

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gold, Steven M.	2. Court or Organization U.S. District Court EDNY	3. Date of Report 04/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. District Court 225 Cadman Plaza East Chambers 1217S Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	P/time Adjunct Prof. of Law	Brooklyn Law School
2.	Board Member	
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Salary/Adj Prof of Law/Brooklyn Law School	\$20,000.00
2. 2014	Lecturer, Brooklyn Law School	\$1,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Salary/Executive Director, [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHASE CHECKING 046 (J)	A	Interest	J	T					
2. CHASE PLUS SAVING 767 (J)	A	Interest	K	T					
3. CHASE DYNAMIC YIELD STRAT. (J)									
4. -JPM Strat Inc Opp Fund (J)	A	Int./Div.			Sold	02/19/14	J	A	
5. -JPM Tr I MLT SC Inc Fund/JPM Unconstrained Debt Fund (J)	A	Int./Div.	J	T	Buy (add'l)	03/17/14	J		
6.					Buy (add'l)	06/18/14	J		
7.					Sold (part)	10/22/14	J	A	
8. -Harbor High Yield Bond (J)	A	Int./Div.	J	T	Sold (part)	01/06/14	J	A	
9. -Harbor Convertible Sec (J)	A	Int./Div.	J	T					
10. -Clearbridge Equity (J)	A	Int./Div.	J	T	Buy (add'l)	01/14/14	J		
11.					Buy (add'l)	06/20/14	J		
12. -SIT Dividend Growth (J)	A	Int./Div.	J	T					
13. -Tortoise MLP and Pipeling (J)	A	Int./Div.			Sold	06/20/14	J	A	
14. -Ridgeworth SEIX Floating (J)	A	Int./Div.	J	T					
15. -Gateway Fund (J)	A	Int./Div.			Sold	03/14/14	J	A	
16. -Nuveen Global Infrastructure (J)	A	Int./Div.			Sold	01/14/14	J	A	
17. -Matthews Asia Dividend (J)	A	Int./Div.	J	T	Sold (part)	03/17/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Eaton Vance Floating Rate (J)	A	Int./Div.	J	T					
19. -Blackrock High Yield Bond (J)	A	Int./Div.	J	T					
20. -Cohen & Steers Pref's Sec & Inc (J)	A	Int./Div.	J	T	Buy (add'l)	02/11/14	J		
21. -HSBC Funds Total Return (J)	A	Int./Div.	J	T	Buy (add'l)	06/17/14	J		
22. -Doubleline Total Return (J)	A	Int./Div.	K	T	Buy (add'l)	03/17/14	J		
23. -TRP Inst Floating Rate (J)	A	Int./Div.	J	T	Sold (part)	10/22/14	J	A	
24.					Sold (part)	10/29/14	J	A	
25. -JPM Intrepid Eur Fund (J)	A	Int./Div.	J	T					
26. -Metropolitan West Funds (J)	A	Int./Div.	J	T					
27. -Avenue Mutual Funds (J)	A	Int./Div.	J	T	Buy (add'l)	09/22/14	J		
28. Columbia FD Ser TRT (J)	A	Int./Div.	J	T	Buy (add'l)	02/19/14	J		
29.					Buy (add'l)	10/16/14	J		
30. Lord Abbett Inv Tr (J)	A	Int./Div.	J	T	Buy	10/20/14	J		
31.					Buy (add'l)	10/23/14	J		
32.					Buy (add'l)	10/29/14	J		
33. CHASE TAP ABSOLUTE RET (J)									
34. -JPM Str Inc Opp Fund (J)	A	Int./Div.	J	T	Sold (part)	01/21/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	06/19/14	J	A	
36.	- JPM Tr I MLT SC Inc Fund/ JPM Unconstrained Debt Fund (J)	A	Int./Div.	J	T	Buy (add'l)	06/19/14	J	
37.					Sold (part)	10/15/14	J	A	
38.	-PimcoUnconstrained Bond (J)	A	Int./Div.		Sold	09/26/14	K	A	
39.	- Prudential Inv Portfolio 9 (J)	A	Int./Div.	J	T	Buy (add'l)	06/19/14	J	
40.	- RBC Funds Tr Blubay (J)	A	Int./Div.	J	T				
41.	- Eaton Vance Floating Rate (J)	A	Int./Div.	J	T	Buy (add'l)	01/21/14	J	
