	J	A	
63. General Electric Co. common stock	A	Dividend	K	T					
64. Goldman Sachs Group common stock	B	Dividend			Sold	05/06/11	J	A	
65. Hartford Financial Servs Group common stock		None			Buy	03/18/11	J		
66.					Sold	12/22/11	J	A	
67. Hewlett Packard Co. common stock	A	Dividend	J	T					
68. Heinz H J Co common stock	A	Dividend	J	T					

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69. Hologic Inc common stock	A	Dividend	K	T	Buy	07/21/11	K		
70. IBM common stock	A	Dividend	K	T	Sold (part)	05/09/11	J	A	
71. Ingersoll-Rand Company common stock	A	Dividend			Sold	10/26/11	J	A	
72. Intel Corp	A	Dividend			Sold	04/08/11	J	A	
73. Int'l Paper Co common stock	A	Dividend			Sold	07/22/11	J	A	
74. ITT Corp common stock		None			Sold	11/18/11	J	A	
75. Jabil Circuit, Inc common stock	A	Dividend	K	T	Buy	04/18/11	K		
76. Johnson & Johnson common stock	A	Dividend	K	T					
77. JPMorgan Chase & Co common stock	A	Dividend	J	T					
78. Kohls Corp common stock	A	Dividend	J	T	Buy	10/22/11	J		
79. L-3 Communications Hldgs common stock	A	Dividend	J	T					
80. Laboratory Corp of America common stock		None	J	T					
81. Liberty Interactive Corp A comon stock		None	K	T					
82. Macy's Inc common stock	A	Dividend	K	T					
83. Marvell Tech Group Ltd common stock		None			Sold	08/22/11	J	A	
84. Metlife common stock		None	J	T					
85. Microsoft Corp common stock	A	Dividend	K	T	Buy	01/18/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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86. Monsanto Co. common stock	A	Dividend	J	T					
87. Nestle SA ADR	A	Dividend	J	T	Sold (part)	09/08/11	J	A	
88. News Corp Ltd common stock		None	J	T					
89. Nintendo Ltd ADR		None			Sold	08/02/11	J	A	
90. Noble Corp common stock	A	Dividend	J	T					
91. Norfolk Southern common stock	A	Dividend	K	T					
92. Northrop Grumman Corp common stock	A	Dividend	J	T	Buy	11/21/11	J		
93. Occidental Petroleum Corp common stock	A	Dividend			Sold	09/29/11	J	A	
94. Oracle Corp common stock	A	Dividend	K	T					
95. Patterson UTI Energy Inc common stock	A	Dividend	J	T	Buy	05/09/11	J		
96. Pfizer Inc common stock	A	Dividend	J	T					
97. Philip Morris Intl Inc common stock		None	K	T					
98. Praxair, Inc common stock	A	Dividend	K	T					
99. Prudential Financial Inc common stock	A	Dividend	J	T					
100. Ralcorp Holdings Inc common stock		None	K	T					
101. Rio Tinto PLC sponsored ADR	A	Dividend	J	T					
102. Reynolds American Inc common stock	A	Dividend	K	T					

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103. Rockwell Collins Inc common stock	A	Dividend	K	T	Buy	04/21/11	K		
104. Sandisk Corp common stock		None	J	T	Buy	06/15/11	J		
105. Schlumberger Ltd common stock	A	Dividend	K	T					
106. Siemens A G ADR	A	Dividend	K	T					
107. Sunoco Inc common stock		None			Buy	07/22/11	J		
108.					Sold	09/29/11	J	A	
109. Suntrust Banks Inc common stock	A	Dividend			Sold	09/29/11	J	A	
110. Symantec Corp common stock		None	J	T					
111. Target Corp common stock	A	Dividend	K	T	Buy (add'l)	01/20/11	J		
112. Total SA sponsored ADR	A	Dividend	J	T					
113. Unilever NV		None	K	T	Buy (add'l)	07/07/11	J		
114. UnitedHealth Group Inc common stock		None	K	T	Sold (part)	07/21/11	J	A	
115. United States Steel Corp common stock	A	Dividend			Sold	07/07/11	J	A	
116. Vodafone Group PLC ADR	A	Dividend	J	T	Buy	12/22/11	J		
117. Wellpoint Inc. common stock		None	J	T					
118. Wells Fargo & Co common stock	A	Dividend			Sold	05/06/11	J	A	
119. Willis Group Holdings common stock		None			Sold	05/06/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Jones, James P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Xilinx Inc common stock	A	Dividend	J	T	Buy	04/08/11	J		
121. Xylem Inc		None	J	T	Spinoff (from line 74)	11/01/11	J		
122. Schwab Gov't Money Fund	C	Dividend	L	T					
123. Bedford Co VA muni bond	A	Interest	K	T					
124. Fairfax Co VA muni bond	A	Interest	K	T					
125. Hampton VA muni bond	A	Interest	K	T					
126. Henry Co VA muni bond	A	Interest			Redeemed	11/15/11	K	A	
127. Orange Co VA muni bond	A	Interest	K	T					
128. Roanoke VA muni bond	A	Interest	K	T					
129. Rutherford Co TN muni bond	A	Interest			Redeemed	06/01/11	K	A	
130. Virginia Comwlth Tr muni bond	A	Interest	K	T					
131. Virginia St Pub Rev muni bond	B	Interest	L	T					
132. Best Buy Inc. common stock	A	Dividend			Sold	01/14/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trust

Paragraph 26: Wachovia Bank is now named Wells Fargo Bank.

Paragraph 27: TS&W Int'l Equity Portfolio is now named Transamerica TS&W Int'l Equity Portfolio.

Paragraph 38 : CB Richard Ellis Group, Inc. is now named CBRE Group, Inc.

Paragraph 82: The correct ownership is in Liberty Interactive Corp A common stock, and not Liberty Media Holdings as indicated in prior report.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James P. Jones**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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