42.					Sold (part)	10/20/14	J	A	
43.	- Goldman Sachs Tr Strg (J)	A	Int./Div.	J	T	Buy (add'l)	01/21/14	J	
44.	- Doubleline Total Return (J)	A	Int./Div.	J	T	Sold (part)	01/21/14	J	A
45.					Buy (add'l)	10/06/14	J		
46.					Buy (add'l)	12/18/14	J		
47.	-HSBC Funds Total Return (J)	A	Int./Div.	J	T	Buy (add'l)	06/19/14	J	
48.					Buy (add'l)	10/06/14	J		
49.					Buy (add'l)	12/18/14	J		
50.	JPM Gbb Bd Opp FD (J)	A	Int./Div.	J	T	Buy	10/15/14	J	
51.	Columbia FD SER TR (J)	A	Int./Div.	J	T	Buy	10/20/14	J	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. VANGUARD HEALTHCARE FUND IRA	D	Dividend	L	T					
53. CHASE MANAGED ACCOUNT (IRA)									
54. -JP Morgan Core Bond Fund (IRA)	A	Int./Div.	J	T	Buy	02/07/14	J		
55. -SPDR S&P 500 ETF Trust (IRA)	A	Int./Div.	K	T	Buy	02/07/14	J		
56.					Buy (add'l)	06/12/14	J		
57.					Buy (add'l)	10/08/14	J		
58.					Buy (add'l)	12/16/14	J		
59. -JPMorgan TR I EX-G4(IRA)	A	Int./Div.			Sold	01/16/14	J	A	
60. -JPM Intrepid Value (IRA)	A	Int./Div.			Sold	06/12/14	J		
61. -JPM China Region (IRA)	A	Int./Div.			Sold	03/06/14	J	A	
62. -JPM Strat Inc Opp (IRA)	A	Int./Div.	J	T	Buy (add'l)	06/12/14	J		
63. -JPM Short Duration Bond (IRA)	A	Int./Div.	J	T	Sold (part)	02/07/14	J	A	
64.					Buy (add'l)	06/12/14	J		
65. -JPM TR I MLT SC Inc / JPM Unconstrained Debt (IRA)	A	Int./Div.			Buy (add'l)	06/12/14	J		
66.					Sold	12/01/14	J	A	
67. -JPM TR I Infl Mngd Bd (IRA)	A	Int./Div.	J	T	Buy (add'l)	06/12/14	J		
68. -JPM Tax Aware Equity (IRA)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. -AIM Invt FDS Bal Risk (IRA)	A	Int./Div.			Sold	01/01/14	J	A	
70. -Aberdeen Asia Pacific	A	Int./Div.	J	T	Sold (part)	02/07/14	J	A	
71.					Buy (add'l)	10/13/14	J		
72. -Pimco FDS Unconstr Bd (IRA)	A	Int./Div.	J	T	Buy (add'l)	06/12/14	J		
73. -Pimco Commodities Plus Strat (IRA)	A	Int./Div.	J	T	Sold (part)	01/16/14	J	A	
74. -Neub Berman Multi Cap Opp (IRA)	B	Int./Div.	J	T	Sold (part)	06/12/14	J	A	
75. -Primecap Odyssey Find (IRA)	A	Int./Div.	J	T					
76. -Principal Invs Fd Midcap (IRA)	A	Int./Div.	J	T					
77. -Doubleline FDS TR	A	Int./Div.	J	T	Buy (add'l)	06/12/14	J		
78. -Gateway Fund	A	Int./Div.	J	T					
79. -T Rowe Price Overseas Stock (IRA)	A	Int./Div.	J	T	Buy (add'l)	02/07/14	J		
80.					Sold (part)	12/16/14	J	A	
81. -Blackrock High Yield Bond (IRA)	A	Int./Div.	J	T					
82. -Columbia FDS Series TR II Mass Asia (IRA)	A	Int./Div.	J	T					
83. -Arbitrage Funds I (IRA)	A	Int./Div.	J	T					
84. -Ishares MSCI Emerging Mkts (IRA)	A	Int./Div.	J	T					
85. -Ishares MSCI EAFE Index Fd (IRA)	A	Int./Div.	J	T	Buy (add'l)	01/16/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. -Ishares Core S&P Midcap (IRA)	A	Int./Div.			Sold	06/12/14	J	A	
87. -SDR S&P 500 ETF Trust (IRA)	B	Int./Div.	K	T					
88. -MFS Int Value (IRA)	A	Int./Div.	J	T					
89. -Equinox FDS Tr (IRA)	A	Int./Div.	J	T	Buy (add'l)	06/12/14	J		
90. Dodge & Cox Int' Stock (IRA)	A	Int./Div.	J	T	Buy	01/16/14	J		
91.					Buy (add'l)	02/07/14	J		
92. Brown Adv Japan Alpha (IRA)	A	Int./Div.	J	T	Buy	03/06/14	J		
93. Inv Balanced Risk Alloc-Y (IRA)	A	Int./Div.			Buy	03/24/14	J		
94.					Sold	08/14/14	J	A	
95. Fundvantage Tr Abs Rtr Instl/Gotham Absolute Retn (IRA)	A	Int./Div.	J	T	Buy	03/24/14	J		
96.					Buy (add'l)	08/14/14	J		
97. Oppenheimer Developing Mkt (IRA)	A	Int./Div.			Sold	02/07/14	J	A	
98. Ridgworth SEIX Floating (IRA)	A	Int./Div.			Sold	05/30/14	J	A	
99. Eaton Vance Global Macro (IRA)	A	Int./Div.			Sold	03/24/14	J	A	
100. Vanguard FTSE Eur (IRA)	A	Int./Div.			Sold	10/08/14	J	A	
101. Capital Priv Client (IRA)	A	Int./Div.			Sold	01/16/14	J	A	
102. CHASE MANAGED ACCT (IRA) (S)									

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. JP Morgan Liquid Assets MMkt (IRA) (S)	A	Int./Div.	J	T					
104. T Rowe Price New Income (IRA) (S)	A	Int./Div.	J	T					
105. Goldman Sachs Small Cap Value (IRA) (S)	A	Int./Div.	J	T					
106. Thornburg Intl Value (IRA) (S)	A	Int./Div.			Sold (part)	02/18/14	J	A	
107.					Sold	03/10/14	J	A	
108. Pimco Total Return (IRA) (S)	A	Int./Div.			Buy (add'l)	07/28/14	J		
109.					Sold	10/06/14	J	A	
110. Oppenheimer Developing Markets (IRA) (S)	A	Int./Div.	J	T					
111. Aston/Fairpointe Mid Cap (IRA) (S)	A	Int./Div.	J	T	Buy (add'l)	01/06/14	J		
112. Wells Fargo Advantage Growth (IRA) (S)	A	Int./Div.	J	T					
113. SDPR S&P 500 ETF Trust (IRA) (S)	A	Int./Div.	J	T					
114. Oakmark Fund I (IRA) (S)	A	Int./Div.	K	T					
115. JP Morgan High Yield Bond (IRA) (S)	A	Int./Div.	J	T					
116. JP Morgan Core Bond (IRA) (S)	A	Int./Div.	J	T					
117. JP Morgan Small Cap Equity (IRA) (S)	A	Int./Div.	J	T					
118. JP Morgan US Equity Fund (IRA) (S)	A	Int./Div.	K	T					
119. JP Morgan Emerging Markets Equity (IRA) (S)	A	Int./Div.			Sold	09/22/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. JP Morgan Mid Cap Equity (IRA) (S)	A	Int./Div.	J	T					
121. JP Morgan Intl Value (IRA) (S)	A	Int./Div.	J	T					
122. Blackrock High Yld Bond (IRA)(S)	A	Int./Div.	J	T					
123. Cohen and Steers Realty Income (IRA) (S)	A	Int./Div.			Sold (part)	02/18/14	J	A	
124.					Sold	12/02/14	J	A	
125. Federated Insti'l High Yield Bond (IRA)(S)	A	Int./Div.	J	T					
126. Goldman Sachs Emerging Mkts (IRA)(S)	A	Int./Div.			Sold	12/02/14	J	A	
127. Vanguard Sector Index Fd (IRA)(S)	A	Int./Div.			Sold	12/04/14	J	A	
128. -Causeway Cap Mngmnt (S)(IRA)	A	Int./Div.	J	T					
129. -Deleware Gp Emerg. Mkts (S)(IRA)	A	Int./Div.	J	T					
130. -Harbor Intl Fund (IRA)(S)	A	Int./Div.	J	T					
131. -JP Morgan Short Dur'n Bond (IRA)(S)	A	Int./Div.	J	T					
132. -JPMorgan Value Adv (IRA)(S)	A	Int./Div.	J	T					
133. -Mass. Invstrs Trust (IRA)(S)	A	Int./Div.	K	T					
134. -Sterling Cap FDS Capital (IRA)(S)	A	Int./Div.	J	T					
135. -Pimco Short Term Fund (IRA)(S)	A	Int./Div.	J	T					
136. -iShares MSCI EAFE	A	Int./Div.	J	T	Buy (add'l)	02/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	03/12/14	J		
138.					Buy (add'l)	03/13/14	J		
139. Dodge & Cox Int'l Stock Fund (IRA)(S)	A	Int./Div.	J	T	Buy	09/22/14	J		
140.					Buy (add'l)	10/06/14	J		
141. Blackrock Int'l Index Fd (ARA)(S)	A	Int./Div.	J	T	Buy	12/02/14	J		
142. T ROWE PRICE RTMNT. PLAN (S)									
143. - T Rowe Price Personal Strategy Fund 403(b) (S)	D	Dividend	M	T					
144. - T Rowe Price Health Sciences Fund 403(b) (S)	D	Dividend	L	T					
145. - T Rowe Price Prime Reserve Fund 403(b) (S)	A	Dividend	K	T					
146. REFORM PENSION BOARD 403(b) (S)									
147. -Ref. Pens Bd. Apprecn & Inc. Fund 403(b) (S)	A	Int./Div.	M	T	Buy (add'l)	01/31/14	J		
148.					Buy (add'l)	07/31/14	J		
149.					Buy (add'l)	10/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Lines 3, 33, 53, 97, 137 and 141 are headers. While I understand that notations such as (S) and (J) are not required, I find them useful to keep track of the various listed accounts.
- 2) The name of the asset reported as JPM Tr I MLT SC Inc. in 2013 was changed to JPM Unconstrained Debt Fund in November 2014. Thus, this asset, held in the Chase Dymmic Yield Strategy, Chase Tap Absolute Ret, and Chase Managed Account (IRA) is listed in this report under both names, or as JPM Tr I MLT SC Inc Fund/ JPM Unconstrained Debt Fund in each of these accounts.
- 3) The name of the asset reported as Fundvantage Tr Abs Rtr Instl in the Chase Managed Account (IRA) was changed to Gotham Absolute Retn in August 2014. Thus, this asset is listed in this report under both names, or as Fundvantage Tr Abs Rtr Instl/Gotham Absolute Retn.
- 7) In my 2013 report, I listed a partial sale on October 24, 2013 of SPDR Gold Trust (IRA) in the Chase Managed Account (IRA). The holding is not listed, however, in my January 2014 statement. I may have inadvertently listed the sale as partial when it was in fact complete. The asset is therefore not listed in this 2014 report.
- 6) The sale of AIM Invt FDS Bal Risk (IRA) in the Chase Managed Account (IRA) is listed as having been made on January 1 because the asset was listed on my 2013 report but is not among the account's listed holdings in my January 2014 statement. I infer that the asset was sold during 2013 but that I did not note it in that report. It may be that the asset was sold in increments of less than \$1,000.
- 9) The asset Blackrock US Opportunity (IRA)(S) is not reported as an asset held by the Chase Managed Account (IRA)(S) although it was reported as held and not sold in 2013 because it does not appear as an asset held in the account in my January 2014 statement and I have not been able to track down the reason for this apparent discrepancy. It may be that the asset was sold in increments of less than \$1,000.
- 10) As a point of information, I have listed all purchases and sales in the Chase Managed Account (IRA) regardless of amount, but only those equal to or more than \$1,000 in the Chase Managed Account ((IRA)(S).
- 11) I AM FILING AN AMENDED REPORT IN RESPONSE TO THE LETTER OF THE COMMITTEE DATED JULY 28, 2015. PART VII, LINES 54 AND 84 HAVE BEEN AMENDED TO INCLUDE VALUE AND CALUE METHOD CODES. I HAVE INSERTED NEW LINES 97-101 TO REPORT SALES OF ASSETS LISTED IN MY 2013 REPORT BUT NOT IN MY 2014 REPORT.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven M. Gold**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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